A woman with blonde hair is shown in profile, looking down at a chessboard. The image is faded and serves as a background for the text.

# Three fundamental games in logic

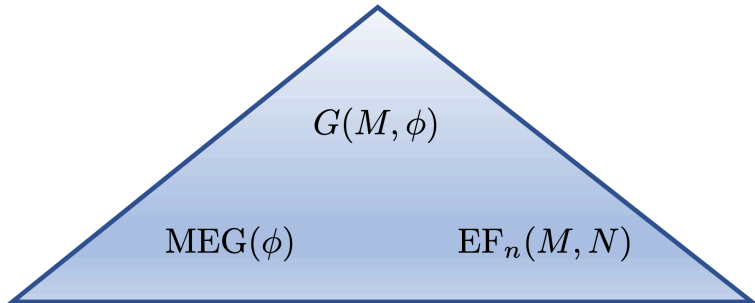
Jouko Väänänen

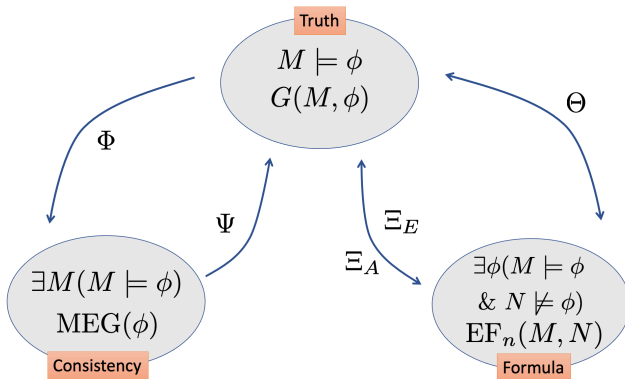
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- “The chess-board is the world, the pieces are the phenomena of the universe, the rules of the game are what we call the laws of Nature. The player on the other side is hidden from us.”  
(Thomas Huxley)

1. Evaluation Game: “ $\phi$  is true in  $M$ ?”
2. Model Existence Game: “ $\phi$  is consistent?”
3. EF (Ehrenfeucht-Fraïssé) game: “some sentence is true in  $M$  but false in  $N$ ?”

Really just one game. Essential to logic. Distinguishes logic from algebra, topology, analysis, etc.

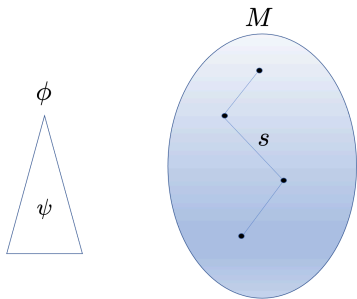






## Evaluation (a.k.a. semantic) Game $G(M, \phi)$

- Two players Abelard and Eloise.
- $M$  a model,  $\phi$  a sentence.
- $s$  an assignment.
- Pairs  $(\psi, s)$  are **positions**.
- Starting position is  $(\phi, \emptyset)$ .



## Evaluation (a.k.a. semantic) Game $G(M, \phi)$

- Suppose  $s$  is an assignment.  $\text{Diag}_M(s)$  = the set of all literals i.e. atomic and negated atomic formulas that  $s$  satisfies in  $M$ .
- $\neg, \wedge, \vee, \forall, \exists$ .
- Negation Normal Form (for simplicity!).
- Intuitively, Eloise defends the proposition that  $\phi$  is (informally) true in  $M$  and Abelard doubts it.



The **rules** in position  $(\psi, s)$  are:

- (1) If  $\psi$  is a **literal**, the game ends and **Eloise** wins if  $\psi \in \text{Diag}_M(s)$ . Otherwise **Abelard** wins.
- (2) If  $\psi$  is  $\psi_0 \wedge \psi_1$ , then **Abelard** chooses whether the next position is  $(\psi_0, s)$  or  $(\psi_1, s)$ .
- (3) If  $\psi$  is  $\psi_0 \vee \psi_1$ , then **Eloise** chooses whether the next position is  $(\psi_0, s)$  or  $(\psi_1, s)$ .
- (4) If  $\psi$  is  $\forall x\theta$ , then **Abelard** chooses  $a \in M$  and the next position is  $(\theta, s(a/x))$ .
- (5) If  $\psi$  is  $\exists x\theta$ , then **Eloise** chooses  $a \in M$  and the next position is  $(\theta, s(a/x))$ .



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- If  $\phi$  is **propositional** i.e. has only zero-place relation symbols and no constant or function symbols, and no quantifiers, then only moves (1)-(3) occur in  $G(M, \phi)$ , and the assignments can be forgotten.
- If  $\phi$  is **universal**, the game  $G(M, \phi)$  has no moves of type (5).
- If it is **existential**, the game has no moves of type (4).
- If **universal-existential**, then all type (5) moves come before type (4) moves.
- If we add **new logical operations** to our logic, such as infinite conjunctions and disjunctions, generalized quantifiers or higher order quantifiers, it is clear how to modify the game  $G(M, \phi)$  to accommodate the new logical operations.

For example, for  $\phi$  in  $L_{\infty\omega}$ , we modify above (2) and (3) as follows:

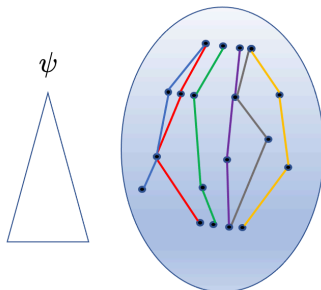
- (2') If  $\psi$  is  $\bigwedge_{i \in I} \psi_i$ , then Abelard chooses  $i \in I$  and the next position is  $(\psi_i, s)$ .
- (3') If  $\psi$  is  $\bigvee_{i \in I} \psi_i$ , then Eloise chooses  $i \in I$  and the next position is  $(\psi_i, s)$ .

Similarly for generalized quantifiers.

- We can also extend the game to new atomic formulas.
- If  $\psi$  is  $\mathbf{=(x, y)}$ , then Eloise wins.
- A winning strategy of Eloise has to guarantee that if a play ends with  $(\mathbf{=(x, y)}, s)$  and another play ends with  $(\mathbf{=(x, y)}, s')$ , then  $s(x) = s'(s)$  implies  $s(y) = s'(y)$ .
- Similarly for  $\mathbf{x \perp y}$ . A winning strategy of Eloise has to guarantee that if a play ends with  $(\mathbf{x \perp y}, s)$  and another play ends with  $(\mathbf{x \perp y}, s')$ , then there is a “crossing over” play which ends with  $(\mathbf{x \perp y}, s'')$ , where  $s''(x) = s(x)$  and  $s''(y) = s'(y)$ .

## Evaluation game in team semantics

- A **team** is a **set** of assignments.
- A position is a pair  $(\psi, X)$ , where  $X$  is a team.



## Evaluation game in team semantics

- Suppose the position is  $(\psi, X)$ .
- If  $\psi$  is  $=(\mathbf{x}, \mathbf{y})$ , then the game ends, and Eloise wins if  $s(\mathbf{x}) = s'(\mathbf{x})$  implies  $s(\mathbf{y}) = s'(\mathbf{y})$  for all  $s, s' \in X$ .
- The original game  $G(M, \phi)$  is a **projection** of the new team game.
- Arrow's Theorem, No-Go results of Quantum Mechanics.
- Dependence logic [Väänänen, 2007].



## Modal logic

Finally, if  $M$  is a Kripke-model and  $\phi$  a sentence of modal logic, the game  $G(M, \phi)$  is entirely similar. The assignments have a singleton domain  $\{x_0\}$  and values in the frame of  $M$ . The moves corresponding to  $\Diamond$  and  $\Box$  are like (4) and (5):

- (4') If  $\psi$  is  $\Box\theta$ , then **Abelard** chooses a node  $b$  accessible from  $s(x_0)$  and the next position is  $(\theta, s(b/x_0))$ .
- (5') If  $\psi$  is  $\Diamond\theta$ , then **Eloise** chooses a node  $b$  accessible from  $s(x_0)$  and the next position is  $(\theta, s(b/x_0))$ .

- The game  $G(M, \phi)$  is useful in finding a **countable** submodel  $N$  of  $M$  with desired properties.
- For any strategy  $\tau$  of Eloise in  $G(M, \phi)$  let  $T(M, \tau)$  be the set of countable submodels  $N$  of  $M$  such that  $N$  is closed under  $\tau$  i.e. if Abelard plays in (4) always  $a \in N$ , then also Eloise plays in (5) always  $b \in N$ .
- Note that if  $N \in T(M, \tau)$ , then  $\tau$  is a strategy of Eloise also in  $G(N, \phi)$ . Moreover, if  $\tau$  is a winning strategy in  $G(M, \phi)$ , then it is also a winning strategy in  $G(N, \phi)$ .
- The **Löwenheim-Skolem Theorem** of  $L_{\omega_1\omega}$  is essentially the statement that  $T(M, \phi) \neq \emptyset$ , when  $\phi \in L_{\omega_1\omega}$ .

- In the *Club Game* ([Kueker, 1977]) there are two players Abelard and Eloise, a set  $X$  and a set  $T$  of countable subsets of  $X$ .
- During the game, which we denote  $G_T$ , the players choose alternately elements  $a_n \in X$ , Abelard being the first to move.
- After  $\omega$  moves a set  $\{a_0, a_1, \dots\}$  has been produced.
- We say that Eloise is the winner if this set is in  $T$ , otherwise Abelard is the winner. This game need not be determined.
- If Eloise has a winning strategy in  $G_T$ , then  $T$  contains a so-called club (closed unbounded) set of countable subsets of  $X$ .

A strong form of a **Löwenheim-Skolem Theorem** for  $L_{\omega_1\omega}$ .

### Theorem ([Kueker, 1977])

*Suppose  $M$  is a model in a countable vocabulary and  $\phi$  is a sentence of  $L_{\omega_1\omega}$ . There is a mapping  $\Upsilon$  such that if  $\tau$  is a strategy of Eloise in  $G(M, \phi)$ , then  $\Upsilon(\tau)$  is a strategy of Eloise in  $G_{T(M, \phi)}$ . If  $\tau$  is a winning strategy, then so is  $\Upsilon(\tau)$ .*

### Proof.

(Sketch) Using bookkeeping Eloise makes sure during the game  $G_{T(M, \phi)}$  that her moves  $a_{2n+1} \in M$  render the final set  $\{a_0, a_1, \dots\}$  such that it is both the domain of a submodel of  $M$  and if Abeldard plays his  $\forall$ -moves in  $\{a_0, a_1, \dots\}$  then so does Eloise, using  $\tau$ , in her moves of type (5). □

In conclusion, the game  $G(M, \phi)$  is a versatile tool for understanding the meaning of a logical sentence  $\phi$  in a mathematical structure  $M$ , or even in  $V$ .

## Model Existence Game $MEG(\phi)$

- We have a sentence and we ask whether the sentence has a model. Thus this is about *consistency* and its opposite, *contradiction*.
- Is there *some* model  $M$  such that Eloise can win  $G(M, \phi)$ ?
- Suppose  $\phi$  is a first order sentence. Logical operations:  $\neg, \wedge, \vee, \forall$  and  $\exists$ .
- We assume  $\phi$  is in Negation Normal Form.

- The game  $\text{MEG}(\phi)$  has two players Abelard and Eloise.
- Intuitively, Eloise defends the proposition that  $\phi$  **has** a model and Abelard doubts it. Abelard expresses his doubt by asking questions.
- We let  $C = \{c_0, c_1, \dots, c_n, \dots\}$  be a set of **new distinct constant symbols**. Intuitively these are names of elements of the supposed model.

## Model Existence Game

A **position** is a finite set  $S$  of pairs  $(\psi, s)$ , where  $s$  is an assignment into  $C$ . Starting position is  $\{(\phi, \emptyset)\}$ . Abelard chooses a pair  $(\psi, s) \in S$ .

- (1)  $(\psi_0 \wedge \psi_1, s)$ : Next position is  $S \cup \{(\psi_0, s)\}$  or  $S \cup \{(\psi_1, s)\}$  (**Abelard** decides which).
- (2) If  $(\psi_0 \vee \psi_1, s)$ : Next position is  $S \cup \{(\psi_0, s)\}$  or  $S \cup \{(\psi_1, s)\}$  (**Eloise** decides which).
- (3) If  $(\forall x\theta, s)$ : Next position is  $S \cup \{(\theta, s(c/x))\}$  (**Abelard** chooses  $c \in C$ ).
- (4) If  $(\exists x\theta, s)$ : Next position is  $S \cup \{(\theta, s(c/x))\}$  (**Eloise** chooses  $c \in C$ ).

If  $(\psi, s), (\neg\psi, s') \in S$ , where  $s(x) = s'(x)$  for all free  $x$  in  $\psi$ , Abelard wins.

[Beth, 1955], [Hintikka, 1955], [Smullyan, 1963], [Makkai, 1969].



- Gentzen's natural deduction,
- [Beth, 1955],
- [Hintikka, 1955],
- [Smullyan, 1963],
- [Makkai, 1969].
- Craig Interpolation Theorem.
- Completeness Theorem.
- Preservations Theorems.

## Truth $\Rightarrow$ consistency

### Theorem

Every *strategy*  $\tau$  of *Eloise* in  $G(M, \phi)$  determines a *strategy*  $\Phi(\tau)$  of *Eloise* in  $MEG(\phi)$ . If  $\tau$  is a winning strategy, then so is  $\Phi(\tau)$ .

(We assume the vocabulary of  $M$  is countable. )

- There is a countable submodel  $N$  of  $M$  such that  $\tau$  is a strategy of Eloise in  $G(N, \phi)$ . Let  $\pi : C \rightarrow N$  be an onto map.
- A pair  $(\psi, s)$  is a  **$\tau$ -position** if there is some **sequence** of positions in  $G(N, \phi)$ , following the rules of  $G(N, \phi)$  starting with  $(\phi, \emptyset)$ , Eloise using  $\tau$ , which ends at  $(\psi, s)$ .
- A **C-translation** of the  $\tau$ -position  $(\psi, s)$  is a pair  $(\psi, s')$  where  $s'$  is a  $C$ -assignment with  $\pi(s'(x)) = s(x)$ .
- The **strategy**  $\Phi(\tau)$  of Eloise in  $MEG(\phi)$  is to make sure that at all times the position  $S$  consists only of  $C$ -translations of  $\tau$ -positions.

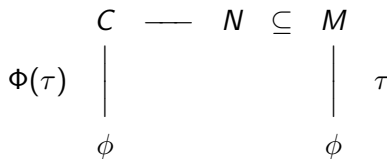


Figure: From model to model existence.

1. If  $(\psi_0 \vee \psi_1, s') \in S$ , then Eloise can decide that the next position is  $S \cup \{(\psi_0, s')\}$  or  $S \cup \{(\psi_1, s')\}$ . There is a sequence  $\alpha$  of moves in  $G(N, \phi)$  in which Eloise uses  $\tau$ , which ends at  $(\psi_0 \vee \psi_1, s)$ , a  $C$ -translation of which is  $(\psi_0 \vee \psi_1, s')$ . We continue  $\alpha$  by letting Eloise move whatever  $\tau$  tells her to move, say  $\psi_0$ . Now  $S \cup \{(\psi_0, s')\}$  consists of  $C$ -translations of  $\tau$ -moves. So we let Eloise choose  $S \cup \{(\psi_0, s')\}$  as the next position.

- If Eloise follows this strategy and  $\tau$  happens to be a **winning** strategy, then she wins because if at some point there are  $(\psi, s')$  and  $(\neg\psi, t')$  in the set  $S$ , such that  $\psi$  is atomic and  $s'(x) = t'(x)$  for variables  $x$  in  $\psi$ , then these would be C-translations of  $(\psi, s)$  and  $(\neg\psi, t)$ , where  $s(x) = t(x)$  for  $x$  in  $\psi$ , respectively, and  $s$  (and  $t$ ) would satisfy both  $\psi$  and  $\neg\psi$  in  $N$  because  $\tau$  is a winning strategy, a contradiction.

# Consistency $\Rightarrow$ model and truth

## Theorem

Every *strategy*  $\tau$  of *Eloise* in  $\text{MEG}(\phi)$  determines a model  $M(\tau)$  and a *strategy*  $\Psi(\tau)$  of *Eloise* in  $G(M(\tau), \phi)$ . If  $\tau$  is winning, then so is  $\Psi(\tau)$ .

[Beth, 1955]

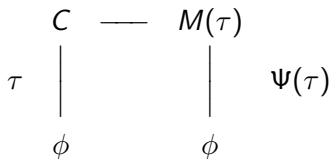


Figure: From model existence to a model.



Let  $\sigma_0$  be the following **enumeration strategy** of Abelard in  $\text{MEG}(\phi)$ : During the game Abelard makes sure that if  $S$  is the position, then:

1. If  $(\psi_0 \wedge \psi_1, s) \in S$ , then during the game he will at some position  $S' \supseteq S$  decide that the next position is  $S' \cup \{(\psi_0, s)\}$  and at some further position  $S'' \supseteq S'$  he will decide that the next position is  $S'' \cup \{(\psi_1, s)\}$ .
2. If  $(\psi_0 \vee \psi_1, s) \in S$ , then at some position  $S' \supseteq S$  Abelard asks Eloise to choose whether the next position is  $S' \cup \{(\psi_0, s)\}$  or  $S' \cup \{(\psi_1, s)\}$ .
3. If  $(\forall x\theta, s) \in S$ , then for all  $n$  during the game he will at some position  $S' \supseteq S$  decide that the next position is  $S' \cup \{(\theta, s(c_n/x))\}$ .
4. If  $(\exists x\theta, s) \in S$ , then at some position  $S' \supseteq S$  Abelard will ask Eloise to choose  $n$  after which the next position is  $S' \cup \{(\theta, s(c_n/x))\}$ .

- Let us play  $\text{MEG}(\phi)$  while Abelard uses this strategy and Eloise plays  $\tau$ .
- Let  $\mathcal{S} = \langle S_n : n < \omega \rangle$  be the (unique) infinite sequence of positions during this play. Note that  $S_n \subseteq S_{n+1}$  for all  $n$ . Let  $\mathbf{\Gamma}$  be the union of all the positions in  $\mathcal{S}$ .
- We build a model  $M = M(\tau)$  as follows<sup>1</sup>: The domain of the model is  $\{c_n : n \in \mathbb{N}\}$ . If  $R$  is a relation symbol, then we let  $R(c_{n_0}, \dots, c_{n_k})$  hold in  $M$  if  $(R(x_{n_0}, \dots, x_{n_k}), s) \in \mathbf{\Gamma}$  for some  $s$  such that  $s(x_i) = c_i$  for  $i = n_0, \dots, n_k$ .
- The **strategy**  $\Psi(\tau)$  of Eloise in  $G(M, \phi)$  is the following: She makes sure that if the position in  $G(M, \phi)$  is  $(\psi, s)$ , then  $(\psi, s) \in \mathbf{\Gamma}$ . Let us see that she can follow the strategy throughout the game:

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<sup>1</sup>We assume  $\phi$  has a relational vocabulary and does not contain the identity symbol.

1. If  $\psi$  is  $\exists x\theta$ , then Eloise should choose for which  $n$  the next position is  $(\theta, s(c_n/x))$ .
2. We know  $(\exists x\theta, s) \in S$  for some position  $S$  during the game, because  $(\exists x\theta, s) \in \Gamma$ .
3. By how  $\sigma_0$  was defined, Abelard has at some later position  $S' \supseteq S$  asked Eloise to choose  $n$  for which the next position would be  $S' \cup \{(\theta, s(c_n/x))\}$ .
4. The strategy  $\tau$  has directed Eloise to indeed choose an  $n$  leading to the new position  $S' \cup \{(\theta, s(c_n/x))\}$ .
5. Thus  $(\theta, s(c_n/x)) \in \Gamma$  and she can safely play  $(\theta, s(c_n/x))$  in  $G(M, \phi)$ .

- Suppose  $\tau$  is **winning** for Eloise. We show that then  $\Psi(\tau)$  is **winning**.
- Suppose the game  $G(M, \phi)$  ends at a position  $(\psi, s)$  where  $\psi$  is atomic. Then  $(\psi, s)$  is in  $\Gamma$  whence  $s$  satisfies  $\psi$  in  $M$ .
- Suppose, on the other hand, the game  $G(M, \phi)$  ends at a position  $(\neg\psi, s)$  where  $\psi$  is atomic. Then  $(\neg\psi, s)$  is in  $S_n$  for some  $n$ . It suffices to show that  $s$  does not satisfy  $\psi$  in  $M$ . Suppose it does. Then there is  $(\psi, s') \in S_m$  for some  $m$  and some  $s'$  such that  $s(x) = s'(x)$  for variables  $x$  in  $\psi$ . Then  $S_{n+m}$  has both  $(\neg\psi, s)$  and  $(\psi, s')$ , contrary to the assumption that  $\tau$  is a winning strategy of Eloise.

- A winning strategy of Eloise in  $\text{MEG}(\phi)$  can be conveniently given in the form of a so-called *consistency property*, which is just a set of finite sets of sentences satisfying conditions which essentially code a winning strategy for Eloise in  $\text{MEG}(\phi)$ .
- Sometimes it is more *convenient* to use a consistency property than Model Existence Game. But as far as strategies of Eloise are concerned, the two are one and the same thing.
- Consistency properties have been successfully used to prove interpolation and preservations results in model theory, especially infinitary model theory [Makkai, 1969].

- Suppose now **Abelard** has a winning strategy in  $\text{MEG}(\phi)$ .
- We can form a tree, a **Beth Tableau**, of all the positions when Abelard plays his winning strategy and we stop playing as soon as Abelard has won.
- Every branch of the tree is finite and ends in a position which includes a contradiction.
- We can make the tree finite. We can then view this tree as a proof of  $\neg\phi$ . In this sense the Model Existence Game builds a **bridge between proof theory and model theory**.
- Strategies of Abelard direct us to **proof theory**, while strategies of Eloise direct us to **model theory**.

Apart from first order and infinitary logic, the Model Existence Game can be used in the proof theory and model theory of

- **propositional** and **modal** logic.
- logic with **generalized quantifiers** and **higher order logic**.
- **weak models**, which have to be transformed to real models by a model theoretic argument [Keisler, 1970].
- **general models** for higher order logics [Henkin, 1950].
- **infinitary** logic  $L_{\kappa\lambda}$ ,
- **chain models**, rather than real models.

## EF (Ehrenfeucht-Fraïssé) game

- In the EF game we have a model but **no sentence**.
- The sentence should be **true in one** but **false in the other**. It may be that no such sentence can be found, i.e. the models are *elementarily equivalent*.
- In the EF game strategies of one player track possibilities for elementary equivalence and the strategies of the other player track possibilities for a separating sentence.
- [Fraïssé, 1954], [Ehrenfeucht, 1961]
- $M$  and  $N$  are two structures for the same vocabulary  $L$ .



## Definition

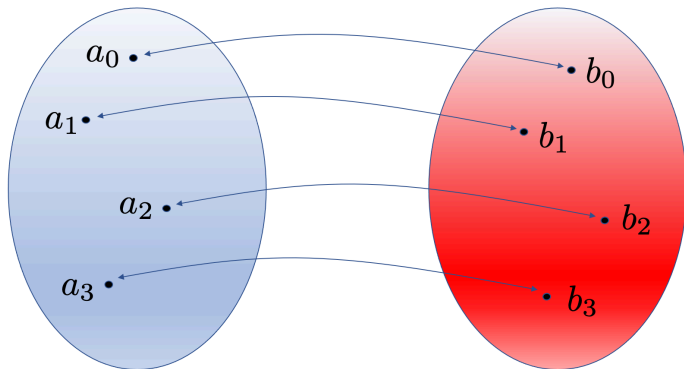
The game  $EF_m(M, N)$  has two players Abelard and Eloise and  $m$  moves. A **position** is a set

$$s = \{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\} \quad (1)$$

of pairs of elements such that the  $a_i$  are from  $A$  and the  $b_i$  are from  $B$ , and  $n \leq m$ . In the beginning the position is  $\emptyset$ . The rules:

1. **Abelard** may choose some  $a_n \in A$ . Then **Eloise** chooses  $b_n \in B$  and the next position is  $s \cup \{(a_n, b_n)\}$ .
2. **Abelard** may choose some  $b_n \in B$ . Then **Eloise** chooses  $a_n \in A$  and the next position is  $s \cup \{(a_n, b_n)\}$ .

Abelard wins if during the game the position (1) is such that  $(a_0, \dots, a_{n-1})$  satisfies some literal in  $M$  but  $(b_0, \dots, b_{n-1})$  does not satisfy the corresponding literal in  $N$ .



- Intuitively, Eloise defends the proposition that  $M$  and  $N$  are **very similar**.
- Abelard **doubts** this similarity.
- If Eloise knows an **isomorphism**  $f : M \rightarrow N$  she can respond by playing always so that  $b_n = f(a_n)$ .
- Two models of (any) size  $\geq m$  in the empty vocabulary.
- Two finite linear orders of (any) size  $\geq 2^m$ .
- This game is **determined**.
- How **long** games can Eloise win although  $M \not\cong N$ ? Interesting for transfinite games.

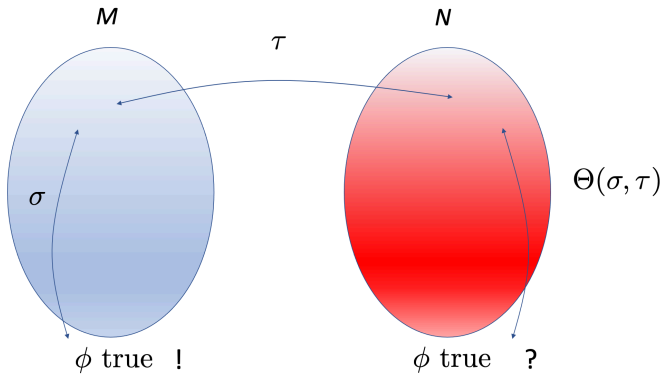
- A logician's version of isomorphism.
- A formula “is” this game.

## Strategy of Eloise $\Rightarrow$ elementary equivalence

### Theorem

Suppose  $\phi$  is an  $L_{\infty\omega}$ -sentence of quantifier rank  $\leq m$ . Every *strategy*  $\tau$  of *Eloise* in  $EF_m(M, N)$ , and every *strategy*  $\sigma$  of *Eloise* in  $G(M, \phi)$  determine a *strategy*  $\Theta(\sigma, \tau)$  of *Eloise* in  $G(N, \phi)$ . If  $\tau$  and  $\sigma$  are winning strategies, then so is  $\Theta(\sigma, \tau)$ .

[Ehrenfeucht, 1961]



- We call a position of the game  $EF_m(M, N)$  a  **$\tau$ -position** if it arises while Eloise is playing  $\tau$ .
- We call a position of the game  $G(M, \phi)$  a  **$\sigma$ -position**, if it arises while Eloise is playing  $\sigma$ .
- If the position of the game  $G(N, \phi)$  is  $(\psi, s)$ , the **strategy**  $\Phi(\sigma, \tau)$  of Eloise is to play **simultaneously**  $G(N, \phi)$ ,  $EF_m(M, N)$  and  $G(M, \phi)$ , and make sure that if

$$\pi = \{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\}$$

is the current  $\tau$ -position in  $EF_m(M, N)$  and  $s(x) = \pi(s'(x))$  for all  $x$  in the domain of  $s$ , then  $(\psi, s')$  is the current  $\sigma$ -position in  $G(M, \phi)$  (see Figure 4).

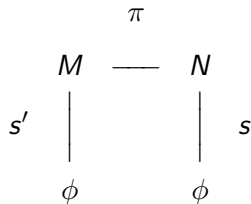


Figure: The strategy  $\Theta(\sigma, \tau)$ ,



Let us check that it is possible for Eloise to play this strategy:

1. If the position is  $(\psi, s)$  where  $\psi$  is a **literal**, the game ends.
2. If the position is  $(\psi, s)$  where  $\psi$  is  $\bigwedge_i \phi_i$ , then Abelard chooses  $i$  and the next position is  $(\psi_i, s)$ . Whichever he chooses, we let Abelard make the respective move  $(\psi_i, s')$  in  $G(M, \phi)$ .
3. If the position is  $(\psi, s)$  where  $\psi$  is  $\bigvee_i \phi_i$ , then Eloise chooses  $i$  as follows. Since  $(\psi, s')$  is a  $\sigma$ -position, the strategy  $\sigma$  tells Eloise which of  $(\psi_i, s')$  to play in  $G(M, \phi)$ . Then Eloise plays the respective  $(\psi_i, s)$  in  $G(N, \phi)$ .

4. If the position is  $(\psi, s)$  is  $(\forall x\theta, s)$ , then Abelard chooses  $b_n \in N$  and the next position is  $(\theta, t)$ ,  $t = s(b_n/x)$ . We continue the game  $EF_m(M, N)$  from the  $\tau$ -position  $\{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\}$  letting Abelard play  $b_n$ . The strategy  $\tau$  tells Eloise to choose  $a_n \in M$  so that

$$\pi' = \{(a_0, b_0), \dots, (a_n, b_n)\} \quad (2)$$

is again a  $\tau$ -position. Now we continue the game  $G(M, \phi)$  from position  $(\forall x\theta, s')$  by letting Abelard play  $a_n$ . We reach the position  $(\theta, t')$ ,  $t' = s'(a_n/x)$ , which is still a  $\sigma$ -position, and we have  $t'(y) = \pi'(t(y))$  for all  $y$  in the domain of  $t'$ .

5. The position is  $(\psi, s)$  is  $(\exists x\theta, s)$ . Now we continue the game  $G(M, \phi)$  from position  $(\exists x\theta, s')$  by letting Eloise play, according to  $\sigma$ , an element  $a_n$  and we reach a new  $\sigma$ -position  $(\theta, t')$ ,  $t' = s'(a_n/x)$ . We continue the game  $EF_m(M, N)$  from the  $\tau$ -position  $\{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\}$  letting Abelard play  $a_n$ . The strategy  $\tau$  tells Eloise to choose  $b_n \in N$  so that (2) is again a  $\tau$ -position. We reach the position  $(\theta, t)$ ,  $t = s(b_n/x)$ , and we have  $t(y) = \pi(t'(y))$  for all  $y$  in the domain of  $t$ .

6. If  $\sigma$  is a **winning** strategy and the game  $G(N, \phi)$  ends in the position  $(\psi, s)$ , where  $\psi$  is a literal, then Eloise wins because then  $(\psi, s')$  is a  $\sigma$ -position meaning that  $s'$  satisfies the literal  $\psi$  in  $M$ , and  $\tau$  being a winning strategy this means that  $s$  satisfies the literal  $\psi$  in  $N$ .

- There is a tight connection between  $\sigma$ ,  $\tau$  and  $\Theta(\sigma, \tau)$ . This is reflected in a connection between  $\phi$  and  $\text{EF}_m(M, N)$ .
- If the non-logical symbols of  $\phi$  are in  $L' \subset L$ , then it suffices that  $\tau$  is a strategy of Eloise in the game  $\text{EF}_m(M \upharpoonright L', N \upharpoonright L')$  between the reducts  $M \upharpoonright L'$  and  $N \upharpoonright L'$ .
- If we know more about the syntax of  $\phi$ , for example that it is **existential**, **universal** or **positive**, we can modify  $\text{EF}_M(M, N)$  accordingly by stipulating that Abelard only moves in  $M$ , only moves in  $N$ , or that he has to win by finding an atomic (rather than literal) relation which holds in  $M$  but not in  $N$ .
- Winning strategies for the EF game are a standard method for showing that certain kinds of sentences **do not exist**.

## Strategy of Abelard $\Rightarrow$ separating sentence

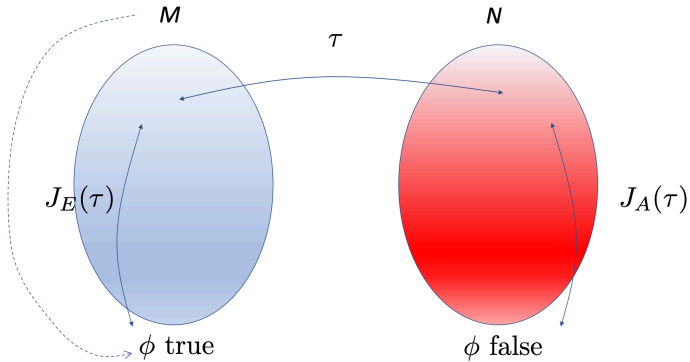
### Theorem

Suppose  $M$  and  $N$  are models of the same vocabulary and  $m < \omega$ .

1. There is a **sentence**  $\phi \in L_{\infty\omega}$  of quantifier rank  $\leq m$  and mappings  $J_E$  and  $J_A$  such that if  $\tau$  is a strategy of **Abelard** in  $EF_m(M, N)$ , then  $J_E(\tau)$  is a strategy of **Eloise** in  $G(M, \phi)$ , and  $J_A(\tau)$  is a strategy of **Abelard** in  $G(N, \phi)$ .
2. If  $\tau$  is a winning strategy, then  $J_E(\tau)$  and  $J_A(\tau)$  are winning strategies.

Note: If  $L$  is finite and relational, the sentence  $\phi$  is logically equivalent to a first order sentence of quantifier rank  $\leq m$ .

[Ehrenfeucht, 1961]



Suppose  $s$  is an assignment into  $M$  with domain  $\{x_0, \dots, x_{n-1}\}$ .  
Let

$$\begin{aligned}\psi_{M,s}^{0,n} &= \bigwedge_i \psi_i \\ \psi_{M,s}^{m+1,n} &= \left( \forall x_n \bigvee_{a \in M} \psi_{M,s(a/x_n)}^{m,n+1} \right) \wedge \left( \bigwedge_{a \in M} \exists x_n \psi_{M,s(a/x_n)}^{m,n+1} \right),\end{aligned}$$

where  $\psi_i$  lists all the literals in the variables  $x_0, \dots, x_{n-1}$  satisfied by  $s$  in  $M$ .

The sentence  $\phi$  we need is  $\psi_{M,\emptyset}^{m,0}$ .



- Clearly **Eloise** has a trivial strategy  $J_2(\tau)$  in  $G(M, \phi)$  (independently of  $\tau$ ), and this strategy is always a winning strategy.
- We now describe the strategy  $J_1(\tau)$  of **Abelard** in  $G(N, \phi)$ .
- We call a position of the EF-game a  **$\tau$ -position** if it arises while Abelard is playing  $\tau$ .
- Suppose  $s$  is an assignment into  $M$  and  $s'$  an assignment into  $N$ , both with domain  $\{x_0, \dots, x_{n-1}\}$ . We use  **$s \cdot s'$**  to denote the set of pairs  $(s(x_i), s'(x_i))$ ,  $i = 0, \dots, n-1$ . The **strategy** of Abelard is to play  $G(N, \phi)$  in such a way that if the position at any point is  $(\psi_{M,s}^{i,m-i}, s')$ , then  $s \cdot s'$  is a  $\tau$ -position.

1. Suppose the position in  $G(N, \phi)$  is  $(\psi_{M,s}^{i,m-i}, s')$ ,  $i > 0$ , and the next move for Abelard in  $\text{EF}_m(M, N)$  according to  $\tau$  is  $a \in M$ .
2. The strategy of Abelard is to choose the latter conjunct of  $\psi_{M,s}^{i,m-i}$ . Then Abelard chooses the element  $a \in M$  in the big conjunction move.
3. Now it is the turn of Eloise in  $G(N, \phi)$  to choose some  $b \in N$  as the value of  $x_{m-i}$  and that will be the next move of Eloise in  $\text{EF}_m(M, N)$ . The next position in  $G(N, \phi)$  is

$$(\psi_{M,s(a/x_{m-i})}^{i-1,m-i+1}, s'(b/x_{m-i})). \quad (3)$$

4. The position  $s(a/x_{m-i}) \cdot s'(b/x_{m-i})$  is still a  $\tau$ -position in  $\text{EF}_m(M, N)$ .

- Suppose now  $\tau$  was a **winning** strategy of Abelard. Then at the end of the game  $s \cdot s'$  is a winning position for Abelard and therefore he is indeed able to choose a conjunct of the formula  $\psi_{M,s}^{0,m}$  that is not satisfied by  $s'$  in  $N$ . He has won  $G(N, \phi)$ .

- If  $\tau$  is a winning strategy of Abelard **even** in the game  $\text{EF}_m(M \upharpoonright L', N \upharpoonright L')$  for some  $L' \subset L$ , then the separating sentence  $\phi$  can be chosen so that its non-logical symbols are all in  $L'$ .
- If  $\tau$  is such that Abelard plays only in  $M$ , we can make  $\phi$  **existential**.
- If  $\tau$  is such that Abelard plays only in  $N$ , we can make  $\phi$  **universal**.
- If Abelard wins with  $\tau$  even the harder game in which he has to win by finding an atomic (rather than literal) relation which holds in  $M$  but not in  $N$ , then we can take  $\phi$  to be a **positive** sentence.

- Strategies in  $EF_m(M, N)$  also reflect structural properties of  $M$  and  $N$ .
- If we know a strategy of Eloise in  $EF_m(M_i, N_i)$  for  $i \in I$ , we can construct strategies of Eloise for EF games between **products** and **sums** of the models  $M_i$  and the respective products and sums of the models  $N_i$ . This can be extended to so-called  $\kappa$ -local functors [Feferman, 1972]. For an example of the use of tree-decompositions, see e.g. [Grohe, 2007].
- EF games are known for **infinitary logics**, **generalized quantifiers**, and **higher order logics**.
- In modal logic the corresponding game is called the bisimulation game.

- EF game for **teams**: the players move and manipulate teams.
- EF game (on teams) for **propositional logic** [Hella and Väänänen, 2015].
- EF-game (on teams) for  $L_{\omega_1\omega}$  [Väänänen and Wang, 2013].
- An EF-game with “delay” and a **Lindström Theorem<sup>2</sup>** for a new infinitary logic between  $L_{\kappa\omega}$  and  $L_{\kappa\kappa}$  [Shelah, 2012].

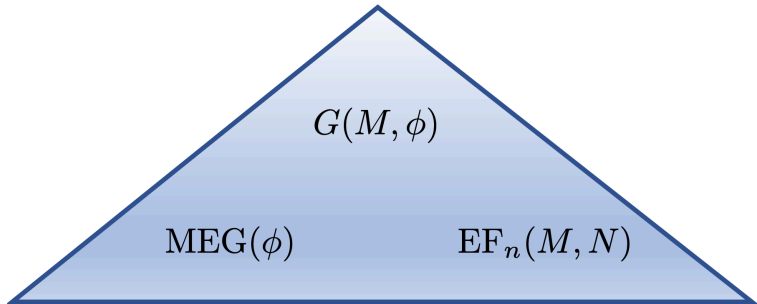
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<sup>2</sup>A Lindström Theorem is a semantic characterization of a logic.

## Summary

- The Evaluation Game, the Model Existence Game and the EF game go so deep into the essential concepts of logic such as truth, consistency, and separating models by sentences, that a lot of research in logic can be represented in terms of these games. This alone does not bring anything new.
- The translations of the strategies between the games suggest a coherent uniform approach to syntax and semantics and at the same time to model theory and proof theory.
- The Evaluation Game and the EF game are oblivious to whether the models are finite or infinite, which gives them a useful role in computer science logic, uniting CS with
- Despite the vast literature on each of the three games separately, there seems to be a lot of potential for the study of their interaction as a manifestation of the **Strategic Balance of Logic**.

# Thank you!







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