

P4. Quantum Statistical Physics: Density Operator

Quantum statistical physics combines quantum probability (for a quantum state, the probability for measuring a particular value for a physical quantity depends on the projection of the quantum state to the eigenstate of that quantity with that particular eigenvalue) with statistical probability: we do not know in which quantum state the system is; we have just a probability distribution. This probability distribution is represented by a (probability) density operator.

Given some orthonormal basis  $\{|i\rangle\}$  of the Hilbert space (the set of quantum states) of the systems, we can expand an arbitrary quantum state as

$$|\psi\rangle = \sum c_i |i\rangle, \quad \text{where } c_i = \langle i|\psi\rangle$$

and an arbitrary density operator as

The matrix  $\rho = [\rho_{ij}]$  is called the density matrix.

$$\hat{\rho} = \sum \rho_{ij} |i\rangle\langle j|, \quad \text{where } \rho_{ij} = \langle i|\hat{\rho}|j\rangle$$

where  $c_i, \rho_{ij}$  are complex numbers. Since  $\{|i\rangle\}$  is a complete orthonormal basis,

$$\langle i|j\rangle = \delta_{ij} \quad \text{and} \quad \sum_i |i\rangle\langle i| = \hat{1} \quad (\text{the identity operator}).$$

An acceptable density operator satisfies three conditions:

- 1)  $\hat{\rho} = \hat{\rho}^\dagger$  (Hermitian)  $\Rightarrow$  all eigenvalues  $p_\alpha$  are real
- 2)  $\langle \psi|\hat{\rho}|\psi\rangle \geq 0 \quad \forall |\psi\rangle$  (positive semi-definite)  $\Rightarrow$  all  $p_\alpha \geq 0$
- 3)  $\text{Tr } \hat{\rho} = \sum_i \langle i|\hat{\rho}|i\rangle = \sum_\alpha p_\alpha = 1$

Since  $\hat{\rho}$  is Hermitian,  $\exists$  complete set of orthogonal eigenstates  $\{|\alpha\rangle\}$ , where  $\hat{\rho}$  is diagonal;

$$\hat{\rho} = \sum_\alpha p_\alpha |\alpha\rangle\langle\alpha| \quad \text{Conditions 1-3} \Rightarrow \underline{0 \leq p_\alpha \leq 1}$$

Interpretation:  $p_\alpha$  is the probability that the system is in state  $|\alpha\rangle$

$$\hat{\rho}|\alpha\rangle = \sum_\beta p_\beta |\beta\rangle \underbrace{\langle\beta|\alpha\rangle}_{\delta_{\beta\alpha}} = p_\alpha |\alpha\rangle$$

## Pure State

- If we have perfect knowledge that the system is in a particular quantum state  $|\psi\rangle$ , i.e.,  $P_\psi = 1$ , then
 
$$\hat{\rho} = |\psi\rangle\langle\psi| = \sum_{ij} c_i c_j^* |i\rangle\langle j| \quad (4)$$

and we say that the system is in a pure state (although actually this is a statement about our knowledge of the system). For a pure state,

$$\hat{\rho}^2 = |\psi\rangle\langle\psi|\psi\rangle\langle\psi| = \hat{\rho}$$

$$\text{and } \text{Tr } \hat{\rho}^2 = \sum P_\alpha^2 = P_\psi^2 = 1 \quad (5)$$

- For a non-pure state (i.e., imperfect knowledge about the quantum state of the system),

$$\text{Tr } \hat{\rho}^2 = \sum P_\alpha^2 < 1 \quad (6)$$

A non-pure state is called a mixed state.

## Expectation Values

- The expectation value (combining quantum and statistical probability) of a physical quantity, whose operator is  $\hat{O}$ , is given by

$$\langle \hat{O} \rangle = \text{Tr } \hat{\rho} \hat{O} = \text{Tr } \hat{O} \hat{\rho} = \sum_i \langle i | \hat{O} \hat{\rho} | i \rangle = \sum_{ij} \langle i | \hat{O} | j \rangle \langle j | \hat{\rho} | i \rangle = \sum_{ij} O_{ij} \rho_{ji} \quad (7)$$

where  $O_{ij}$  are the matrix elements of  $\hat{O}$  in the basis  $\{|i\rangle\}$ . In the  $\hat{\rho}$  eigenbasis  $\{|\alpha\rangle\}$ ,

$$\langle \hat{O} \rangle = \sum_{\alpha\beta} \langle \alpha | \hat{O} | \beta \rangle \underbrace{\langle \beta | \hat{\rho} | \alpha \rangle}_{\rho_\alpha \delta_{\beta\alpha}} = \sum_\alpha \rho_\alpha \langle \alpha | \hat{O} | \alpha \rangle \quad (8)$$

and in the  $\hat{O}$  eigenbasis  $\{|\alpha\rangle\}$ , where  $O_\alpha$  are the  $\hat{O}$  eigenvalues

$$\langle \hat{O} \rangle = \sum_{\alpha\beta} \underbrace{\langle \alpha | \hat{O} | \beta \rangle}_{O_\alpha \delta_{\alpha\beta}} \langle \beta | \hat{\rho} | \alpha \rangle = \sum_\alpha O_\alpha \rho_{\alpha\alpha} \quad (9)$$

- For a pure state,  $\langle \hat{O} \rangle = \langle \psi | \hat{O} | \psi \rangle = \sum_{ij} c_i^* \langle i | \hat{O} | j \rangle c_j = \sum_{ij} c_i^* c_j O_{ij}$

$$\text{or, from density matrix (4), } \langle \hat{O} \rangle = \text{Tr } \hat{\rho} \hat{O} = \sum_{ikj} \langle i | \underbrace{c_k c_j^*}_{c_k c_j^* \delta_{ik}} | k \rangle \langle j | \hat{O} | i \rangle = \sum_{ij} c_i c_j^* O_{ji} = \sum_{ij} c_i^* c_j O_{ij}$$

$$\text{or, } \text{Tr } \hat{\rho} \hat{O} = \sum_i \underbrace{\langle i | \psi \rangle}_{c_i} \langle \psi | \hat{O} | i \rangle = \langle \psi | \hat{O} | \psi \rangle$$

Summary

	Quantum state	Density operator
expansion	$ \psi\rangle = \sum c_i  i\rangle$	$\hat{\rho} = \sum \rho_{ij}  i\rangle\langle j $
coefficient	$c_i = \langle i \psi\rangle$	$\rho_{ij} = \langle i \hat{\rho} j\rangle$
normalization	$\langle\psi \psi\rangle = \sum  c_i ^2 = 1$	$\text{Tr} \hat{\rho} = \sum_i \rho_{ii} = \sum_\alpha p_\alpha = 1$
operator expectation value	$\langle\hat{G}\rangle = \langle\psi \hat{G} \psi\rangle$	$\langle\hat{G}\rangle = \text{Tr} \hat{G} \hat{\rho} = \sum_i \langle i \hat{G}\hat{\rho} i\rangle$