

# A perturbative expansion for bound states

Seminar at CPHT, Ecole Polytechnique

13 December 2022

**Paul Hoyer**, University of Helsinki

Hadrons and atoms have unexpected similarities

Can the first-principles bound state methods of QED be adapted to QCD?

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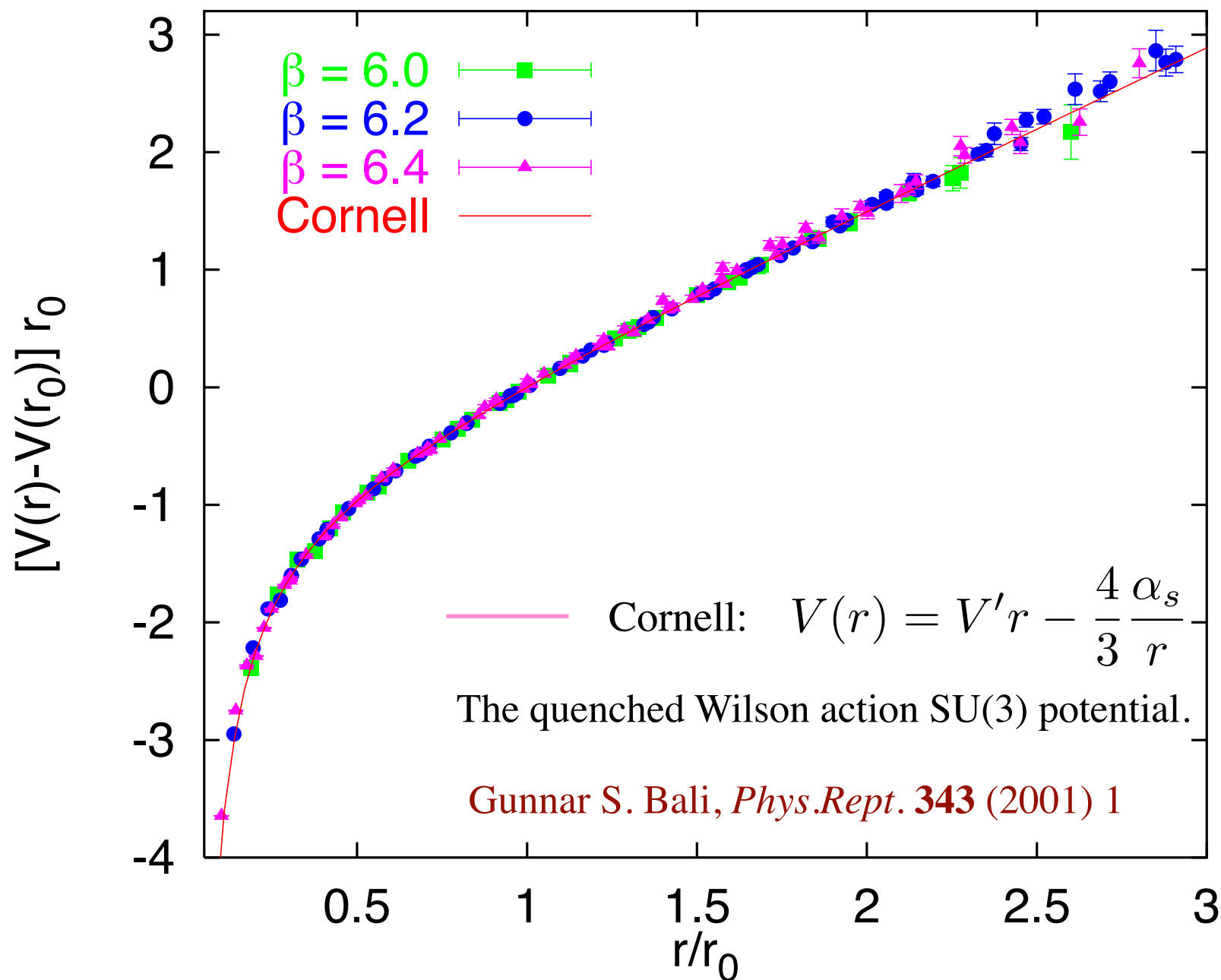
Can the first-principles bound state methods of QED be adapted to QCD?

**This is a real possibility!**

# I. Motivations



# Lattice QCD agrees with the Cornell potential



## Light quarks: $\pi$ , $\rho$ , $N$ ,...

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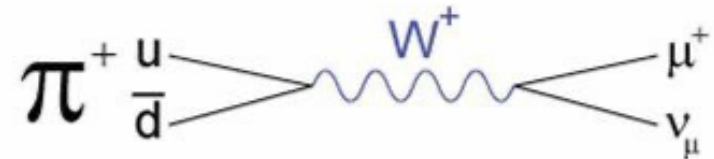
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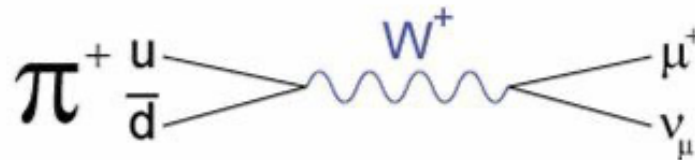
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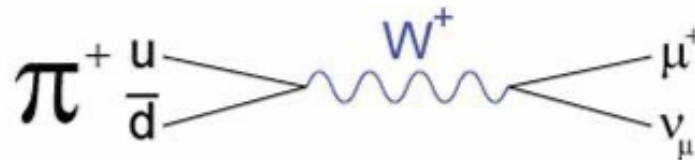
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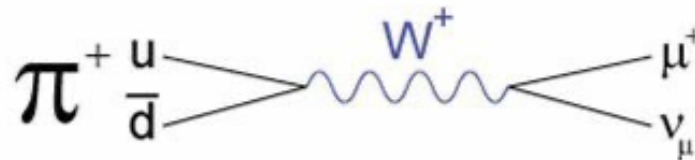
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$\alpha_s$  is small (perturbative)

# Strongly bound Positronium in QED<sub>2</sub> (D = 1+1)

In QED<sub>2</sub> the spectrum can be determined both for weak ( $e/m \ll 1$ ) and strong ( $e/m \gg 1$ ) coupling

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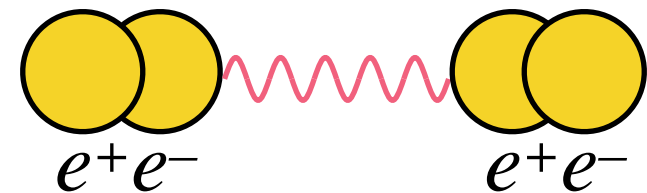
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$e/m \ll 1$



Bound states of weakly  
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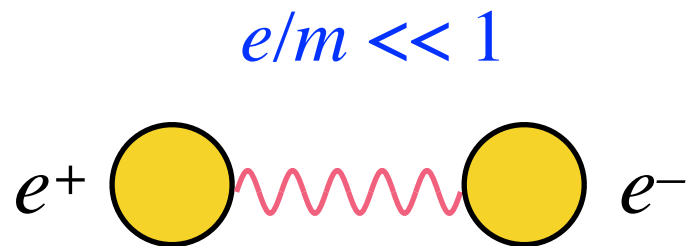


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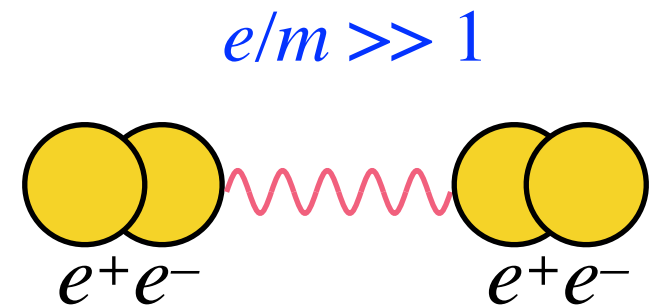
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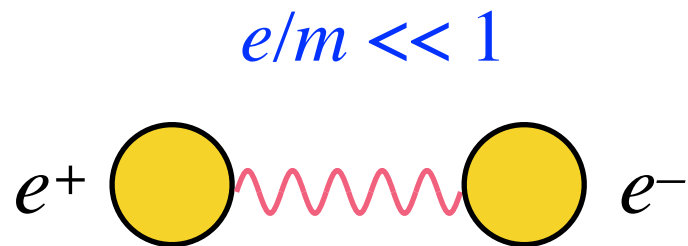
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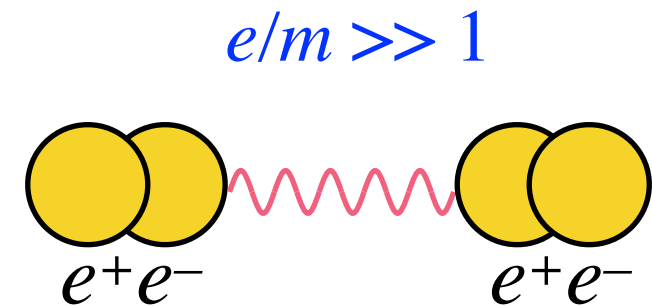
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Paradox: The hadron spectrum suggests weakly bound valence quarks, yet the light quarks are strongly bound (relativistic).

**Example:** Hyperfine splitting in Positronium

G. S. Adkins,

Hyperfine Interact. **233** (2015) 59

$$\begin{aligned} \Delta\nu_{QED} = m_e\alpha^4 & \left\{ \frac{7}{12} - \frac{\alpha}{\pi} \left( \frac{8}{9} + \frac{\ln 2}{2} \right) \right. \\ & + \frac{\alpha^2}{\pi^2} \left[ -\frac{5}{24}\pi^2 \ln \alpha + \frac{1367}{648} - \frac{5197}{3456}\pi^2 + \left( \frac{221}{144}\pi^2 + \frac{1}{2} \right) \ln 2 - \frac{53}{32}\zeta(3) \right] \\ & \left. - \frac{7\alpha^3}{8\pi} \ln^2 \alpha + \frac{\alpha^3}{\pi} \ln \alpha \left( \frac{17}{3} \ln 2 - \frac{217}{90} \right) + \mathcal{O}(\alpha^3) \right\} = 203.39169(41) \text{ GHz} \end{aligned}$$

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Yet the principles of PQED for bound states remain obscure:

“**Bound state theory is non-perturbative**, but it is possible to develop expressions in increasing orders of  $\alpha$ . **There is an art** in developing a theoretical expression in this manner”

Bodwin, Yennie and Gregorio,  
Rev. Mod. Phys. **57** (1985) 723

# Atoms from the QED action

The Schrödinger equation is **postulated** in Introductory Quantum Mechanics.

In QFT it should be **derived** from  $S_{QED}$ ,  
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$$C.f.: \quad \sqrt{M^2 + P^2} \simeq M + P^2/2M$$

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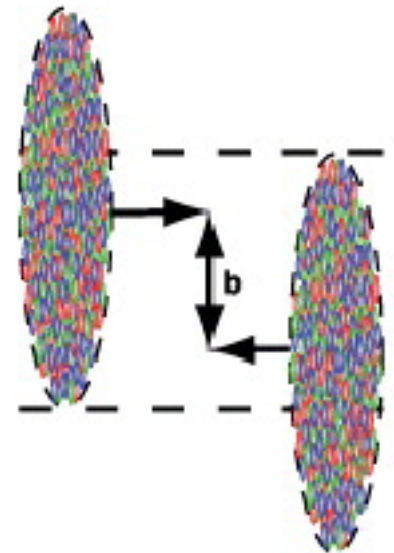
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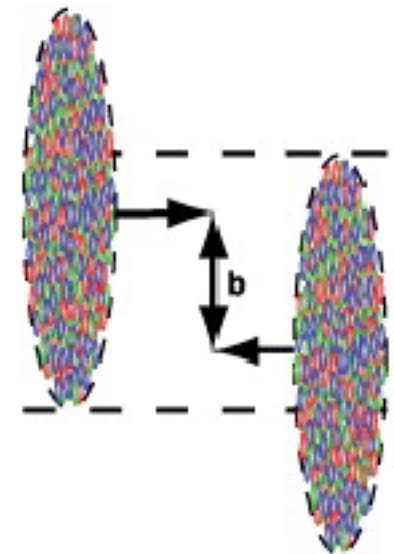
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Poincaré symmetry:

**What is the wave function of Positronium in motion?**

# A strategy for bound states

**Assume:** The similarities of atoms and hadrons are not “accidental”

Consider the principles for atoms in QED

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Even failure is instructive:

Bound state principles: Art  $\rightarrow$  Theory

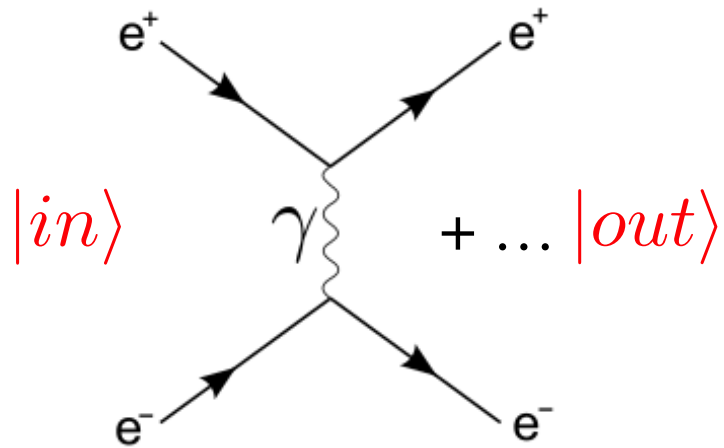
Poincaré invariance for atoms

## II. Method



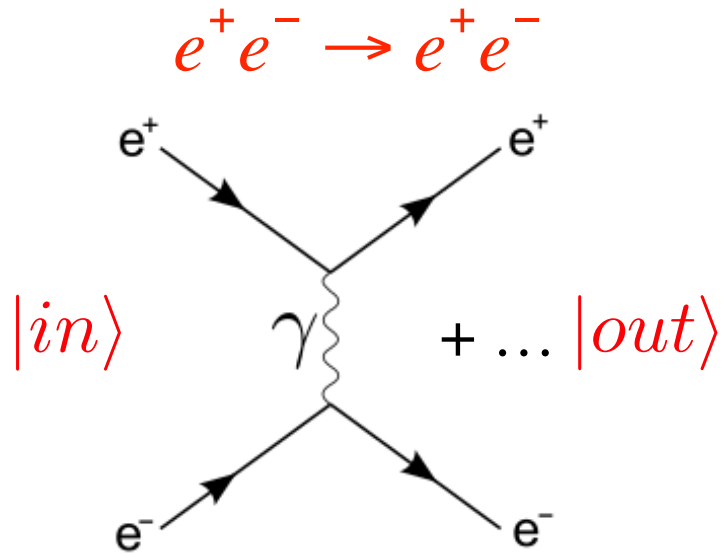
# Perturbative expansion: Scattering vs. bound states

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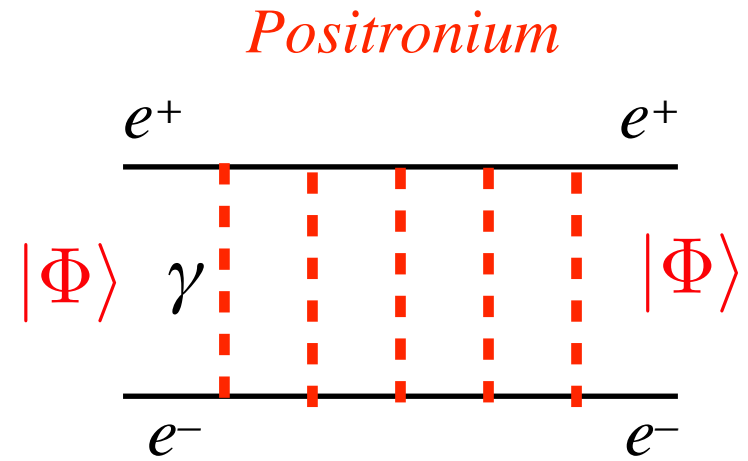


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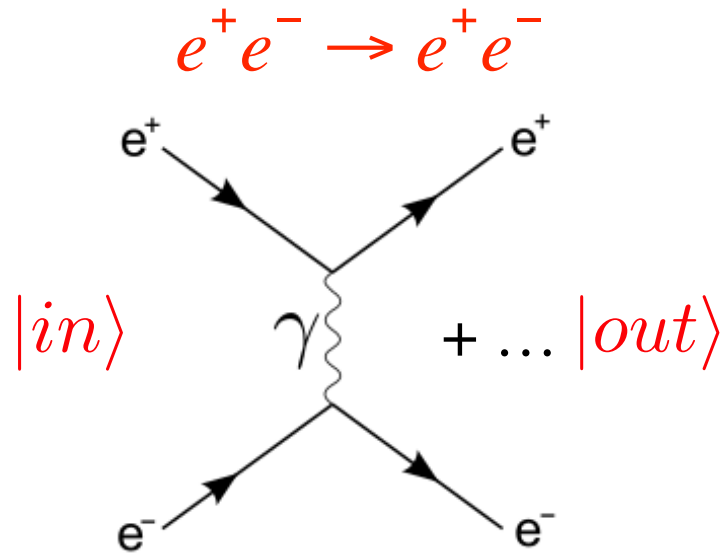


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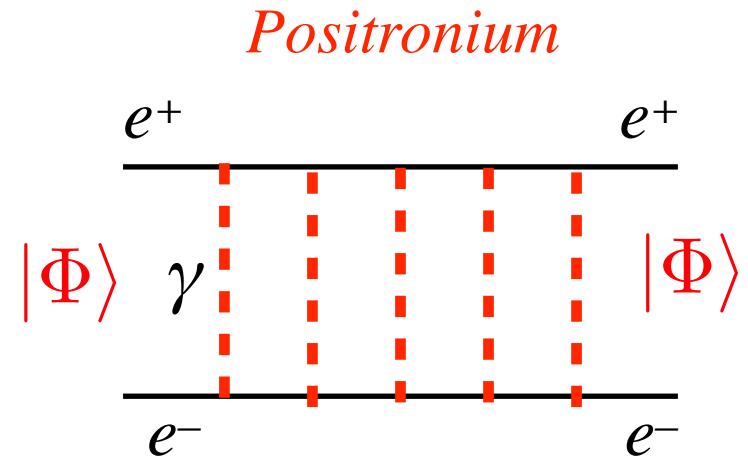


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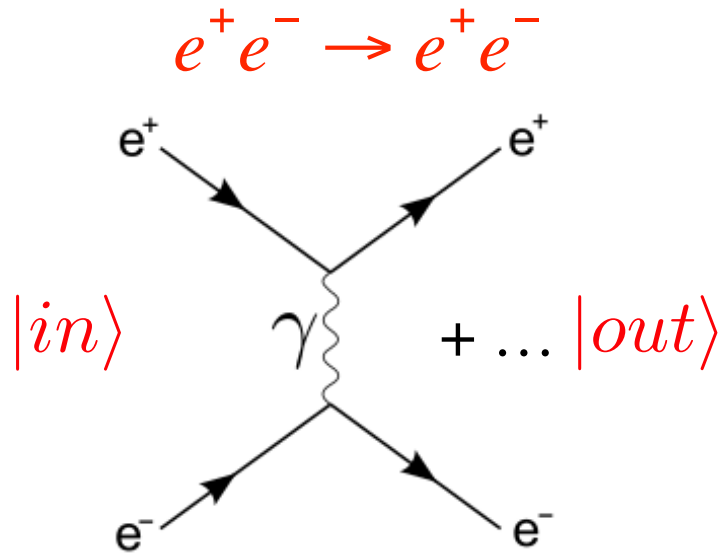
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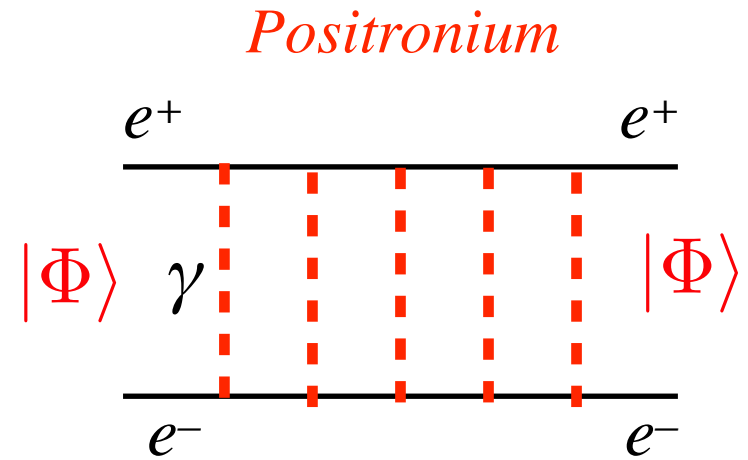
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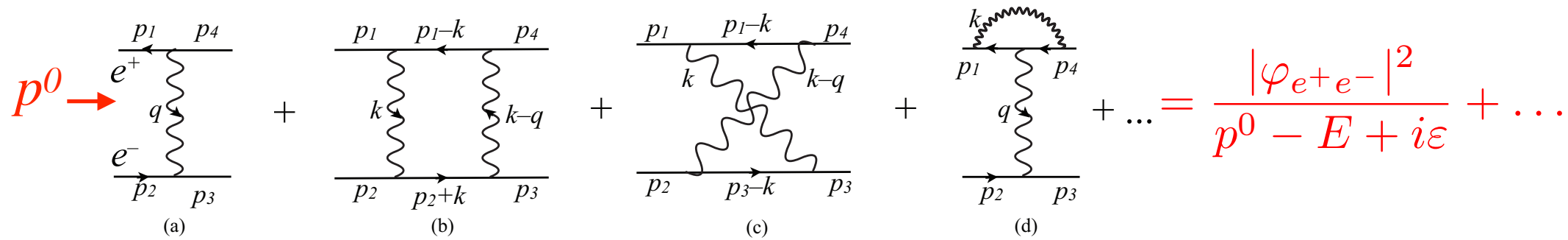
Their power corrections  $\Phi(\alpha)(1 + c_1\alpha + c_2\alpha^2 \dots)$  depend on  $\Phi(\alpha)$ .

**The perturbative expansion for wave functions is not unique, it depends on the choice of initial state.**

Caswell & Lepage (1975)

# The Schrödinger equation from Feynman diagrams

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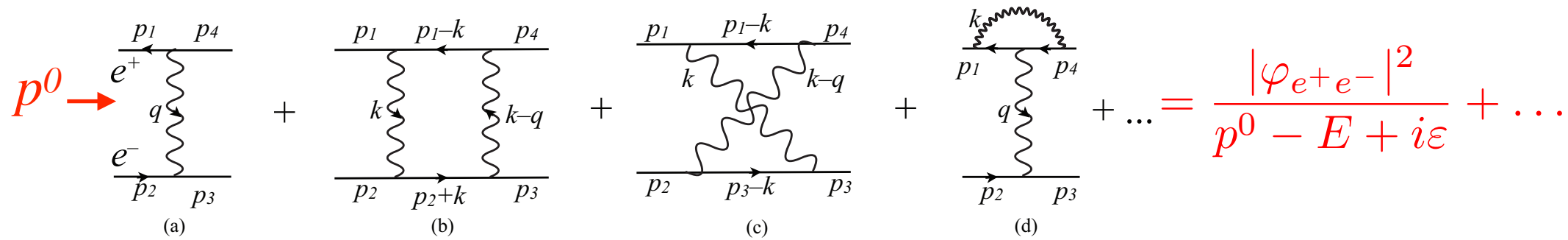


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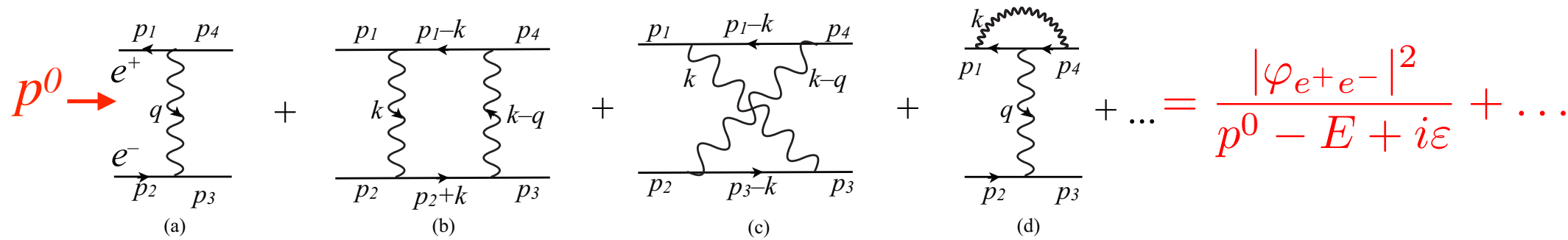
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QED: Sum of “ladder diagrams” generates the classical field  $V(r) = -\frac{\alpha}{r}$

QCD:  $V(r) = V' r$  Free quarks & gluons are an unlikely start for confinement

$\Rightarrow$  **Need to derive the Schrödinger equation with proper boundary conditions**

## Instantaneous ( $\Delta t = 0$ ) interactions

Equal-time  $q\bar{q}$  Fock states must be bound by **instantaneous** interactions.

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Instantaneous gauge interactions for

$$\nabla \cdot \mathbf{A}(t, \mathbf{x}) = 0 \quad (\text{Coulomb gauge})$$

$$A^0(t, \mathbf{x}) = 0 \quad (\text{Temporal gauge})$$

# Canonical quantization

Conjugate field  $\pi_\alpha$

$$\pi_\alpha(t, \mathbf{x}) = \frac{\partial \mathcal{L}(\varphi, \partial\varphi)}{\partial[\partial_0\varphi_\alpha(t, \mathbf{x})]}$$

Commutation relations

$$[\varphi_\alpha(t, \mathbf{x}), \pi_\beta(t, \mathbf{y})]_\pm = i\delta_{\alpha\beta}\delta^3(\mathbf{x} - \mathbf{y})$$

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$$\frac{\delta \mathcal{S}_{QED}}{\delta A^0(x)} = \partial_i E^i(x) - e\psi^\dagger \psi(x)$$

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This determines  $\nabla \cdot \mathbf{E}_L$  in terms of the charge distribution in the state.



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$\frac{\delta \mathcal{S}_{QED}}{\delta A^0(x)} |0\rangle = 0$  implies  $E_L = 0$  in the vacuum.

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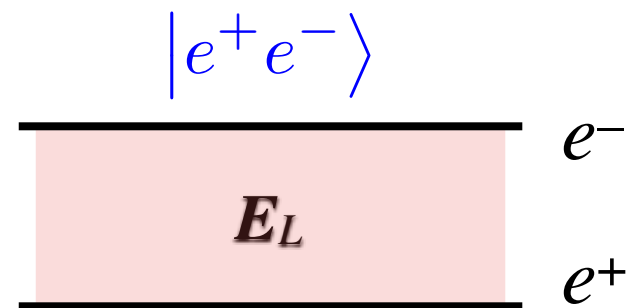
**$E_L$  can bind  $e^+e^-$  Fock states strongly, without pair creation.**

Temporal gauge allows to understand the weak-strong paradox of hadrons

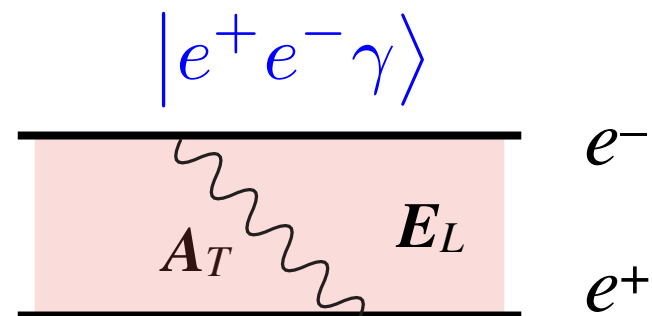
Bound state calculations generally use Coulomb gauge with constraints

# Fock state expansion for Positronium in $A^0=0$ gauge

The perturbative expansion in  $\alpha$  starts from the  $|e^+e^-\rangle$  Fock state, bound by its classical field  $\mathbf{E}_L$ :

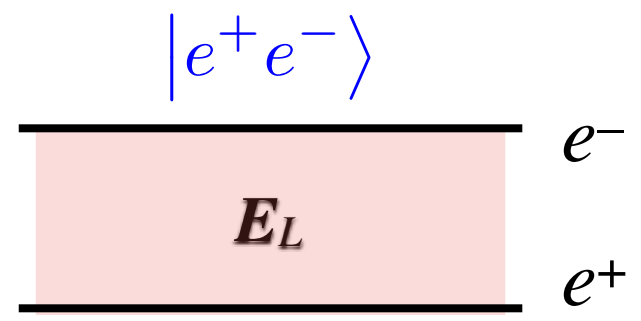


Higher order corrections include states with **transverse photons and  $e^+e^-$  pairs**, as determined by  $H_{QED} |e^+e^-\rangle$

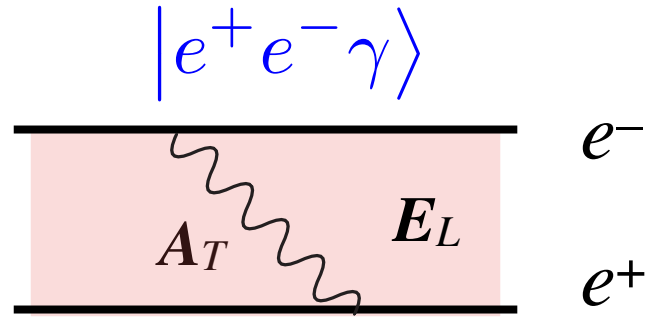


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Each Fock component of the bound state includes its particular instantaneous  $\mathbf{E}_L$  field.

This Fock expansion is valid in any frame, and is formally exact at  $O(\alpha^\infty)$ .

## Positronium in motion: Contraction

The binding energy in the rest frame ( $P = 0$ ) is  $E_b = -\alpha^2 m_e/4 + O(\alpha^4)$

At large momenta  $P$  the binding is  $\propto 1/P$ :

$$\Delta E(P) \equiv \sqrt{P^2 + (2m_e + E_b)^2} - \sqrt{P^2 + 4m_e^2} = \frac{2m_e E_b}{P} + \mathcal{O}(\alpha^4)$$

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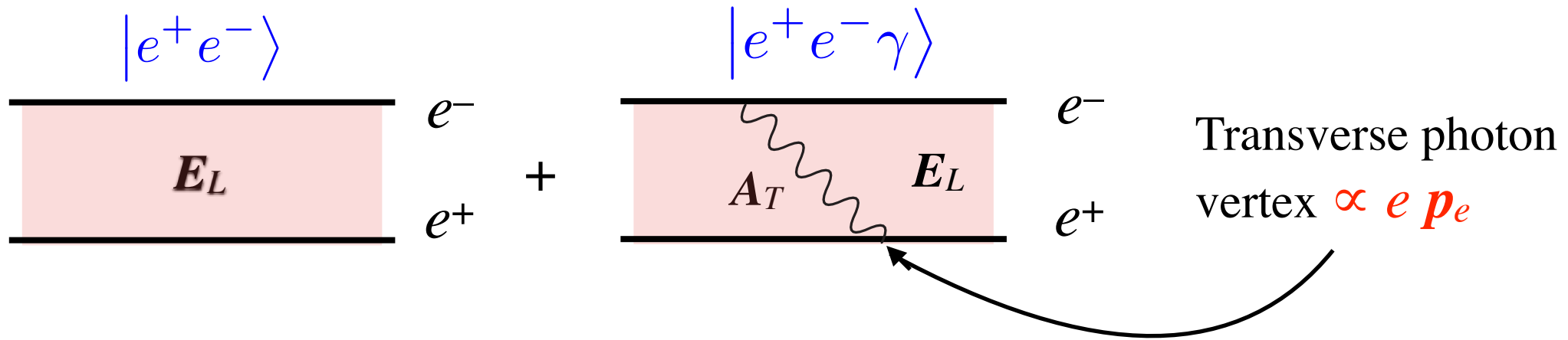
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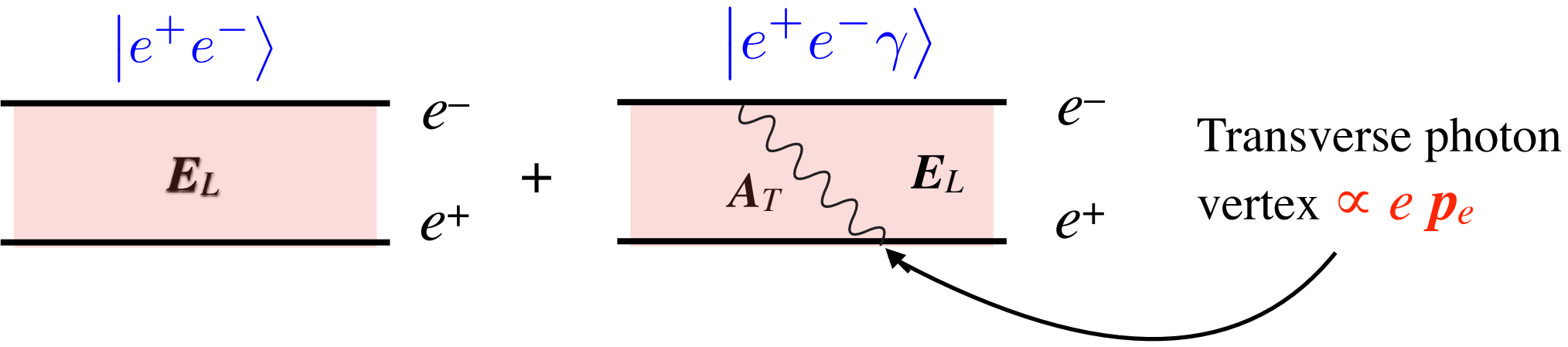
There must be more than contraction going on!



# Positronium in motion: Fock expansion



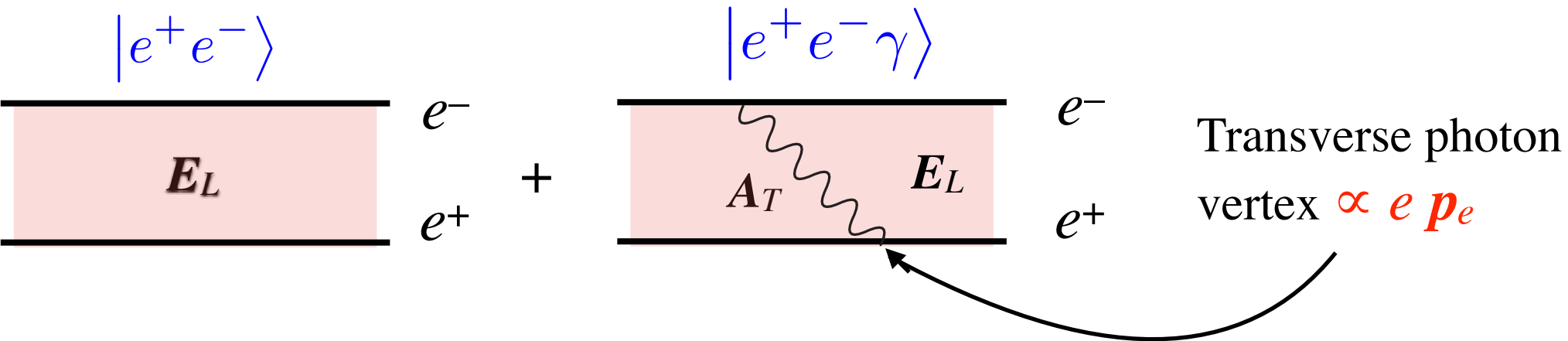
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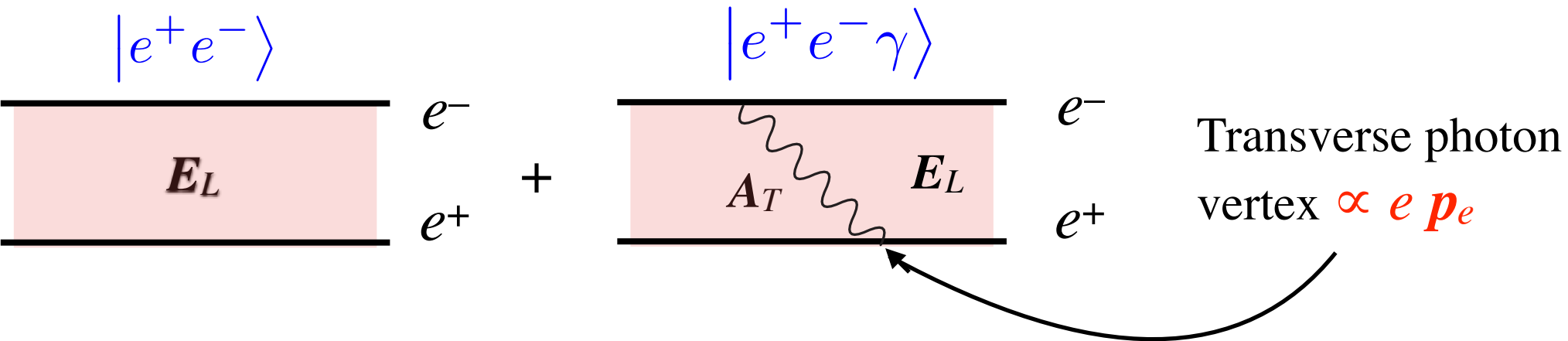
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**QFT gets things right when it is treated correctly**

### III. Application to QCD

## Temporal gauge in QCD: $A_a^0 = 0$

The temporal gauge constraint determines  $\nabla \cdot \mathbf{E}_{L,a}$  for each state:

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The homogeneous solution  $\propto \kappa$  is the only one that is compatible with invariance under space translations and rotations

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$$\begin{aligned} \mathcal{H}_V &\equiv \frac{1}{2} \int d\mathbf{x} \sum_a \mathbf{E}_L^a \cdot \mathbf{E}_L^a \\ &= \int d\mathbf{y} d\mathbf{z} \left\{ \mathbf{y} \cdot \mathbf{z} \left[ \frac{1}{2} \kappa^2 \int d\mathbf{x} + g\kappa \right] + \frac{1}{2} \frac{\alpha_s}{|\mathbf{y} - \mathbf{z}|} \right\} \mathcal{E}_a(\mathbf{y}) \mathcal{E}_a(\mathbf{z}) \end{aligned}$$

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The linear dependence on  $\mathbf{x}$  makes  $\mathbf{E}_L$  independent of  $\mathbf{x}$ , as required by translation invariance: **The field energy density is spatially constant:**

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The field energy  $\propto$  volume of space is irrelevant only if it is **universal**.

This relates the normalisation  $\kappa$  of all Fock components, leaving an overall scale  $\Lambda$  as the single parameter.

# Including the $\kappa \neq 0$ homogeneous solution for $E_{L,a}^i$

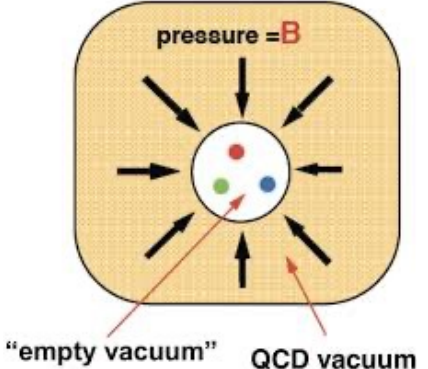
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“Bag model without a bag”

# Meson Fock state potential

$$|q(\mathbf{x}_1)\bar{q}(\mathbf{x}_2)\rangle \equiv \sum_A \bar{\psi}^A(\mathbf{x}_1) \psi^A(\mathbf{x}_2) |0\rangle \quad \text{globally color singlet}$$



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This potential is valid also for relativistic  $q\bar{q}$  Fock states,  
in any frame

# Baryon Fock state potential

Baryon:  $|q(\mathbf{x}_1)q(\mathbf{x}_2)q(\mathbf{x}_3)\rangle \equiv \sum_{A,B,C} \epsilon_{ABC} \psi_A^\dagger(\mathbf{x}_1) \psi_B^\dagger(\mathbf{x}_2) \psi_C^\dagger(\mathbf{x}_3) |0\rangle$

$$V_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) = \Lambda^2 d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) - \frac{2}{3} \alpha_s \left( \frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|} + \frac{1}{|\mathbf{x}_2 - \mathbf{x}_3|} + \frac{1}{|\mathbf{x}_3 - \mathbf{x}_1|} \right)$$

$$d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) \equiv \frac{1}{\sqrt{2}} \sqrt{(\mathbf{x}_1 - \mathbf{x}_2)^2 + (\mathbf{x}_2 - \mathbf{x}_3)^2 + (\mathbf{x}_3 - \mathbf{x}_1)^2}$$

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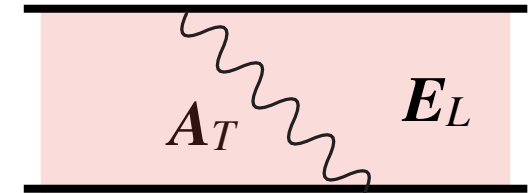
When two of the quarks coincide the potential reduces to the  $q\bar{q}$  potential:

$$V_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_2) = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - \frac{4}{3} \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|} = V_{q\bar{q}}(\mathbf{x}_1, \mathbf{x}_2)$$

Analogous potentials are obtained for any quark and gluon Fock state, such as  $q\bar{q}g$  and  $gg$ .

# The $qg\bar{q}$ potential

A  $q\bar{q}$  state, with the emission of a transverse gluon:



$$|q(\mathbf{x}_1)g(\mathbf{x}_g)\bar{q}(\mathbf{x}_2)\rangle \equiv \sum_{A,B,b} \bar{\psi}_A(\mathbf{x}_1) A_b^j(\mathbf{x}_g) T_{AB}^b \psi_B(\mathbf{x}_2) |0\rangle$$

$$V_{qgq}^{(0)}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) = \frac{\Lambda^2}{\sqrt{C_F}} d_{qgq}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) \quad (\text{universal } \Lambda)$$

$$d_{qgq}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) \equiv \sqrt{\frac{1}{4}(N - 2/N)(\mathbf{x}_1 - \mathbf{x}_2)^2 + N(\mathbf{x}_g - \frac{1}{2}\mathbf{x}_1 - \frac{1}{2}\mathbf{x}_2)^2}$$

$$V_{qgq}^{(1)}(\mathbf{x}_1, \mathbf{x}_g, \mathbf{x}_2) = \frac{1}{2} \alpha_s \left[ \frac{1}{N} \frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|} - N \left( \frac{1}{|\mathbf{x}_1 - \mathbf{x}_g|} + \frac{1}{|\mathbf{x}_2 - \mathbf{x}_g|} \right) \right]$$

When  $q$  and  $g$  coincide:

$$V_{qgq}^{(0)}(\mathbf{x}_1 = \mathbf{x}_g, \mathbf{x}_2) = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| = V_{q\bar{q}}^{(0)}$$

$$V_{qgq}^{(1)}(\mathbf{x}_1 = \mathbf{x}_g, \mathbf{x}_2) = V_{q\bar{q}}^{(1)}$$

# The $gg$ potential

A “glueball” component:  $|g(\mathbf{x}_1)g(\mathbf{x}_2)\rangle \equiv \sum_a A_a^i(\mathbf{x}_1) A_a^j(\mathbf{x}_2) |0\rangle$

has the potential  $V_{gg} = \sqrt{\frac{N}{C_F}} \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - N \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|}$

This agrees with the  $qg\bar{q}$  potential where the quarks coincide:

$$V_{gg}(\mathbf{x}, \mathbf{x}_g) = V_{qg\bar{q}}(\mathbf{x}, \mathbf{x}_g, \mathbf{x})$$

It is straightforward to work out the instantaneous potential for any Fock state.

# $\mathcal{O}(\alpha_s^0)$ $q\bar{q}$ bound states

An  $\mathcal{O}(\alpha_s^0)$  meson state with  $\mathbf{P} = 0$  and wave function  $\Phi$ :

$$|M\rangle = \sum_{A,B;\alpha,\beta} \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}_\alpha^A(t=0, \mathbf{x}_1) \delta^{AB} \Phi_{\alpha\beta}(\mathbf{x}_1 - \mathbf{x}_2) \psi_\beta^B(t=0, \mathbf{x}_2) |0\rangle$$



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The (rest frame) bound state condition  $H|M\rangle = M|M\rangle$  gives, at  $\mathcal{O}(\alpha_s^0)$

$$[i\gamma^0 \boldsymbol{\gamma} \cdot \vec{\nabla} + m\gamma^0] \Phi(\mathbf{x}) + \Phi(\mathbf{x}) [i\gamma^0 \boldsymbol{\gamma} \cdot \overleftarrow{\nabla} - m\gamma^0] = [M - V(|\mathbf{x}|)] \Phi(\mathbf{x})$$

where  $\mathbf{x} \equiv \mathbf{x}_1 - \mathbf{x}_2$  and  $V(|\mathbf{x}|) = V'|\mathbf{x}| = \Lambda^2|\mathbf{x}|$ .

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In the non-relativistic limit ( $m \gg \Lambda$ ) this reduces to the Schrödinger equation.

Including the instantaneous gluon exchange potential:

$\Rightarrow$  The quarkonium phenomenology with the Cornell potential.

# Separation of radial and angular variables

$$i\nabla \cdot \{\gamma^0 \boldsymbol{\gamma}, \Phi(\mathbf{x})\} + m [\gamma^0, \Phi(\mathbf{x})] = [M - V(\mathbf{x})] \Phi(\mathbf{x})$$

Expanding the  $4 \times 4$  wave function  
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 0^{-+} \text{ trajectory } [s=0, \ell=j] : & \quad -\eta_P = \eta_C = (-1)^j \quad \gamma_5, \gamma^0 \gamma_5, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x}, \gamma_5 \boldsymbol{\alpha} \cdot \mathbf{x} \times \mathbf{L} \\
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$\Rightarrow$  There are no solutions for quantum numbers that would be exotic in the NR quark model (despite the relativistic dynamics)

The BSE gives the radial equations for the  $F_i(r)$

(There are two coupled radial equations for the  $0^{++}$  trajectory)

## Example: $0^-$ trajectory wf's

$$\Phi_{-+}(\mathbf{x}) = \left[ \frac{2}{M-V} (i\boldsymbol{\alpha} \cdot \vec{\nabla} + m\gamma^0) + 1 \right] \gamma_5 F_1(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

$$\eta_P = (-1)^{j+1}$$

$$\eta_C = (-1)^j$$

Radial equation:  $F_1'' + \left( \frac{2}{r} + \frac{V'}{M-V} \right) F_1' + \left[ \frac{1}{4}(M-V)^2 - m^2 - \frac{j(j+1)}{r^2} \right] F_1 = 0$

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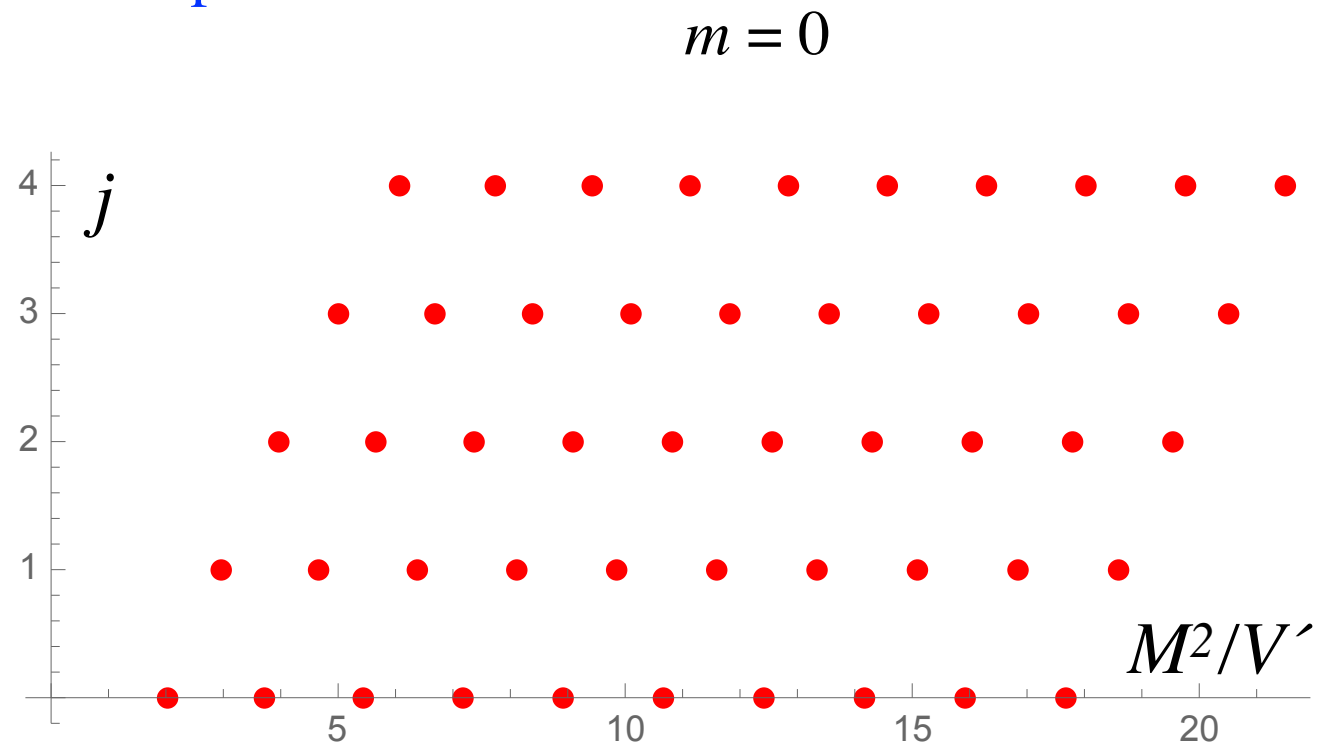
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Mass spectrum:

Linear Regge trajectories  
with daughters

Spectrum similar to  
dual models





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Many features of hadrons thus obtained look **promising & intriguing**