

# The inverse boundary spectral problem for a hyperbolic equation with first order perturbation

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**Abstract.** *We study an inverse boundary spectral problem for the hyperbolic equation  $(\partial_t^2 - a(x)\partial_t - \Delta + p(x) \cdot \nabla + q(x))u(x, t) = 0$  in a bounded domain in  $\mathbb{R}^d$ ,  $d \geq 2$ . The corresponding time-harmonic equation  $(-\Delta + p \cdot \nabla + q - ia\lambda - \lambda^2)u = 0$  can be written to a non-selfadjoint eigenvalue problem  $(A - \lambda)U = 0$ . We assume that the boundary spectral data, i.e., the eigenvalues and the boundary values of the generalized eigenfunctions of  $A$  are known. (This assumption is equivalent to that the singularities of the Neumann-to-Dirichlet mapping  $\Lambda_\lambda : \partial_n u|_{\partial\Omega} \mapsto u|_{\partial\Omega}$  of the time-harmonic equation are known.) The main result is that the boundary spectral data determine  $a(x)$  uniquely and  $p(x)$  and  $q(x)$  within a generalized gauge transformation.*

*Keywords:* Hyperbolic equations, Inverse problems, Inverse boundary spectral problems.

*AMS-classification:* 35R30, 35P25

## 1 Introduction and results

The inverse boundary spectral problem for the Schrödinger operator  $\Delta + q$  is the following: Can the potential  $q$  be recovered from the boundary spectral data, that is, from the Dirichlet eigenvalues  $\lambda_j$  and the Neumann-boundary values  $\frac{\partial}{\partial n} \phi_j|_{\partial\Omega}$

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of the normalized eigenfunctions  $\phi_j$ . The problem for real  $q$  was solved in [14] by using exponentially growing solutions. This was generalized for a non-real  $q$  in [11] and later the analogous problem was studied for a general elliptic non-selfadjoint operator by the means of the boundary control method [10] (for the boundary control method, see e.g. [1], [2], [7], [9]). For the studies for hyperbolic inverse boundary problem closely related to the present topic, see [6] and [16].

In this paper we study the inverse boundary spectral problem for an operator pencil raising from a hyperbolic equation with an Euclidean wave-operator and a general first order term. Our approach is the following: From the boundary spectral data we reconstruct first the Neumann-to-Dirichlet mapping and transform the problem to a scattering problem. After this the operator is reconstructed by using the Radon-transform technique as in [15], [16] and [17].

We consider the hyperbolic equation

$$(1) \quad \left( \frac{\partial^2}{\partial t^2} - ia \frac{\partial}{\partial t} - \Delta + \sum_{j=1}^d p_j \frac{\partial}{\partial x_j} + q \right) u(x, t) = 0 \text{ in } \Omega \times \mathbb{R}_+,$$

$$\frac{\partial}{\partial n} u(x, t)|_{\partial\Omega \times \mathbb{R}_+} = F(x, t), \quad u(x, t)|_{t=0} = 0, \quad u_t(x, t)|_{t=0} = 0.$$

Here  $\Omega \subset \mathbb{R}^d$ ,  $d \geq 2$  is a connected  $C^\infty$ -smooth domain with connected complement and  $\Delta = \text{div grad}$  is the Laplacian. Moreover, we assume that the coefficient functions of the equation are complex valued functions satisfying  $a, p_j, q \in C_0^\infty(\overline{\Omega})$  (Observe that they vanish at the boundary). By taking Fourier transform respect of time, we get the corresponding 'time-harmonic' equation

$$(2) \quad \left( -\Delta + \sum_{j=1}^d p_j \frac{\partial}{\partial x_j} + q + a\lambda - \lambda^2 \right) u = 0, \quad \frac{\partial u}{\partial n} \Big|_{\partial\Omega} = f$$

where we assume that  $f \in H^{1/2}(\partial\Omega)$  where  $H^{1/2}(\partial\Omega)$  is the standard Sobolev space. We use the operators

$$\Delta_N u = \Delta u, \quad Bu = \sum_{j=1}^d p_j \frac{\partial}{\partial x_j} u + qu, \quad A \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} 0 & I \\ -\Delta_N + B & a \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$$

defined in the domains

$$\mathcal{D}(\Delta_N) = \{u \in H^2(\Omega) : \frac{\partial u}{\partial n} \Big|_{\partial\Omega} = 0\} \subset X := L^2(\Omega),$$

$$\mathcal{D}(B) = H^1(\Omega), \quad \mathcal{D}(A) = \mathcal{D}(\Delta_N) \times X \subset X \times X.$$

Moreover, we define an operator pencil  $R(\lambda) = -\Delta_N + B + a\lambda - \lambda^2$  and its adjoint pencil  $R^*(\lambda) = -\Delta_N + B^* + \bar{a}\lambda - \lambda^2$ .

Next we recall some properties of operator pencils (see e.g. [13]). First we linearize the pencil equation (2). Namely, the equations  $R(\lambda)u = f$  and  $R^*(\bar{\lambda})v = g$  are equivalent to

$$(A - \lambda) \begin{pmatrix} u \\ \lambda u \end{pmatrix} = \begin{pmatrix} 0 \\ f \end{pmatrix}, \quad (A^* - \bar{\lambda}) \begin{pmatrix} (\bar{\lambda} - \bar{a})u \\ u \end{pmatrix} = \begin{pmatrix} g \\ 0 \end{pmatrix}.$$

The eigenvalues  $\lambda_j \in \mathbb{C}$  of the operator  $A$  are called the eigenvalues of the pencil  $R(\lambda)$ . For  $\lambda \neq \lambda_j$  the operator  $R(\lambda)$  is invertible. A function  $x$  is called a root function (or a generalized eigenfunction) of the operator  $A$  corresponding to an eigenvalue  $\lambda_j$  if  $(A - \lambda_j)^n x = 0$  with some  $n \in \mathbb{Z}_+$ . We denote by  $N_j$  and  $N_j^*$  the spaces of the root functions of  $A$  and  $A^*$  corresponding to the eigenvalues  $\lambda_j$  and  $\bar{\lambda}_j$ . Obviously  $N_j$  is orthogonal to  $N_{j'}^*$  if  $j \neq j'$ . Since  $A$  defines in  $H^1(\Omega) \times X$  an unbounded operator with smoothing inverse, one can show by using [13], Theorem 4.3 that the eigenvalues  $\lambda_j$  of  $R(\lambda)$  form a discrete set, the spaces  $N_j$  are finite dimensional and the root functions of  $A$  (or  $A^*$ ) span a dense set in  $X \times X$ . For asymptotics of the eigenvalues, see e.g. [13].

Let  $\Phi_{jkl} = (\phi_{jkl}^1, \phi_{jkl}^2)$  be the basis of the space  $N_j$  satisfying

$$(3) \quad (A - \lambda_j)\Phi_{jkl} = \Phi_{jk,l-1}, \quad j = 1, \dots, \quad k = 1, \dots, m_j, \quad l = 1, \dots, n_{jk},$$

where we denote  $\Phi_{jk,0} = 0$ . This means that matrix of  $A : N_j \rightarrow N_j$  respect of the basis  $\Phi_{jkl}$  consists of Jordan blocks. Since  $N_j \perp N_{j'}^*$  for  $j \neq j'$  and the root functions span a dense set, we can choose for  $N_j^*$  the basis  $\Psi_{jkl} = (\psi_{jkl}^1, \psi_{jkl}^2)$  satisfying

$$(\Phi_{jkl}, \Psi_{j'k'l'}) = \delta_{j,j'} \delta_{k,k'} \delta_{l,n_{jk}+1-l'}.$$

Since  $N_j^*$  can be identified with the dual of  $N_j$ , one see by studying the matrix of  $A^* : N_j^* \rightarrow N_j^*$  that

$$(4) \quad (A^* - \bar{\lambda}_j)\Psi_{jkl} = \Psi_{jk,l-1}, \quad j = 1, \dots, \quad k = 1, \dots, m_j, \quad l = 1, \dots, n_{jk}$$

where  $\Psi_{jk,0} = 0$ .

For selfadjoint inverse boundary spectral problem the boundary spectral data is defined to be the boundary values of the eigenfunctions. In our non-selfadjoint case the natural generalization is the following.

**Definition 1.1** *The boundary spectral data (BSD) is the collection*

$$\{\lambda_j, \Phi_{jkl}|_{\partial\Omega}, \Psi_{jkl}|_{\partial\Omega}, j = 1, \dots, k = 1, \dots, m_j, l = 1, \dots, n_{jk}\}$$

where  $\lambda_j$  are the eigenvalues and  $\Phi_{jkl}|_{\partial\Omega}, \Psi_{jkl}|_{\partial\Omega}$  are the Dirichlet-boundary values of the root functions of  $A$  and  $A^*$

To motivate Definition 1.1, we begin with the Neumann-to-Dirichlet mapping. When  $\lambda$  is not an eigenvalue, we define the mapping

$$A_\lambda : H^{1/2}(\partial\Omega) \rightarrow H^{3/2}(\partial\Omega), \frac{\partial u}{\partial n}|_{\partial\Omega} \mapsto u|_{\partial\Omega}$$

which maps the Neumann boundary value to the Dirichlet boundary value of the solution of the equation (2). We will see that the operator valued function  $\lambda \mapsto A_\lambda$  is meromorphic function having poles at the eigenvalues of  $R(\lambda)$ . Near each eigenvalue  $\lambda_j$  we have a representation

$$A_\lambda = A_\lambda + \sum_{p=1}^{m_j} \frac{T_{jp}}{(\lambda - \lambda_j)^p}$$

where  $\lambda \mapsto A_\lambda$  is analytic. The later part is equal to the singular part of the Laurent series and we call it the principal part of the singularity or simply the singularity of  $A_\lambda$  at  $\lambda_j$ . The singularity will be denoted by  $\text{sing } A_\lambda$ .

In the case  $a = 0$  the boundary spectral data is known to be very natural concept. By [10] it can be reconstructed from the knowledge of the singularities of the boundary measurements, i.e., from the singularities of the boundary values of the Green's function  $G(x, y, \lambda)$ ,  $x, y \in \partial\Omega$ ,  $\lambda \in \mathbb{C}$  which are equivalent to the the singularities of  $A_\lambda$ . In the dispersive case, we have the analogous results:

**Lemma 1.1** *The BSD determines the singularities of the operator  $A_\lambda$ , i.e., the operators  $T_{jp}$ .*

**Remark 1.** The converse is also true, i.e., the singularities of  $A_\lambda$  determine BSD. Namely, in the proof of Lemma 1.1 we see that the kernels of the operators  $T_{jp}$  can be given as a sum of terms  $\phi_{jkl}^1(x)\overline{\psi_{jkh}^2(y)}$ . Thus  $\phi_{jkl}^1|_{\partial\Omega}$  and  $\psi_{jkh}^2|_{\partial\Omega}$  can be constructed from  $T_{jp}$  with the same method as in [10]. After this we can easily obtain  $\phi_{jkl}^2|_{\partial\Omega}$  and  $\psi_{jkh}^1|_{\partial\Omega}$  by using (3) and (4).

Next we consider two pencils  $R_i(\lambda) = -\Delta + B^i + a^i\lambda - \lambda^2$ ,  $i = 1, 2$  corresponding to functions  $a^i(x), p_j^i(x), q^i(x) \in C_0^\infty(\overline{\Omega})$  and the corresponding Neumann-to-Dirichlet mappings  $A_\lambda^1$  and  $A_\lambda^2$ .

**Theorem 1.2** *If  $R_1(\lambda)$  and  $R_2(\lambda)$  have the same BSD then  $A_\lambda^1 = A_\lambda^2$  for all  $\lambda \in \mathbb{C}$ .*

Let now  $f \in C_0^\infty(\overline{\Omega})$ ,  $\kappa = e^f$ . Then  $R(\lambda)^n \phi = 0$  imply  $(\kappa R(\lambda) \kappa^{-1})^n (\kappa \phi) = 0$  and hence we see that BSD is invariant in the generalized gauge-transformation

$$(5) \quad -\Delta + B \mapsto \kappa(-\Delta + B)\kappa^{-1}.$$

Because of this we define the equivalence class of  $-\Delta + B$  within the group of the generalized gauge-transformations (see [16]):

$$[-\Delta + B] = \{e^f(-\Delta + B)e^{-f} : f \in C_0^\infty(\overline{\Omega})\}.$$

By using Theorem 1.2 we will prove our main result:

**Theorem 1.3** *The pencils  $R_1(\lambda)$  and  $R_2(\lambda)$  have the same BSD if and only if  $a_1 = a_2$  and the operators  $-\Delta + B^1$  and  $-\Delta + B^2$  are the same within a generalized gauge transformation, i.e.,*

$$(6) \quad -\Delta + B^1 = e^f(-\Delta + B^2)e^{-f} \text{ for some } f \in C_0^\infty(\overline{\Omega}, \mathbb{C}).$$

## 2 Singularities of $A_\lambda$

Here we use the extension  $\Delta$  of  $\Delta_N$  defined in the domain  $\mathcal{D}(\Delta) = H^2(\Omega)$  and the extension  $\tilde{A}$  of  $A$  with  $\mathcal{D}(\tilde{A}) = \mathcal{D}(\Delta) \times L^2(\Omega)$ . Similarly,  $\tilde{R}(\lambda)$  is the pencil defined in the domain  $\mathcal{D}(\Delta)$ . First we observe that the equation (2) is equivalent to

$$(7) \quad (\tilde{A} - \lambda)U = 0, \quad \frac{\partial u}{\partial n} \Big|_{\partial\Omega} = f, \quad \text{where } U = \begin{pmatrix} u \\ \lambda u \end{pmatrix}.$$

Let  $H_f = (h_f, 0)^t$  where  $h_f \in H^2(\Omega)$  is a function for which  $\frac{\partial}{\partial n} h_f \Big|_{\partial\Omega} = f$ . Then (7) yields  $U - H_f \in \mathcal{D}(A)$  and

$$(8) \quad U = H_f - (A - \lambda)^{-1}(\tilde{A} - \lambda)H_f.$$

Particularly, this shows that  $\lambda \mapsto A_\lambda$  is analytic outside the eigenvalues. Let  $P_j$  be the Riesz projection of  $A$  corresponding to the eigenvalue  $\lambda_j$ , i.e.,  $P_j$  is the projection into  $N_j$  along the space spanned by  $N_{j'}$ ,  $j' \neq j$ . Obviously

$$(9) \quad P_j x = \sum_{k=1}^{m_j} \sum_{l=1}^{n_{jk}} (x, \Psi_{jkl}) \Phi_{jk, n_{jk}+1-l}.$$

By [8], Theorem III 6.17,  $P_j$  defines an  $A$ -invariant non-orthogonal decomposition  $X^2 = (1 - P_j)X^2 \oplus P_jX^2$  such that the operator  $A - \lambda : (1 - P_j)X^2 \rightarrow (1 - P_j)X^2$  is invertible for  $\lambda$  near  $\lambda_j$ . Next we prove that BSD determines the singularities of  $A_\lambda$ .

*Proof.* (of Lemma 1.1). We denote the solution of (7) by  $U_f(\lambda)$ . By using (8),  $(1 - P_j)U_f(\lambda)$  is analytic near  $\lambda_j$  and we see that

$$(10) \quad \text{sing } U_f(\lambda) = \text{sing } P_j U_f(\lambda) = \text{sing } \sum_{k,l} (U_f(\lambda), \Psi_{jkl}) \Phi_{jk, n_{jk}+1-l}.$$

Since  $\Psi_{jkl} \in \mathcal{D}(A^*)$  we have  $(\frac{\partial}{\partial n} - n \cdot p) \Psi_{jkl}^2 \Big|_{\partial\Omega} = 0$  and thus by Green's formula,

$$0 = ((\tilde{A} - \lambda)U_f(\lambda), \Psi_{jkl}) = (U_f(\lambda), (A^* - \lambda)\Psi_{jkl}) - \int_{\partial\Omega} f \overline{\Psi_{jkl}^2} dS(x).$$

Thus the formula (4) yields

$$(11) \quad (U_f(\lambda), \Psi_{jkl}) = \frac{1}{\lambda_j - \lambda} \left[ \int_{\partial\Omega} f \overline{\Psi_{jkl}^2} dS(x) - (U_f(\lambda), \Psi_{jk, l-1}) \right], \quad l = 1, \dots, n_{jk}$$

where  $\Psi_{jk, l-1} = 0$  for  $l = 1$ . Equations (11) form recurrence relations from which the inner products  $(U_f(\lambda), \Psi_{jkl})$  can be computed by using BSD. Since the positive Laurent coefficients of  $(U_f(\lambda), \Psi_{jkl})$  at  $\lambda_j$  vanish, we see that BSD determines

$$\text{sing } A_\lambda f = \sum_{k,l} (U_f(\lambda), \Psi_{jkl}) \Phi_{jk, n_{jk}+1-l} \Big|_{\partial\Omega}.$$

□

### 3 From BSD to $A_\lambda$

Let  $\mathfrak{S}_p$  be the space of the compact operators with s-numbers in  $\ell^p$  (see [3]) and let  $h_f \in H^2(\Omega)$  be a function depending continuously on  $f \in H^{1/2}(\partial\Omega)$  and satisfying  $\frac{\partial}{\partial n} h_f \Big|_{\partial\Omega} = f$ . Then the equation (2) yields

$$(-\Delta_N + B + a\lambda - \lambda^2)(u_f - h_f) = -(-\Delta + B + a\lambda - \lambda^2)h_f$$

and thus

$$(12) \quad \begin{aligned} u &= h_f - R(\lambda)^{-1}(-\Delta + B + a\lambda - \lambda^2)h_f, \\ R(\lambda)^{-1} &= (-\Delta_N + 1)^{-1}(I + T_0 + \lambda T_1 + \lambda^2 T_2)^{-1} \end{aligned}$$

where  $T_0 = (B - 1)(-\Delta_N + 1)^{-1}$ ,  $T_1 = a(-\Delta_N + 1)^{-1}$  and  $T_2 = -(-\Delta_N + 1)^{-1}$  are compact operators. Since the eigenvalues of the selfadjoint operator  $\Delta_N$  have asymptotics  $j^{2/d}$ , we see that  $T_i \in \mathfrak{S}_p$ ,  $p > d/2$  where  $d$  is the dimension of  $\Omega$ . Next we denote by  $D_\alpha$  the double cone  $\{\lambda : |\arg \lambda| < \alpha \text{ or } |\arg(-\lambda)| < \alpha\}$ . By [13], Lemma 18.8, for any  $\alpha > 0$  there exists  $C_\alpha$  and  $c_\alpha$  such that

$$(13) \quad \|(I + T_0 + \lambda T_1 + \lambda^2 T_2)^{-1}\| \leq C_\alpha$$

for  $\lambda \notin D_\alpha$  and  $|\lambda| > c_\alpha$ .

Since  $(-\Delta_N + 1)^{-1} : L^2(\Omega) \rightarrow H^2(\Omega)$  is continuous, we have

$$(14) \quad \|R(\lambda)^{-1}\|_{X \rightarrow H^2} \leq cC_\alpha, \quad \lambda \notin D_\alpha, \quad |\lambda| > c_\alpha.$$

**Lemma 3.1** *Assume that two Neumann-to-Dirichlet mappings  $A_\lambda^{(i)}$ ,  $i = 1, 2$  have the same singularities. Then  $A_\lambda^{(1)} - A_\lambda^{(2)}$  is a second order polynomial as an operator-valued function of  $\lambda$ .*

*Proof.* By theory of Keldysh pencils (see [13], Lemma 18.5) there exist numbers  $r_m^{(i)} \in \mathbb{R}_+$ ,  $r_m^{(i)} \rightarrow \infty$ ,  $i = 1, 2$  such that

$$\|(I + T_0^{(i)} + \lambda T_1^{(i)} + \lambda^2 T_2^{(i)})^{-1}\| \leq c \exp(c|\lambda|^{4d+2})$$

when  $|\lambda| = r_m^{(i)}$ . We can assume that  $m < r_m^{(i)} < m + 1$ . Thus by (12) we have on the circles  $|\lambda| = r_m$

$$\|A_\lambda^{(i)}\| \leq c \exp(c|\lambda|^{4d+2}).$$

Now  $A_\lambda^{(1)} - A_\lambda^{(2)}$  is an entire function of  $\lambda$ . By applying the maximum principle for analytic functions in the same way as in the proof of [11], Theorem 9.1, we see

$$(15) \quad \|A_\lambda^{(1)} - A_\lambda^{(2)}\| \leq c \exp(c|\lambda|^{4d+2}), \quad \lambda \in \mathbb{C}.$$

Let  $\alpha < \pi/(4d + 2)$ . For  $\lambda \notin D_\alpha$  and  $|\lambda| > c_\alpha$  we see from (12) and (14) that for  $\|A_\lambda^{(i)} f\| \leq c|\lambda|^2$ . Thus by using Pragemen-Lindelöf theorem [4] and (15) we see in an analogous way to [11], Theorem 9.7 that the analytic operator-valued function  $A_\lambda^{(1)} - A_\lambda^{(2)}$  is a second order polynomial.  $\square$

**Lemma 3.2** Let  $\Lambda_\lambda^{(i)}$ ,  $i = 1, 2$  be two Neumann-to-Dirichlet mappings corresponding to pencils  $R^{(i)}$ . Then for any fixed  $f$  we have

$$\lim_{t \rightarrow \infty} \|\Lambda_{it}^{(1)} - \Lambda_{it}^{(2)}\|_{H^{1/4}(\partial\Omega)} f = 0, \quad t \in \mathbb{R}_+$$

*Proof.* We note that by [13], Lemma 3.1 there can be only a finite number of eigenvalues in  $i\mathbb{R}_+$  and thus the above limit is well defined.

We study the difference  $\Lambda_\lambda^{(1)} f - \Lambda_\lambda^{(2)} f$  for  $\lambda = it$ ,  $t > c_0$  when  $c_0$  is big enough and  $t \rightarrow \infty$ . Let  $u^{(i)}(\lambda)$  be the solutions

$$\tilde{R}^{(i)}(\lambda)u^{(i)}(\lambda) = 0, \quad \frac{\partial}{\partial n}u^{(i)}(\lambda)\Big|_{\partial\Omega} = f, \quad i = 1, 2.$$

Then for  $v(\lambda) = u^{(1)}(\lambda) - u^{(2)}(\lambda)$  we have

(16)

$$R^{(1)}(\lambda)v(\lambda) = (B^{(1)} - B^{(2)})u^{(2)}(\lambda) + \lambda(a^{(1)} - a^{(2)})u^{(2)}(\lambda), \quad \frac{\partial}{\partial n}v(\lambda)\Big|_{\partial\Omega} = 0$$

We have by (12) for  $\lambda = it$

$$(17) \quad \begin{aligned} u^{(2)}(\lambda) &= h_f - R(it)^{-1}(-\Delta + B + ait + t^2)h_f, \\ R(it)^{-1} &= (-\Delta_N + t^2)^{-1} \left( I + B(-\Delta_N + t^2)^{-1} + ait(-\Delta_N + t^2)^{-1} \right)^{-1}. \end{aligned}$$

By using the spectral representation of  $\Delta_N$  and an interpolation argument as in [11], Lemma 4.5, one can easily show that

$$(18) \quad \|(-\Delta_N + t^2)^{-1}\|_{X \rightarrow H^s(\Omega)} \leq ct^{2-s}, \quad 0 \leq s \leq 2.$$

Thus in the equation (17) all inverse operators exist and we get  $\|u^{(2)}(\lambda)\|_{L^2(\Omega)} \leq c$  and  $\|u^{(2)}(\lambda)\|_{H^2} \leq c|\lambda|^2$  when  $\lambda = it$  is big enough. By using interpolation we get  $\|u^{(2)}(\lambda)\|_{H^1(\Omega)} \leq c|\lambda|$ . Thus from formulas (16) and (18) it follows that

$$\begin{aligned} \|v(\lambda)\|_X &\leq \|R^{(1)}(\lambda)^{-1}\|_{X \rightarrow X'} (\|B^{(1)} - B^{(2)}\|_{H^1(\Omega) \rightarrow X} \|u^{(2)}\|_{H^1(\Omega)} \\ &\quad + |\lambda| \|a^{(1)} - a^{(2)}\|_{X \rightarrow X} \|u^{(2)}\|_X) \\ &\leq ct^{-1}. \end{aligned}$$

In the same way we see  $\|v(\lambda)\|_{H^2} \leq ct$ . By using interpolation we get

$$(19) \quad \|v(\lambda)\|_{H^{3/4}(\Omega)} \leq (ct)^{3/8} (ct^{-1})^{5/8} \leq ct^{-1/8}.$$

Thus

$$\|(\Lambda_\lambda^{(1)} - \Lambda_\lambda^{(2)})f\|_{H^{1/4}(\Omega)} \leq \|v(\lambda)\|_{H^{3/4}(\Omega)} \leq ct^{-1/8}.$$

□

Now Theorem 1.2 follows immediately from Lemma 3.1 and Lemma 3.2.

## 4 From $\Lambda_\lambda$ to the unknown coefficients

For the hyperbolic equation (1) we define the response operator

$$\mathcal{R} : \frac{\partial u}{\partial n}|_{\partial\Omega \times \mathbb{R}_+} \mapsto u|_{\partial\Omega \times \mathbb{R}_+}, \quad \frac{\partial u}{\partial n}|_{\partial\Omega \times \mathbb{R}_+} \in C^\infty(\partial\Omega \times \overline{\mathbb{R}_+}).$$

**Lemma 4.1** *The mappings  $\Lambda_\lambda$ ,  $\lambda \in \mathbb{C}$  determine the response operator  $\mathcal{R}$ .*

*Proof.* Let  $u(x, t)$  be the solution of the initial value problem

$$(20) \quad \begin{aligned} \left(\frac{\partial^2}{\partial t^2} - ia\frac{\partial}{\partial t} - \Delta + B\right)u(x, t) &= 0 \text{ in } \Omega \times \mathbb{R}_+, \\ \frac{\partial}{\partial n}u(x, t)|_{\partial\Omega \times \mathbb{R}_+} &= F(x, t), \quad u(x, t)|_{t=0} = 0, \quad u_t(x, t)|_{t=0} = 0. \end{aligned}$$

Clearly it is enough to find  $u|_{\partial\Omega \times [0, T]}$  with arbitrary  $T > 0$ . Thus we can assume that the support of  $F$  is a compact subset of  $\partial\Omega \times \mathbb{R}_+$ . By standard energy estimates, the Laplace transform  $\tilde{u}(\cdot, \xi) = \mathcal{L}_t(u(\cdot, t))(\xi)$  is a  $H^2(\Omega)$ -valued function defined in some half space  $\text{Re } \xi > c_0$ . Since the Laplace transform satisfies the time-harmonic equation

$$(\xi^2 - ia\xi - \Delta + B)\tilde{u}(x, \xi) = 0, \quad \frac{\partial}{\partial n}\tilde{u}(x, \xi)|_{\partial\Omega} = \tilde{F}(x, \xi),$$

one see by using inverse Laplace transform that

$$u(\cdot, t)|_{\partial\Omega} = \frac{1}{2\pi i} \lim_{M \rightarrow \infty} \int_{\xi_0 - iM}^{\xi_0 + iM} e^{\xi t} \Lambda_\xi \tilde{F}(x, \xi) d\xi, \quad \text{Re } \xi_0 > c_0.$$

Thus the mappings  $\Lambda_\xi$ ,  $\xi \in \mathbb{C}$  determine the response operator. □

Next we study the wave equation

$$(21) \quad \begin{aligned} \left(\frac{\partial^2}{\partial t^2} - ia\frac{\partial}{\partial t} - \Delta + B\right)u(x, t) &= 0, (x, t) \in \mathbb{R}^d \times \mathbb{R}_+, \\ u(x, 0) &= f, u_t(x, 0) = g. \end{aligned}$$

Particularly we are interested of the solutions corresponding to the initial data

$$(22) \quad f(x) = \delta(-t_0 - x \cdot \omega), \quad g(x) = \delta^{(1)}(-t_0 - x \cdot \omega), \text{ where } |\omega| = 1 \text{ and } t_0 \ll 0.$$

These solutions correspond to the incoming delta-waves  $\delta(t - t_0 - x \cdot \omega)$ ,  $t < 0$ . By [16], the solutions  $u(x, t, \omega)$  of (21) with above initial data have the representations

$$u(x, t, \omega) = \sum_{j=0}^N u_k(x, \omega) \delta^{(-j)}(t - t_0 - x \cdot \omega) \text{ mod } C^{N-1}$$

for every  $N$  where  $\delta^{(-j)}(t) = t_+^{j-1}/(j-1)!$  for  $j > 0$ . Furthermore, by [16],

**Theorem 4.2** *The functions  $u_0(x, \omega)$  and  $u_1(x, \omega)$  in a domain  $\{(x, \omega) \in \mathbb{R}^n \times S^{n-1} \mid x \cdot \omega > C\}$ ,  $C > 0$  determine uniquely the function  $a(x)$  and determine uniquely the equivalence class*

$$[-\Delta + B] = \{e^f(-\Delta + B)e^{-f} : f \in C_0^\infty(\mathbb{R}^n)\}.$$

Therefore it is enough to show that the  $\Lambda_\lambda$ -mappings determine the solutions of the equation (21) outside  $\Omega$  with an appropriate incoming initial data.

**Lemma 4.3** *The knowledge of the operators  $\Lambda_\lambda$ ,  $\lambda \in \mathbb{C}$  determine the function  $u(x, t)|_{(\mathbb{R}^d \setminus \overline{\Omega}) \times \mathbb{R}_+}$  corresponding to initial data (22)*

*Proof.* We start with the standard argument concerning the equivalence of the hyperbolic boundary value problem and the scattering problem. Assume that  $R^{(1)}$  and  $R^{(2)}$  have the same BSD. Let  $T > 0$ ,  $f, g \in C^\infty(\mathbb{R}^d \setminus \Omega)$  and  $u_1$  and  $u_2$  be the solutions of the wave equations (21) with the coefficient functions corresponding to  $R^{(1)}$  and  $R^{(2)}$ . Since the response operators  $\mathcal{R}^{(1)}$  and  $\mathcal{R}^{(2)}$  coincide, there exist a solution  $v$  for  $R^{(1)}$  such that  $v|_{\partial\Omega \times [0, T]} = u_2|_{\partial\Omega \times [0, T]}$  and  $\frac{\partial}{\partial n}v|_{\partial\Omega \times [0, T]} =$

$\frac{\partial}{\partial n} u_2|_{\partial\Omega \times [0, T]}$ . By defining  $V(x, t) = u_2(x, t)$  for  $(x, t) \in (IR^d \setminus \Omega) \times [0, T]$  and  $V(x, t) = v(x, t)$  for  $(x, t) \in \Omega \times [0, T]$  we see that  $V$  is the solution of the uniquely solvable initial value problem (21) for  $R^{(1)}$  with initial data  $(f, g)$ . This yields  $V = u_1$  and thus  $u_2 = u_1$  in  $(IR^d \setminus \Omega) \times [0, T]$ . Hence BSD determine uniquely the values of the solution in  $(IR^d \setminus \Omega) \times [0, T]$ .

Let now  $d_j \in C_0^\infty(IR)$ ,  $j = 1, 2, \dots$  be functions for which  $d_j \rightarrow \delta$  in the space  $H^{-1}(IR)$ . Let  $f_0 = \delta(-t_0 - x \cdot \omega)$ ,  $g_0 = \delta^{(1)}(-t_0 - x \cdot \omega)$  and  $f_j = d_j(-t_0 - x \cdot \omega)$ ,  $g_j = d_j'(-t_0 - x \cdot \omega)$  and let  $u_j$  be the solution of the equation (21) corresponding to the initial data  $(f_j, g_j)$ . Since  $f_j \rightarrow f_0$  and  $g_j \rightarrow g_0$  in  $H_{loc}^{-d-2}(IR^d)$ , it follows from [5], Lemma 23.2.1 and the finite speed of wave propagation that  $u_j \rightarrow u_0$  in the space  $C^1([-T, T], H^{-d-2}(V))$  for any  $V \subset IR^d$ . From this the claim follows.

□

Finally, we prove our main result.

*Proof.*(of Theorem 1.3) If two operators are the same within a generalized gauge-transformation then their BSD coincide. Next we prove the converse. We have shown that if the BSD coincide then for the operators  $-\Delta + B^i$  in  $L^2(IR^d)$  we have

$$(23) \quad -\Delta + B^1 = e^f(-\Delta + B^2)e^{-f} = -\Delta - 2e^f \nabla f \cdot \nabla - e^f \Delta f + B^2$$

with some  $f \in C_0^\infty(IR^n)$ . Since  $B^1$  and  $B^2$  are supported in  $\Omega$ , we see that  $\nabla f = 0$  outside  $\Omega$ . Since the complement of  $\Omega$  is connected, we see that  $\text{supp } f \subset \overline{\Omega}$  which proves the claim. □

**Acknowledgements.** The author is grateful for prof. Y. V. Kurylev and Dr. Petri Ola for many valuable discussions. The research was partly supported by Finnish Academy project 37692.

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