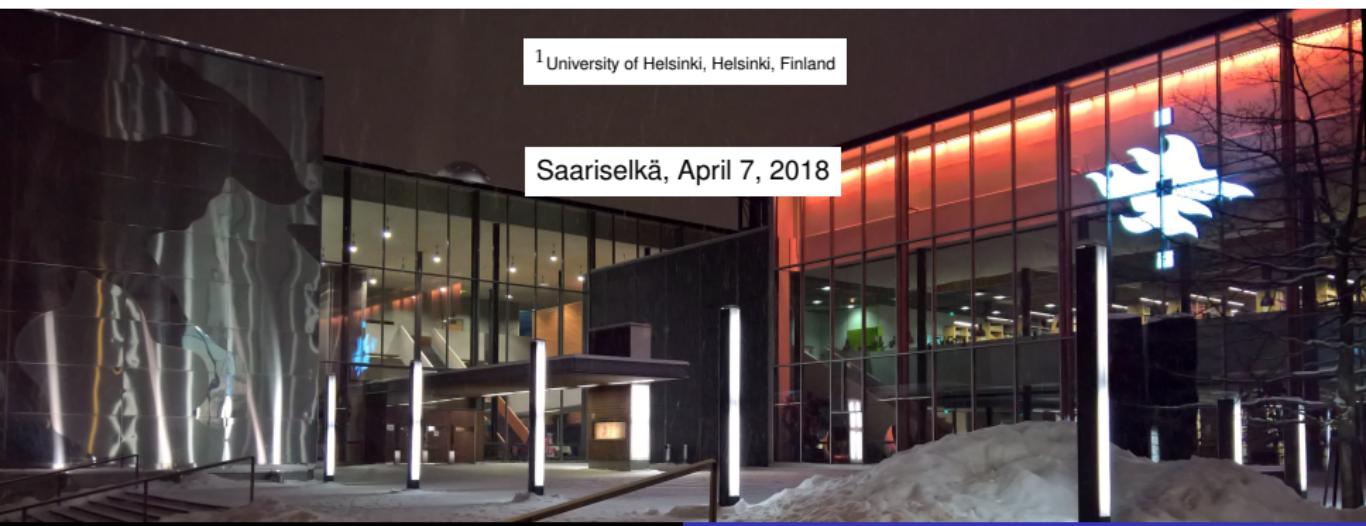


Finite Density on the Lattice: problems and (some) solutions

Tobias Rindlisbacher¹

¹University of Helsinki, Helsinki, Finland

Saariselkä, April 7, 2018



- 1 Motivation: why lattice field theory at finite density?
- 2 Lattice QCD at finite quark density, sign problem.
- 3 Isospin QCD at finite density.
- 4 Lattice SU(2) principal chiral model at finite density.



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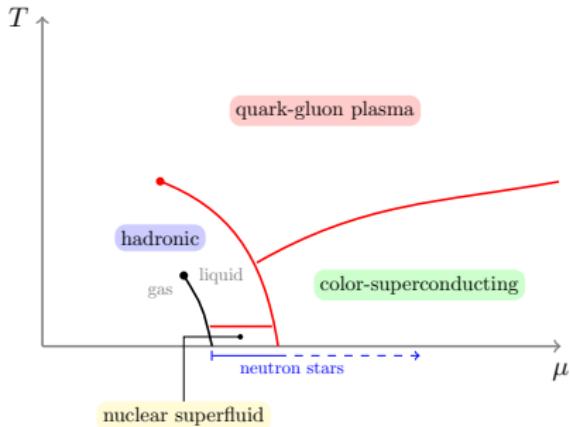
Why lattice field theory at finite density?



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Why lattice field theory at finite density?

→ Conjectured QCD phase diagram:

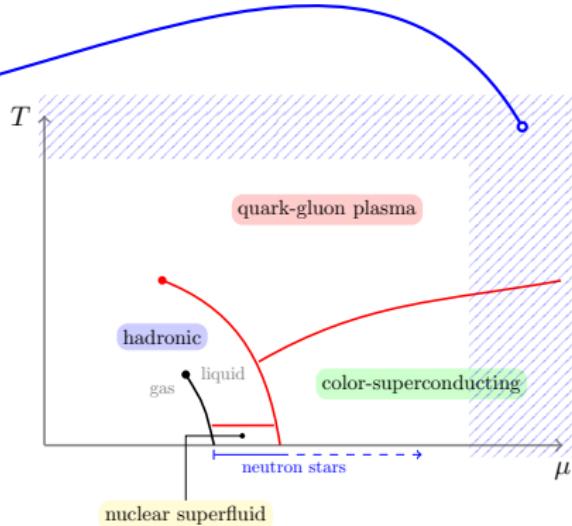


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Perturbation theory
(high dens./temp. → asympt.
freedom)



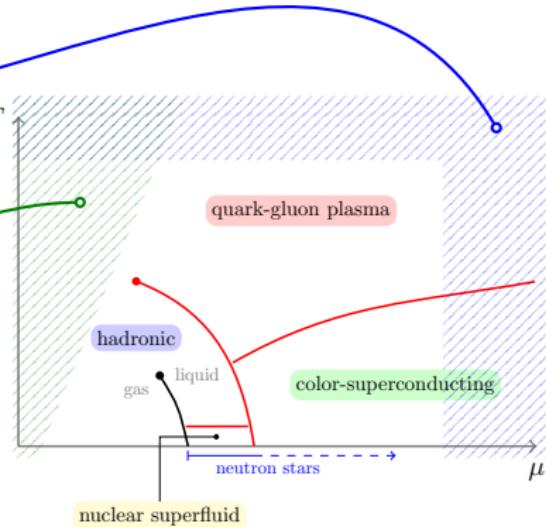
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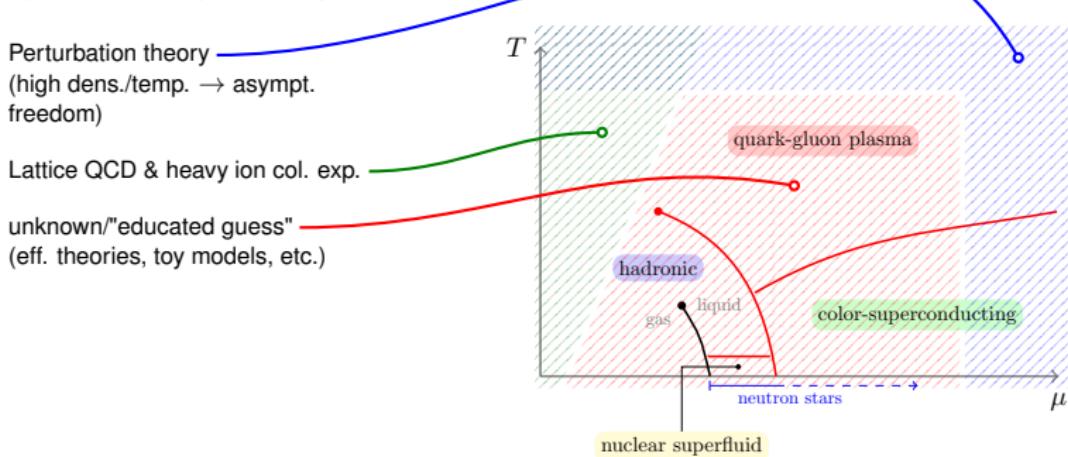
Lattice QCD & heavy ion col. exp.



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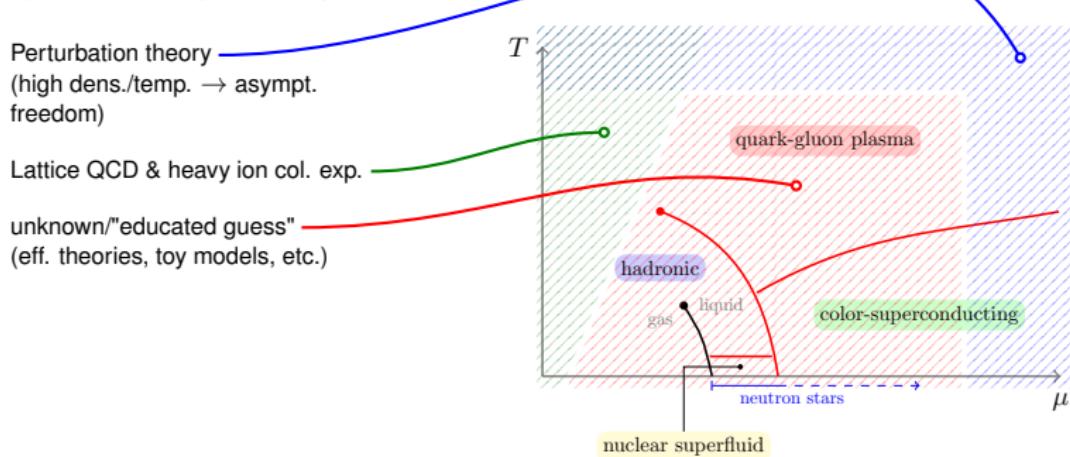
→ Conjectured QCD phase diagram:



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Why lattice field theory at finite density?

→ Conjectured QCD phase diagram:



- Need first-principles method to verify conjectures!
- Non-perturbative phenomena!



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1. Lattice QCD: sign problem at finite μ

- Why lattice QCD fails at finite chemical potential:

$$Z_{QCD} = \int \mathcal{D}[U, \psi, \bar{\psi}] \exp(-S_F[\psi, \bar{\psi}, U] - S_G[U]) = \int \mathcal{D}[U] \text{Det}(M[U]) e^{-S_G[U]}$$

Fermions need to be integrated out analytically → fermion determinant

- Monte Carlo: **integrand (incl. measure) needs to be real and non-negative!**
- It holds: $\gamma_5 M_{x,y}(\mu) \gamma_5 = M_{y,x}^\dagger(-\mu^*) \Rightarrow \text{Det}(M(\mu)) = \text{Det}(M(-\mu^*))^*$.
- If $\text{Re}(\mu) = 0$:
 - $\text{Det}(M(\mu)) \in \mathbb{R}$,
 - $\text{Det}(M(\mu))^2 \geq 0 \Rightarrow$ even numbers of mass-degenerate flavors can be simulated!
- If $\text{Re}(\mu) \neq 0$:
 - $\text{Det}(M(\mu)) \in \mathbb{C} \Rightarrow$ "sign problem"!



1. Lattice QCD: sign problem at finite μ

- Partition function for full QCD:

$$Z_{QCD} = \int \mathcal{D}[U] \text{Det}(M[U]) e^{-S_G[U]} = \int \mathcal{D}[U] \underbrace{\frac{\text{Det}M[U]}{|\text{Det}(M[U])|}}_{R[U] \in U(1)} |\text{Det}(M[U])| e^{-S_G[U]}$$



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$$Z_{QCD} = \int \mathcal{D}[U] \text{Det}(M[U]) e^{-S_G[U]} = Z_{|QCD|} \cdot \langle R \rangle_{|QCD|}$$

with:

- partition function for "phase quenched" theory:

$$Z_{|QCD|} = \int \mathcal{D}[U] |\text{Det}(M[U])| e^{-S_G[U]}$$

- expectation value of observable \mathcal{O} in "phase quenched" QCD:

$$\langle \mathcal{O} \rangle_{|QCD|} = \frac{1}{Z_{|QCD|}} \int \mathcal{D}[U] \mathcal{O}[U] |\text{Det}(M[U])| e^{-S_G[U]}$$



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- Expectation value of observable \mathcal{O} w.r.t. full QCD ("reweighting"):

$$\langle \mathcal{O} \rangle_{QCD} = \frac{\int \mathcal{D}[U] \mathcal{O}[U] \text{Det}(M[U]) e^{-S_G[U]}}{Z_{QCD}} = \frac{\langle \mathcal{O} R \rangle_{|QCD|}}{\langle R \rangle_{|QCD|}}$$



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- Problem: $R[U]$ highly oscillatory

$$\langle R \rangle_{|QCD|} = \frac{Z_{QCD}}{Z_{|QCD|}} = e^{-L^3 N_t \Delta f} \quad , \quad \Delta f = f_{QCD} - f_{|QCD|} .$$



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- Monte Carlo error goes like: err. $\propto \frac{1}{\sqrt{\# \text{ meas.}}}$

⇒ required statistics for equal accuracy $\propto e^{2L^3 N_t \Delta f}$



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- Why is $\Delta f \neq 0$? Consider two-flavor QCD with deg. quark masses.
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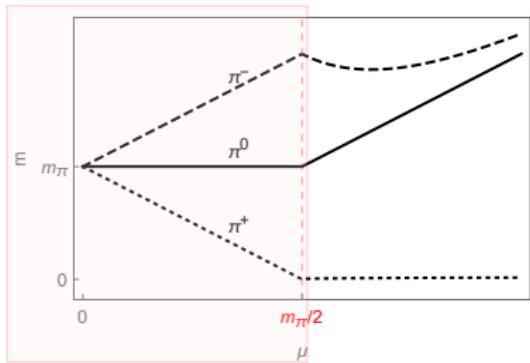
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→ effective pion masses: $m = m_\pi - 2\mu Q$, $Q = 0, \pm 1$,
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(assuming $\mu < m_\rho/2$)



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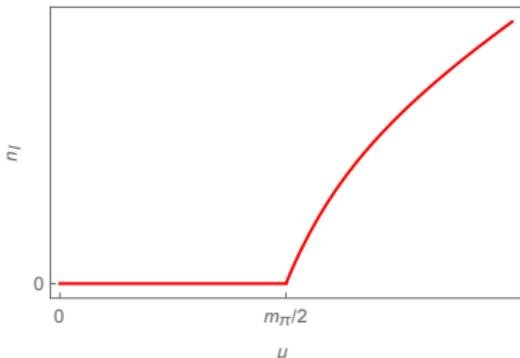
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→ **non-zero isospin density,**



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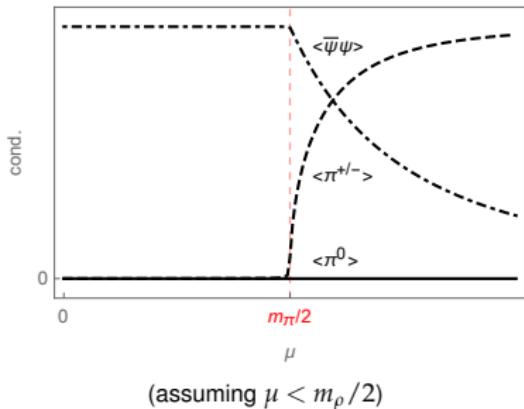
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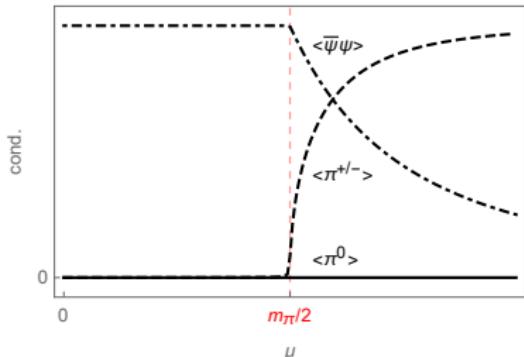
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→ as long $\mu < m_\pi/2$ so that vacuum at $\langle \bar{\psi}\psi \rangle \neq 0$, $\langle \pi^\pm \rangle = 0$:

can (approx.) identify: $QCD: (u, d), (\bar{u}, \bar{d}) \leftrightarrow |QCD|: (u, \bar{d}), (\bar{u}, d)$ \Rightarrow Δf small.



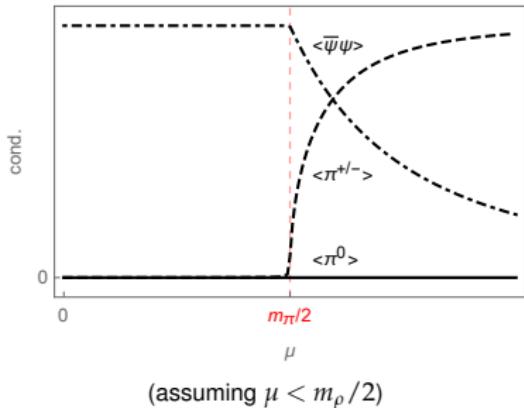
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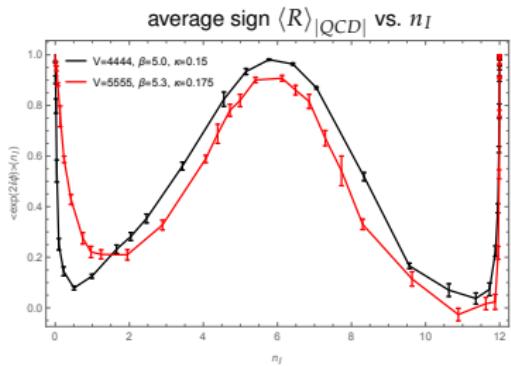
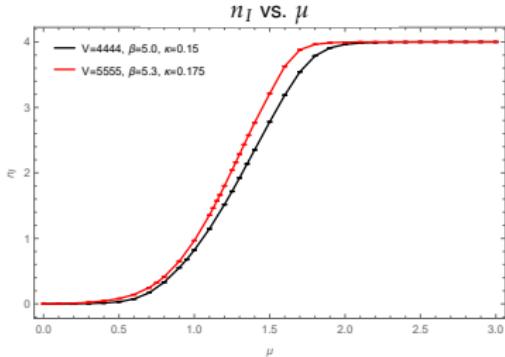
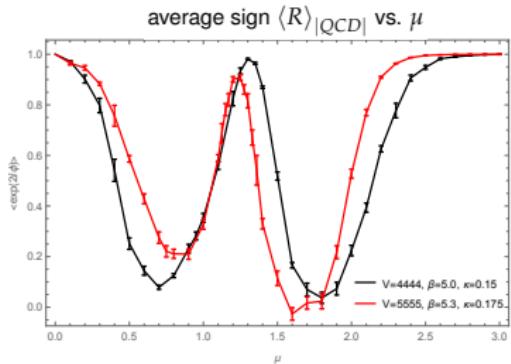
- identification breaks down when $\langle \pi^\pm \rangle \neq 0$ \Rightarrow Δf large!



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1. Lattice QCD: sign problem at finite μ

→ Behaviour of $\langle R \rangle_{|QCD|}(\mu)$:



→ Average sign drops dramatically as soon as n_I becomes non-zero.



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1. Lattice QCD: sign problem at finite μ

- Attempts to overcome sign problem in finite density lattice QCD?

→ complexification and deformation of path integral's domain of integration:

- hol. gradient flow (with/without neural network), Basar's talk, or [Alexandru et al., 2017] ,
- path optimization (with/without neural network), [Mori et al., 2017]
- cluster decomposition, [Wenger et al., 2017]
- strong coupling and/or (spatial) hopping expansion, [Forcrand et al.],[Philipsen et al.]
- ...
- spatial hopping/loop expansion + mean field.



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- Circumventing the finite density sign problem in QCD:

Single flavor LQCD partition function,

$$Z = \int \mathcal{D}[U] \text{Det}(D[U]) e^{-S_g[U]} ,$$

with Wilson's lattice gauge action,

$$S_g[U] = \frac{\beta}{3} \sum_x \sum_{\mu < \nu} \text{ReTr}(\mathbb{1} - U_{\mu\nu}(x)) , \quad U_{\mu\nu}(x) = U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x) ,$$

and Wilson's lattice Dirac operator,

$$\begin{aligned} D_{xIa,yJb}[U] = & \delta_{xy} \delta_{IJ} \delta_{ab} \\ & - \kappa \underbrace{\sum_{\nu=1}^3 \left(\delta_{x+\hat{\nu},y} (\mathbb{1} - \gamma_\nu)_{ab} U_{\nu,IJ}(x) + \delta_{x-\hat{\nu},y} (\mathbb{1} + \gamma_\nu)_{ab} U_{\nu,IJ}^\dagger(x - \hat{\nu}) \right)}_{S_{xIa,yJb}} \\ & - \kappa \underbrace{\left(\delta_{x+\hat{4},y} (\mathbb{1} - \gamma_4)_{ab} U_{4,IJ}(x) e^\mu + \delta_{x-\hat{4},y} (\mathbb{1} + \gamma_4)_{ab} U_{4,IJ}^\dagger(x - \hat{4}) e^{-\mu} \right)}_{T_{xIa,yJb}} . \end{aligned}$$



- Rewrite single flavor partition function:

$$Z = \int \mathcal{D}[U] \text{Det}(D[U]) e^{-S_g[U]}$$



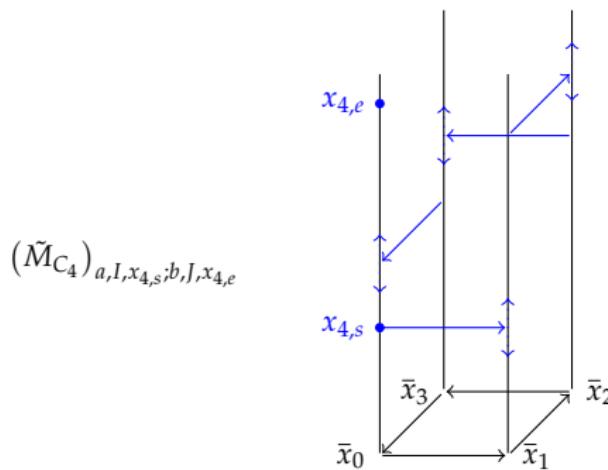
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- Rewrite single flavor partition function:

$$Z = \underbrace{\int \mathcal{D}[U] \text{Det}(\mathbb{1} - \kappa T) \left(\prod_{s_0} \underbrace{\prod_{\{C_{s_0}\}} \det_{c,d,t}(\mathbb{1} - \kappa_s^{s_0} \tilde{M}_{C_{s_0}})}_{\text{"spatial loop" expansion of } \text{Det}(D[U])} \right)}_{\text{character expansion of } e^{-Sg[U]}} \prod_p \left\{ 1 + \sum_{r \neq 0} d_r a_r(\beta) \chi_r(U_p) \right\}$$

with

$$\tilde{M}_{C_{s_0}} = \prod_{\substack{i=0 \\ \bar{x}_i \in C_{s_0}}}^{s_0-1} S_{\bar{x}_i, \bar{x}_{((i+1) \bmod s_0)}} (\mathbb{1} - \kappa T)^{-1}_{\bar{x}_{((i+1) \bmod s_0)}}.$$



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- Effective nearest-neighbor Polyakov loop model obtained by truncating:

- $s_0 \leq 2$ (loops spanned by no more than two neighboring spatial sites)
- $p \in P_t$ (P_t : set of time-like plaquettes only)
- $r = f$ (fundamental plaquettes only)

$$\rightarrow Z \approx \int \mathcal{D}[U] \text{Det}(\mathbb{1} - \kappa T) \left(\prod_{\langle \bar{x}, \bar{y} \rangle} \det_{c,d,t}(\mathbb{1} - \kappa_s^2 \tilde{M}_{\bar{x}, \bar{y}}) \right) \prod_{p \in P_t} \left\{ 1 + 3 a_f(\beta) \text{tr}_c(U_p) \right\}$$



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- Take terms up to order $\mathcal{O}(\kappa_s^2 a_f^{n_t(\beta)})$ and integrate out spatial links:

$$Z_{eff} = \int \mathcal{D}[P] \left(\prod_{\bar{x}} \det_c^2(\mathbb{1} + (2\kappa e^\mu)^{n_t} P_{\bar{x}}) \det_c^2(\mathbb{1} + (2\kappa e^{-\mu})^{n_t} P_{\bar{x}}^\dagger) \right) e^{-S_{eff}(n_t, \kappa, \beta, \mu)[P, P^\dagger]}$$



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→ can be expressed entirely in terms of $L_x = \text{tr}_c(P_x)$ and $\bar{L}_x = \text{tr}_c(P_x^\dagger)$.



- Full set of nearest neighbor hoppings:

$$\begin{aligned}
 \det_{c,d,t}(\mathbb{1} - \kappa_s^2 \tilde{M}_{\bar{x},\bar{y}}) &= 1 - \kappa_s^2 \operatorname{tr}_{c,d,t}(\tilde{M}_{\bar{x},\bar{y}}) \\
 &\quad + \frac{\kappa_s^4}{2} \left(\operatorname{tr}_{c,d,t}^2(\tilde{M}_{\bar{x},\bar{y}}) - \operatorname{tr}_{c,d,t}(\tilde{M}_{\bar{x},\bar{y}}^2) \right) \\
 &\quad - \frac{\kappa_s^6}{6} \left(\operatorname{tr}_{c,d,t}^3(\tilde{M}_{\bar{x},\bar{y}}) - 3 \operatorname{tr}_{c,d,t}(\tilde{M}_{\bar{x},\bar{y}}) \operatorname{tr}_{c,d,t}(\tilde{M}_{\bar{x},\bar{y}}^2) + 2 \operatorname{tr}_{c,d,t}(\tilde{M}_{\bar{x},\bar{y}}^3) \right) \\
 &\quad + \dots \\
 &= \sum_{k=0}^{12n_t} \kappa_s^{2k} c_{12n_t-k}(\tilde{M}_{\bar{x},\bar{y}}),
 \end{aligned}$$

where for a $n \times n$ matrix A , the $c_k(A)$ are defined by,

$$\chi_A(\lambda) = \det(\mathbb{1}\lambda - A) = \sum_{k=0}^n \lambda^k c_k(A).$$

- For simplicity, start with leading terms up to quadratic order in κ_s and require

$$Z_{eff} = \int \mathcal{D}[P] \left(\prod_{\bar{x}} \det_c^2(\mathbb{1} + (2\kappa e^\mu)^{n_t} P_{\bar{x}}) \det_c^2(\mathbb{1} + (2\kappa e^{-\mu})^{n_t} P_{\bar{x}}^\dagger) \right) e^{-S_{f,eff}(n_t, \kappa, \beta, \mu) - S_{g,eff}(n_t, \beta)}$$



to coincide with Z up to order $\mathcal{O}(\kappa_s^2 a_f^{n_t}(\beta))$ $\implies S_{f,eff}(n_t, \kappa, \beta, \mu)$ and $S_{g,eff}(n_t, \beta)$

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■ Effective gauge action:

→ Integrate out spatial links in $\mathcal{O}(\kappa_s^0)$ piece of Z :

$$\int \mathcal{D}[U_s] \prod_{p \in P_t} \left\{ 1 + 3a_f(\beta) \operatorname{tr}_c(U_p) \right\}$$

$$= \prod_{\langle \bar{x}, \bar{y} \rangle} \{ 1 + a_f^{n_t}(\beta) \left(\operatorname{tr}_c(P_{\bar{x}}) \operatorname{tr}_c(P_{\bar{y}}^\dagger) + \operatorname{tr}_c(P_{\bar{x}}^\dagger) \operatorname{tr}_c(P_{\bar{y}}) \right) \},$$



$$\implies -S_{g,eff}(n_t, \beta) = a_f^{n_t}(\beta) \sum_{\langle \bar{x}, \bar{y} \rangle} \left(\operatorname{tr}_c(P_{\bar{x}}) \operatorname{tr}_c(P_{\bar{y}}^\dagger) + \operatorname{tr}_c(P_{\bar{x}}^\dagger) \operatorname{tr}_c(P_{\bar{y}}) \right),$$



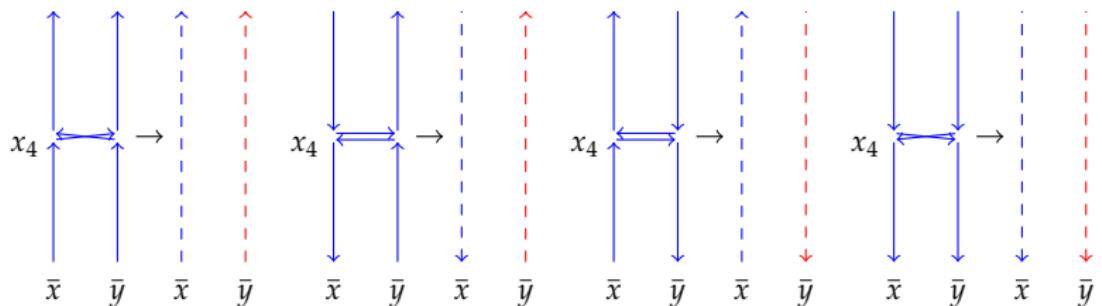
- Effective fermion action (interaction part):

→ Integrate out spatial links in $\mathcal{O}(\kappa_s^2) - \mathcal{O}(\kappa_s^2 a_f^{n_t}(\beta))$ pieces of Z :

$$-\kappa_s^2 \int \mathcal{D}[U_s] \sum_{\langle \bar{x}, \bar{y} \rangle} \text{tr}_{c,d,t} (S_{\bar{x}, \bar{y}} (\mathbb{1} - \kappa T)^{-1}_{\bar{y}} S_{\bar{y}, \bar{x}} (\mathbb{1} - \kappa T)^{-1}_{\bar{x}}) \prod_{p \in P_t} (1 + 3a_f(\beta) \text{tr}_c(U_p))$$

$$\begin{aligned} \implies -S_{f,eff}(n_t, \kappa, \beta, \mu) = & \underbrace{-S_{f,eff,0}(n_t, \kappa, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^0(\beta))} \\ & - \underbrace{S_{f,eff,1}(n_t, \kappa, \beta, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^1(\beta)) - \mathcal{O}(\kappa_s^2 a_f^{n_t-1}(\beta))} \\ & - \underbrace{S_{f,eff,2}(n_t, \kappa, \beta, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^{n_t}(\beta))} \end{aligned}$$

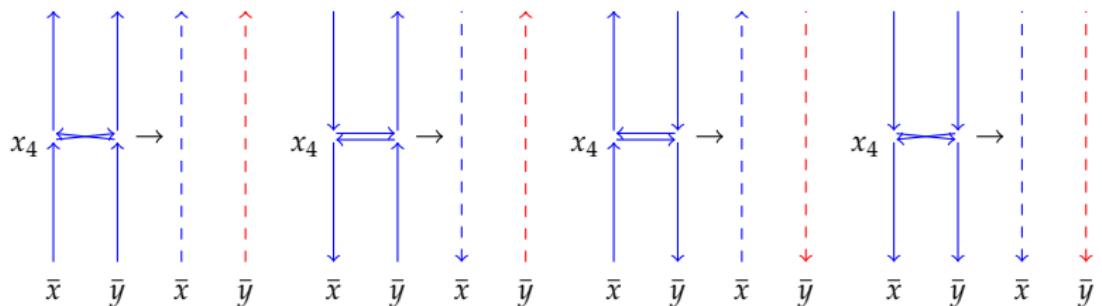

- For example the contribution at order $\mathcal{O}(\kappa_s^2 a_f^0(\beta))$:



$$\begin{aligned} \implies -S_{f,eff,0}(n_t, \kappa, \mu) = & -\frac{2\kappa^2 n_t}{3} \\ & \cdot \sum_{\langle \bar{x}, \bar{y} \rangle} \left(\text{tr}_c \left((\mathbb{1} + (2\kappa e^\mu)^{n_t} P_{\bar{x}})^{-1} \right) - \text{tr}_c \left((\mathbb{1} + (2\kappa e^{-\mu})^{n_t} P_{\bar{x}}^+)^{-1} \right) \right) \\ & \cdot \left(\text{tr}_c \left((\mathbb{1} + (2\kappa e^\mu)^{n_t} P_{\bar{y}})^{-1} \right) - \text{tr}_c \left((\mathbb{1} + (2\kappa e^{-\mu})^{n_t} P_{\bar{y}}^+)^{-1} \right) \right), \end{aligned}$$



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- Higher orders ...



- Partition function for effective model:

$$Z_{eff} = \int \mathcal{D}[P] \left(\prod_{\bar{x}} \det_c^2(\mathbb{1} + (2\kappa e^\mu)^{n_t} P_{\bar{x}}) \det_c^2(\mathbb{1} + (2\kappa e^{-\mu})^{n_t} P_{\bar{x}}^\dagger) \right) e^{-S_{eff,tot}(n_t, \kappa, \beta, \mu)}$$

where

$$\begin{aligned} -S_{eff,tot}(n_t, \kappa, \beta, \mu) = & - \underbrace{S_{g,eff}(n_t, \beta)}_{\mathcal{O}(\kappa_s^0 a_f^{n_t}(\beta))} \\ & - \underbrace{S_{f,eff,0}(n_t, \kappa, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^0(\beta))} \\ & - \underbrace{S_{f,eff,1}(n_t, \kappa, \beta, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^1(\beta)) - \mathcal{O}(\kappa_s^2 a_f^{n_t-1}(\beta))} \\ & - \underbrace{S_{f,eff,2}(n_t, \kappa, \beta, \mu)}_{\mathcal{O}(\kappa_s^2 a_f^{n_t}(\beta))} \end{aligned}$$

depends only on $L_i = \text{tr}_c(P_{\bar{x}_i})$ and $L_i^* = \text{tr}_c(P_{\bar{x}_i}^\dagger)$.



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- Mean field action for effective nearest-neighbor Polyakov loop model:

$$S_{mf}(L_a, L_a^*, \bar{L}, \bar{L}^*) = \frac{1}{V} \sum_i^V \left\{ (L_a - \bar{L}) \frac{\partial S_{eff,tot}(L_1, \dots, L_V, L_1^*, \dots, L_V^*)}{\partial L_i} \Big|_{\substack{L_i = \bar{L} \\ L_i^* = \bar{L}^*}} + (L_a^* - \bar{L}^*) \frac{\partial S_{eff,tot}(L_1, \dots, L_V, L_1^*, \dots, L_V^*)}{\partial L_i^*} \Big|_{\substack{L_i = \bar{L} \\ L_i^* = \bar{L}^*}} \right\},$$

Complex fermion determinant $\longrightarrow \bar{L} = \langle L \rangle \neq \langle L^* \rangle = \bar{L}^*$.

To avoid subtleties (c.f. [Fukushima & Hidaka 2007, arXiv:hep-ph/0610323]):

- define mean field in phase quenched system:

$$\bar{L} = \langle L \rangle_q(\bar{L}) = \frac{1}{Z_{s,q}(\bar{L})} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} d\theta_1 d\theta_2 \left\{ L(\theta_1, \theta_2) H(\theta_1, \theta_2) \cdot \left| \text{Det}(D(\theta_1, \theta_2)) e^{-S_{mf}(L(\theta_1, \theta_2), \bar{L})} \right| \right\},$$

where

$$Z_{s,q}(\bar{L}) = \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} d\theta_1 d\theta_2 \left\{ H(\theta_1, \theta_2) \left| \text{Det}(D(\theta_1, \theta_2)) e^{-S_{mf}(L(\theta_1, \theta_2), \bar{L})} \right| \right\},$$



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Complex fermion determinant $\longrightarrow \bar{L} = \langle L \rangle \neq \langle L^* \rangle = \bar{L}^*$.

To avoid subtleties (c.f. [Fukushima & Hidaka 2007, arXiv:hep-ph/0610323]):

- define mean field in phase quenched system:
- use reweighting (exact for spatially localized observables):

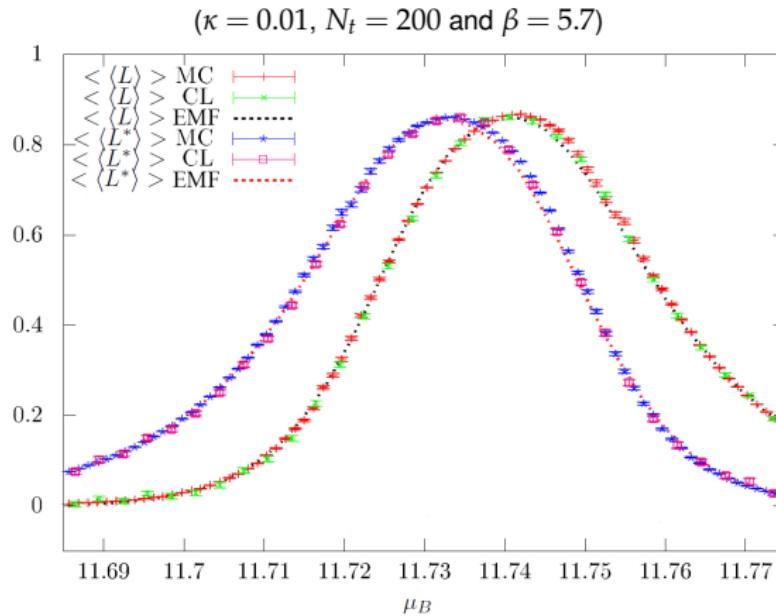
$$\langle L \rangle(\bar{L}) = \frac{1}{Z_s(\bar{L})} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} d\theta_1 d\theta_2 \left\{ L(\theta_1, \theta_2) H(\theta_1, \theta_2) \text{Det}(D(\theta_1, \theta_2)) e^{-S_{mf}(L(\theta_1, \theta_2), \bar{L})} \right\},$$

where

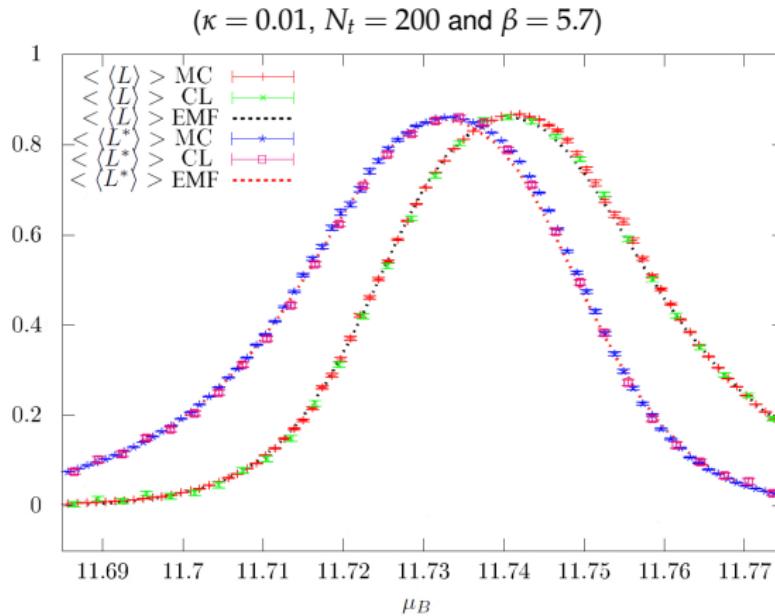
$$Z_s(\bar{L}) = \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} d\theta_1 d\theta_2 \left\{ H(\theta_1, \theta_2) \text{Det}(D(\theta_1, \theta_2)) e^{-S_{mf}(L(\theta_1, \theta_2), \bar{L})} \right\},$$



- Comparison of mean-field result with Monte Carlo and Complex Langevin [Langelage et. al. 2014, arXiv:1403.4162]:



- Comparison of mean-field result with Monte Carlo and Complex Langevin [Langelage et. al. 2014, arXiv:1403.4162]:



- Mean-field results agree very well with Monte Carlo estimates!



2. Isospin QCD at finite density

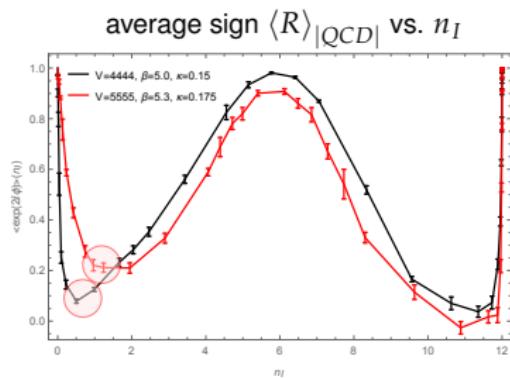
- Mass-degenerate two-flavor isospin QCD:
- + no sign problem: $\text{Det}(M(\mu)) \text{Det}(M(-\mu)) = \text{Det}(M(\mu)) \text{Det}(M(\mu))^* = |\text{Det}(M(\mu))|^2 \in \mathbb{R}^+$.
- but: other difficulties after pion condensation at $\mu > m_\pi/2$:



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2. Isospin QCD at finite density

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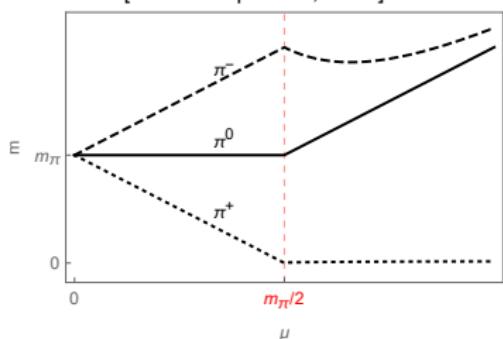
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2. Isospin QCD at finite density

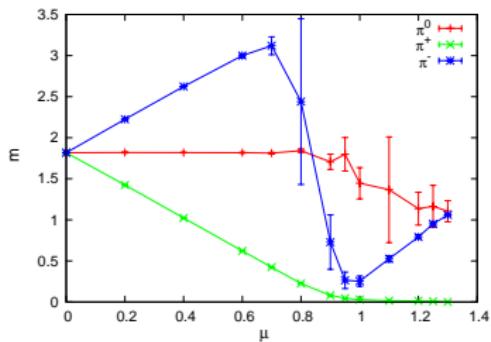
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SU(2) principal chiral model
[Son & Stephanov, 2001]



lattice isospin QCD



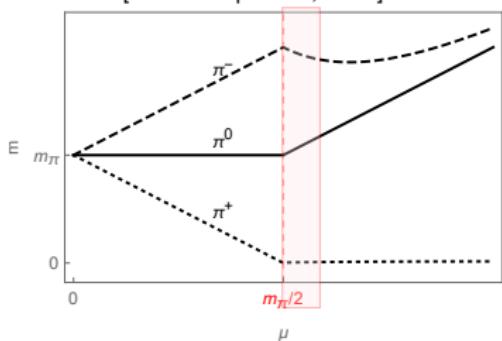
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2. Isospin QCD at finite density

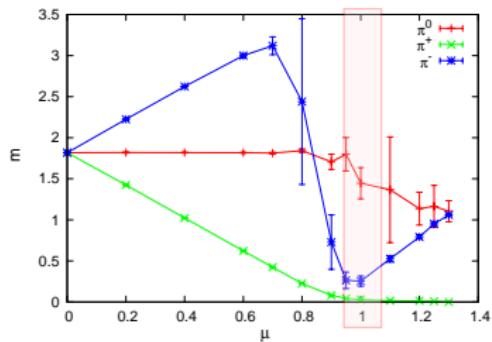
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lattice isospin QCD



→ Why no agreement for $m_\pi/2 < \mu < m_\rho/2$?



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2. Isospin QCD at finite density

- Effective Lagrangian cf. [Son & Stephanov, 2001]

$$\begin{aligned}\mathcal{L}_{eff} = -\frac{f_\pi^2}{4} \text{tr} [(\partial_\rho \Sigma - i\mu \delta_{\rho,0} (\tau_3 \Sigma - \Sigma \tau_3)) g^{\rho\nu} (\partial_\nu \Sigma^\dagger - i\mu \delta_{\nu,0} (\tau_3 \Sigma^\dagger - \Sigma^\dagger \tau_3))] \\ - \frac{1}{4} \text{tr} [S^\dagger \Sigma + \Sigma^\dagger S]\end{aligned}$$

with:

$$\Sigma = \Sigma(\bar{\pi}) = \mathbb{1} \sqrt{1 - \frac{\|\bar{\pi}\|^2}{f_\pi^2}} + i \frac{\bar{\tau} \cdot \bar{\pi}}{f_\pi} ,$$

$$S = \mathbb{1} s^4 + i \bar{\tau} \cdot \bar{s} \quad (s^4 = f_\pi^2 m_\pi^2 \sim 2 m_q).$$



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- Saddle point approximation:

→ write $\bar{\pi} = \bar{\pi}_0 + \bar{\eta}(\bar{\pi}_0, \bar{\pi}(x))$,

$$\eta^i(\bar{\pi}_0, \bar{\pi}) = \pi^i - \frac{1}{2} \Gamma_{kl}^i(\bar{\pi}_0) \pi^k \pi^l + \mathcal{O}((\pi^l(x))^3)$$

$$\Gamma_{kl}^i(\bar{\pi}) = \frac{1}{2} h^{ij}(\bar{\pi}) \left(\frac{\partial h_{ik}(\bar{\pi})}{\partial \pi^l} + \frac{\partial h_{jl}(\bar{\pi})}{\partial \pi^k} - \frac{\partial h_{kl}(\bar{\pi})}{\partial \pi^j} \right)$$

$$h_{ij}(\bar{\pi}) = \frac{1}{2} \text{tr} \left[\frac{\partial \Sigma^\dagger(\bar{\pi})}{\partial \pi^i} \frac{\partial \Sigma(\bar{\pi})}{\partial \pi^j} \right]$$



2. Isospin QCD at finite density

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→ $\bar{\pi}(x) = 0 \rightarrow$ effective potential: $V_{eff}(\bar{\pi}_0)$, min. → vacuum $\bar{\pi}_0$,

→ Euler-Lagrange eqn. for $\bar{\pi}(x)$ + Fourier trans. → e.o.m.: $M_j^i(\bar{\pi}_0, p) \hat{\pi}^j(\bar{\pi}_0, p) = 0$,

- $\det M(\bar{\pi}_0, p) = 0 \rightarrow$ 3 dispersion relations: $p^0 = p_{(i)}^0(\bar{p}), i = 1, 2, 3$.

- zero-eigenvector of $M(\bar{\pi}_0, (p_{(i)}^0(\bar{p}), \bar{p})) \rightarrow$ state vector corresp. to i^{th} dispersion relation.



2. Isospin QCD at finite density

$\mu < m_\pi/2$	$\mu > m_\pi/2$
vacuum: $\bar{\pi}_0 = 0$	vacuum: $\bar{\pi}_0 = \begin{pmatrix} \pi_0^r \cos(\phi_0) \\ \pi_0^i \sin(\phi_0) \\ 0 \end{pmatrix}$, with $\pi_0^r = \begin{cases} 0 & \text{if } \mu \leq m_\pi/2 \\ f_\pi \sqrt{1 - \frac{m_\pi^4}{16\mu^4}} & \text{if } \mu > m_\pi/2 \end{cases}$
$M(0, p) = \begin{pmatrix} E^2 - \ \vec{p}\ ^2 - m_\pi^2 + 4\mu^2 & 4iE\mu & 0 \\ -4iE\mu & E^2 - \ \vec{p}\ ^2 - m_\pi^2 + 4\mu^2 & 0 \\ 0 & 0 & E^2 - \ \vec{p}\ ^2 - m_\pi^2 \end{pmatrix}$	$M'(\bar{\pi}_0, p) = \begin{pmatrix} E^2 - \ \vec{p}\ ^2 - 4\mu^2 + \frac{m_\pi^4}{4\mu^2} - \frac{iEm_\pi^2}{\mu} & 0 \\ \frac{iEm_\pi^2}{\mu} & E^2 - \ \vec{p}\ ^2 & 0 \\ 0 & 0 & E^2 - \ \vec{p}\ ^2 - 4\mu^2 \end{pmatrix}$
state vectors and dispersion relations: $\begin{pmatrix} \hat{\pi}^1 \\ \hat{\pi}^2 \\ \hat{\pi}^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{m_\pi^2 + \ \vec{p}\ ^2}$ $\begin{pmatrix} \hat{\pi}^1 \\ \hat{\pi}^2 \\ \hat{\pi}^3 \end{pmatrix} = \begin{pmatrix} 1 \\ i \\ 0 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{m_\pi^2 + \ \vec{p}\ ^2} + 2\mu$ $\begin{pmatrix} \hat{\pi}^1 \\ \hat{\pi}^2 \\ \hat{\pi}^3 \end{pmatrix} = \begin{pmatrix} 1 \\ -i \\ 0 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{m_\pi^2 + \ \vec{p}\ ^2} - 2\mu$	state vectors and dispersion relations: $\begin{pmatrix} \widehat{\pi'}^1 \\ \widehat{\pi'}^2 \\ \widehat{\pi'}^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{\ \vec{p}\ ^2 + 4\mu^2}$ $\begin{pmatrix} \widehat{\pi'}^1 \\ \widehat{\pi'}^2 \\ \widehat{\pi'}^3 \end{pmatrix} = \begin{pmatrix} -\frac{2i\mu E}{\sqrt{7m_\pi^4 + 16\mu^4}} \\ \frac{2(\mu^2 C_-(\vec{p}) - m_\pi^4)}{m_\pi^2 \sqrt{7m_\pi^4 + 16\mu^4}} \\ 0 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{\ \vec{p}\ ^2 + C_+(\vec{p})}$ $\begin{pmatrix} \widehat{\pi'}^1 \\ \widehat{\pi'}^2 \\ \widehat{\pi'}^3 \end{pmatrix} = \begin{pmatrix} -\frac{4i\mu E}{16\mu^4 - m_\pi^4} \\ \frac{4(\mu^2 C_+(\vec{p}) - m_\pi^4)}{16\mu^4 - m_\pi^4} \\ 0 \end{pmatrix} \quad \text{iff} \quad E = \pm \sqrt{\ \vec{p}\ ^2 + C_-(\vec{p})}$ $C_{\pm}(\vec{p}) = \frac{D \pm \sqrt{D^2 + 64m_\pi^4\mu^2 \ \vec{p}\ ^2}}{8\mu^2},$ $D = 3m_\pi^4 + 16\mu^4$



2. Isospin QCD at finite density

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2. Isospin QCD at finite density

$$\mu < m_\pi/2$$

state vectors and effective masses:

$$\begin{pmatrix} \hat{\pi}_\alpha^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{iff} \quad m = \pm m_\pi$$

$$\begin{pmatrix} \hat{\pi}_\alpha^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} 1 \\ i \\ 0 \end{pmatrix} \quad \text{iff} \quad m = \pm m_\pi + 2\mu$$

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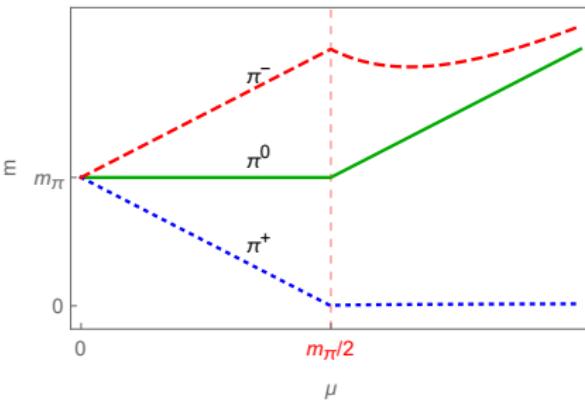
$$\mu > m_\pi/2$$

state vectors and effective masses:

$$\begin{pmatrix} \hat{\pi}_\alpha^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{iff} \quad m = \pm 2\mu$$

$$\begin{pmatrix} \hat{\pi}_\alpha^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} \pm i \sqrt{1 - \frac{4m_\pi^4}{m_\pi^4 + 16\mu^4}} \\ \frac{2}{\sqrt{7 + \frac{16\mu^4}{m_\pi^4}}} \\ 0 \end{pmatrix} \quad \text{iff} \quad m = \pm \frac{\sqrt{3m_\pi^4 + 16\mu^4}}{2\mu}$$

$$\begin{pmatrix} \hat{\pi}_\alpha^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad \text{iff} \quad m = 0$$



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2. Isospin QCD at finite density

$$\mu < m_\pi/2$$

state vectors and effective masses:

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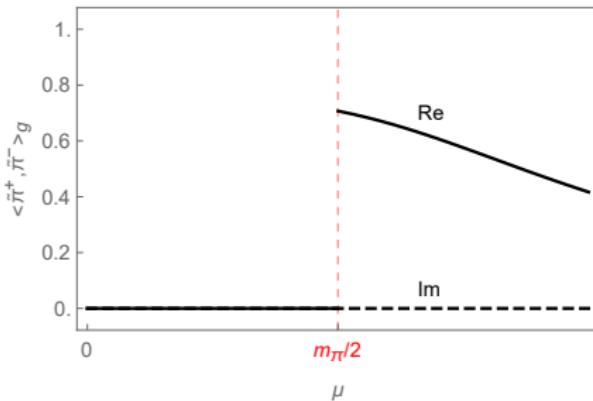
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$$\begin{pmatrix} \hat{\pi}_\alpha \\ \hat{\pi}_\phi \\ \hat{\pi}_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

non-orthogonal!



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2. Isospin QCD at finite density

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$$\begin{pmatrix} \hat{\pi}_a^1 \\ \hat{\pi}_\phi^2 \\ \hat{\pi}_3^3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \text{iff} \quad m_{scr} = \pm m_\pi$$

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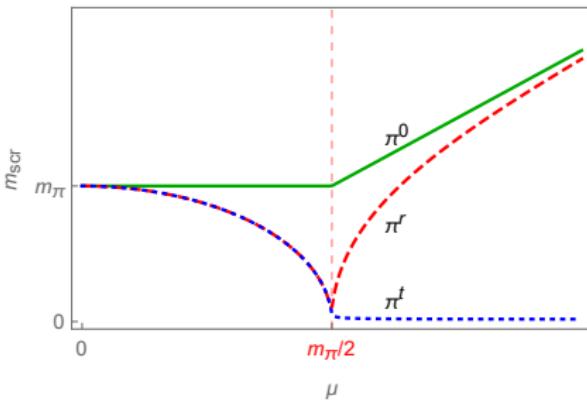
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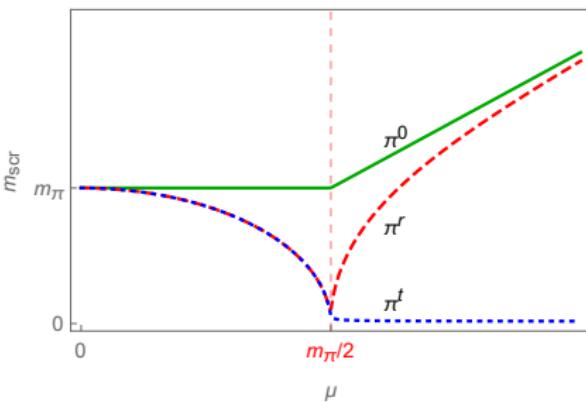
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orthogonal!



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3. Lattice SU(2) principal chiral model at finite density

- Lattice action:

$$S = \frac{1}{4} \sum_x \left\{ -\kappa \sum_{\nu=1}^d \text{tr} [\Sigma_x^\dagger e^{\mu \sigma_3 \delta_{\nu,d}} \Sigma_{x+\hat{\nu}} e^{-\mu \sigma_3 \delta_{\nu,d}} + \Sigma_x^\dagger e^{-\mu \sigma_3 \delta_{\nu,d}} \Sigma_{x-\hat{\nu}} e^{\mu \sigma_3 \delta_{\nu,d}}] - \text{tr} [\Sigma_x^\dagger S + S^\dagger \Sigma_x] \right\} + \text{const.}$$

where

$$f_\pi^2 a^{d-2} \rightarrow \kappa$$

$$a \mu \rightarrow \mu$$

$$a^d S \rightarrow S = \mathbb{1} \cdot s^4 + i \bar{\tau} \cdot \bar{s}$$

$$\pi_x^i / f_\pi \rightarrow \pi_x^i, \quad \text{s.t.} \quad \Sigma_x = \Sigma(\bar{\pi}_x) = \pi_x^4 \mathbb{1} + i \bar{\pi}_x \cdot \bar{\tau}, \text{ with } (\pi_x^4)^2 + \|\bar{\pi}_x\|^2 = 1.$$



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- action in general complex for non-zero values of μ .

→ partition function $Z = \int \mathcal{D}[\Sigma] e^{-S[\Sigma]}$ has sign problem!

→ can be overcome by changing to dual, so-called "flux variable" representation.



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- Toy model for testing methods to extract mass spectrum in isospin lattice QCD (symmetry integrated out analytically, need for source terms, ...).



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3. Lattice SU(2) principal chiral model at finite density

- Basics of "flux-variable" dualization:

→ Boltzmann factor: $e^{\kappa \sum_{x,\nu} \phi_x \phi_{x+\hat{\nu}} + s \sum_x \phi_x}$,

→ factorize: $(\prod_{x,\nu} e^{\kappa \phi_x \phi_{x+\hat{\nu}}}) (\prod_x e^{s \phi_x})$,

→ Taylorexpand: $e^{\kappa \phi_x \phi_{x+\hat{\nu}}} = \sum_{\xi_{x,\nu}=0}^{\infty} \frac{(\kappa \phi_x \phi_{x+\hat{\nu}})^{\xi_{x,\nu}}}{\xi_{x,\nu}!}$, $e^{s \phi_x} = \sum_{n_x=0}^{\infty} \frac{(s \phi_x)^{n_x}}{n_x!}$

→ expand product of sums:

$$\sum_{\{\xi,n\}} \prod_x \left(\prod_{\nu} \frac{\kappa^{\xi_{x,\nu}}}{\xi_{x,\nu}!} \right) \frac{s^{n_x}}{n_x!} \phi_x^{n_x + \sum_{\nu} (\xi_{x,\nu} + \xi_{x-\hat{\nu},\nu})}$$

→ integrate out the original variables ϕ :

$$Z = \sum_{\{\xi,n\}} \prod_x \left(\prod_{\nu} \frac{\kappa^{\xi_{x,\nu}}}{\xi_{x,\nu}!} \right) \frac{s^{n_x}}{n_x!} W(n_x + \sum_{\nu} (\xi_{x,\nu} + \xi_{x-\hat{\nu},\nu}))$$

→ flux variable: $\xi_{x,\nu}$,

→ monomer number: n_x .



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3. Lattice SU(2) principal chiral model at finite density

- Dual rep. of SU(2) principal chiral model: cf. [Bruckmann et al., 2015],[Forcand & Rindlisbacher, 2015]

$$Z = \sum_{\{k, l, \xi^{(i)}, \chi, p, q, n^{(3)}, \dots, n^{(N)}\}} \left\{ \prod_{x, \nu} \frac{\kappa^{|k_{x, \nu}| + 2l_{x, \nu} + \sum_{i=3}^N \chi_{x, \nu}^{(i)}}}{(|k_{x, \nu}| + l_{x, \nu})! l_{x, \nu}! \prod_{i=3}^N \chi_{x, \nu}^{(i)}!} \right. \\ \left\{ \prod_x \frac{e^{2\mu k_{x, d}} e^{i\phi_{s,x} p_x} s^{|p_x| + 2q_x} \prod_{i=3}^N (s^i)^{n_x^3}}{2^{(|p_x| + 2q_x)/2} (|p_x| + q_x)! q_x! \prod_{i=3}^N n_x^{(i)}!} \delta(p_x + \sum_{\nu} (k_{x, \nu} - k_{x-\hat{\nu}, \nu})) \right. \\ \left. W(A_x + |p_x| + 2q_x, C_x^{(3)} + n_x^{(3)}, \dots, C_x^{(N)} + n_x^{(N)}) \right\}$$

with $A_x = \sum_{\nu} (|k_{x, \nu}| + |k_{x-\hat{\nu}, \nu}| + 2(l_{x, \nu} + l_{x-\hat{\nu}, \nu}))$, $C_x^{(i)} = \sum_{\nu} (\chi_{x, \nu}^{(i)} + \chi_{x-\hat{\nu}, \nu}^{(i)})$ and

$$W(A, C^{(3)}, \dots, C^{(N)}) = \frac{\Gamma(\frac{2+A}{2}) \prod_{i=3}^N \frac{1+(-1)^{C^{(i)}}}{2} \Gamma(\frac{1+C^{(i)}}{2})}{2^{(2+A)/2} \Gamma(\frac{N+A+\sum_{i=3}^N C^{(i)}}{2})}$$

→ No sign problem!

- Gauss constraint for k -variables and Evenness constraints for χ -variables!



3. Lattice SU(2) principal chiral model at finite density

- Variables $I_{x,\nu}$ and q_x not subject to constraint: can be sampled by ordinary local Metropolis .



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3. Lattice SU(2) principal chiral model at finite density

- Variables $I_{x,\nu}$ and q_x not subject to constraint: can be sampled by ordinary local Metropolis .
- Due to constraints: cannot use ordinary local Metropolis to sample $k_{x,\nu}$, p_x and $\chi_{x,\nu}^{(i)}$, $n_x^{(i)}$ variables.

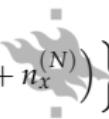


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3. Lattice SU(2) principal chiral model at finite density

- Variables $l_{x,\nu}$ and q_x not subject to constraint: can be sampled by ordinary local Metropolis .
- Due to constraints: cannot use ordinary local Metropolis to sample $k_{x,\nu}$, p_x and $\chi_{x,\nu}^{(i)}$, $n_x^{(i)}$ variables.
 - Instead of looking at Z only,

$$Z = \sum_{\{k, l, \chi^{(i)}, p, q, n^{(i)}\}} \left\{ \prod_{x,\nu} \frac{\kappa^{|k_{x,\nu}| + 2l_{x,\nu} + \sum_{i=3}^4 \chi_{x,\nu}^{(i)}}}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}! \prod_{i=3}^4 \chi_{x,\nu}^{(i)}!} \right\} \\ \left\{ \prod_x \frac{e^{2\mu k_{x,d}} e^{i\phi_{s,x} p_x} s^{|p_x| + 2q_x} \prod_{i=3}^4 (s^i)^{n_x^3}}{2^{(|p_x| + 2q_x)/2} (|p_x| + q_x)! q_x! \prod_{i=3}^4 n_x^{(i)}!} \delta(p_x + \sum_\nu (k_{x,\nu} - k_{x-\hat{\nu},\nu})) \right. \\ \left. W(A_x + |p_x| + 2q_x, C_x^{(3)} + n_x^{(3)}, C_x^{(4)} + n_x^{(4)}) \right\}$$



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- Variables $l_{x,\nu}$ and q_x not subject to constraint: can be sampled by ordinary local Metropolis .
 - Due to constraints: cannot use ordinary local Metropolis to sample $k_{x,\nu}$, p_x and $\chi_{x,\nu}^{(i)}$, $n_x^{(i)}$ variables.
- Instead of looking at Z only,
- look also at e.g. $Z_2^{21}(x,y) = \frac{\partial^2 Z}{\partial s_x^+ \partial s_y^-} = Z \cdot \langle \pi^+(x) \pi^-(y) \rangle$

$$\begin{aligned} \frac{\partial^2 Z}{\partial s_x^\pm \partial s_y^\mp} &= \sum_{\{k, l, \chi^{(i)}, p, q, n^{(i)}\}} \left\{ \prod_{z,\nu} \frac{\kappa^{|k_{z,\nu}| + 2l_{z,\nu} + \sum_{i=3}^4 \chi_{z,\nu}^{(i)}}}{(|k_{z,\nu}| + l_{z,\nu})! l_{z,\nu}! \prod_{i=3}^4 \chi_{z,\nu}^{(i)}!} \right\} \\ &\quad \left\{ \prod_z \frac{s_z^{|p_z| + 2q_z} e^{i\phi_{s,x} p_z} e^{2\mu k_{z,d}} \prod_{i=3}^4 (s_z^i)^{n_z^{(i)}}}{2^{(|p_z| + 2q_z)/2} (|p_z| + q_z)! q_z! \prod_{i=3}^4 n_z^{(i)}!} \delta(p_z \pm \delta_{x,z} \mp \delta_{y,z} + \sum_\nu (k_{z,\nu} - k_{z-\hat{v},\nu})) \right. \\ &\quad \left. W(A_z + |p_z| + 2q_z + \delta_{x,z} + \delta_{y,z}, C_z^{(3)} + n_z^{(3)}, C_z^{(4)} + n_z^{(4)}) \right\} \end{aligned}$$



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3. Lattice SU(2) principal chiral model at finite density

- Define generalized partition function Z_{gen} :

$$Z_{gen} = Z + \sum_{i=1}^4 c_i \sum_x Z_1^i(x) + \sum_{i,j=1}^4 c_{ij} \sum_{x,y} Z_2^{ij}(x,y) (+ \dots)$$

with the *a priori weights* $\{c_i\}_{i=1,2}$ and $\{c_{ij}\}_{i,j=1,2}$ and :

- $Z_2^{ij}(x,y) = \frac{\partial^2 Z}{\partial \tilde{s}_x^i \partial \tilde{s}_y^j} = Z \cdot \langle \tilde{\pi}^i(x) \tilde{\pi}^j(y) \rangle,$

- $Z_1^i(x) = \frac{\partial Z}{\partial \tilde{s}_x^i} = Z \cdot \langle \tilde{\pi}^i(x) \rangle,$

with $\tilde{s}_x = (s_x^-, s_x^+, s^3, s^4)$ and $\tilde{\pi}_x = (\pi_x^-, \pi_x^+, \pi_x^3, \pi_x^4)$.



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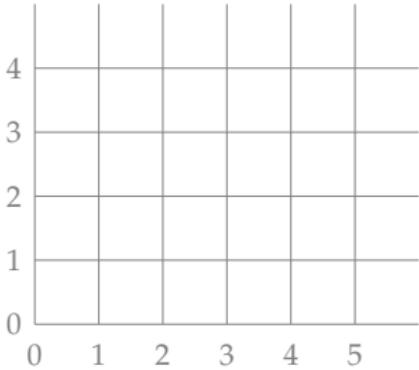
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- Sample configurations for Z_{gen} with *generalized worm algorithm*. [Prokof'ev & Svistunov, 2001]

config. C contributing to Z_{gen} :



config. weight $W[C]$:

$$W[C] \propto \prod_z \left(\delta \left(\sum_v (k_{z,v} - k_{z-\hat{v},v}) \right) \underbrace{W_\lambda \left(\sum_v (|k_{z,v}| + |k_{z-\hat{v},v}| + 2(l_{z,v} + l_{z-\hat{v},v})) \right)}_{A_x} \right)$$



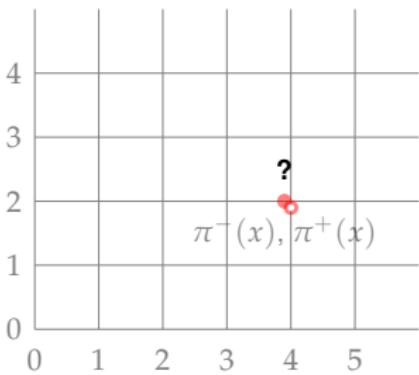
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config. C contributing to Z_{gen} :



insert source/sink pair at x to obtain config. C' ?

→ Accept and set $C = C'$ with probability $P_{acc} = \min(1, r)$, where:

$$r = \frac{c_{21}}{p_s(C \rightarrow C')} \frac{W_\lambda(2 + A_x)}{W_\lambda(A_x)}$$



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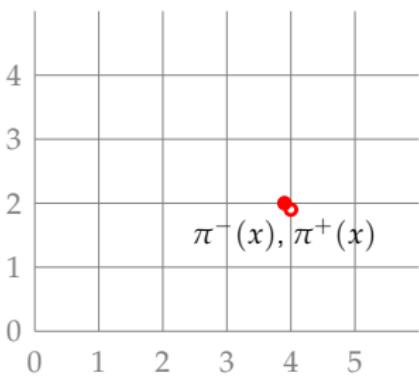
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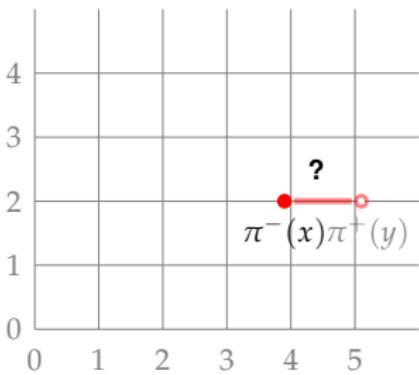
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config. C contributing to Z_{gen} :



obtain new config. C' by moving worms head from x to y ?

→ Accept and set $C = C'$ with probability $P_{acc} = \min(1, r)$, where:

$$r = \frac{p_s(C' \rightarrow C)}{p_s(C \rightarrow C')} \frac{\left(\frac{\kappa}{2}\right)^{\Delta|k_{x,v}|} (|k_{x,v}| + l_{x,v})!}{(|k_{x,v}| + \Delta|k_{x,v}| + l_{x,v})!} \cdot \frac{W_\lambda(1 + \Delta|k_{x,v}| + A_x)}{W_\lambda(2 + A_x)} \frac{W_\lambda(1 + \Delta|k_{x,v}| + A_y)}{W_\lambda(A_y)}$$



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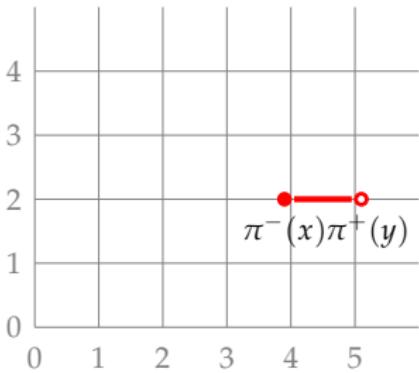
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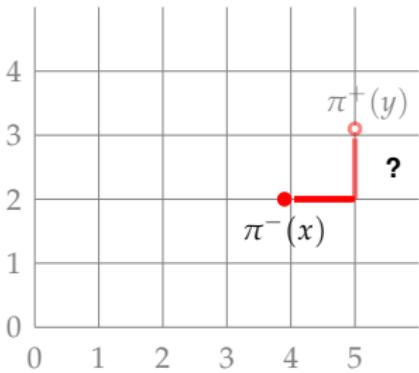
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config. C contributing to Z_{gen} : and so on ...



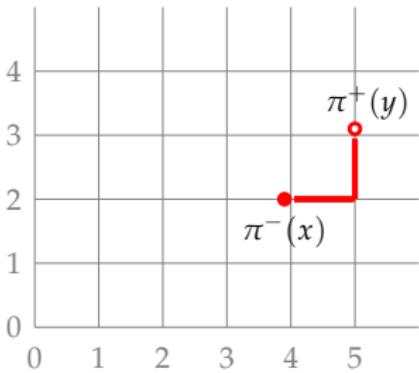
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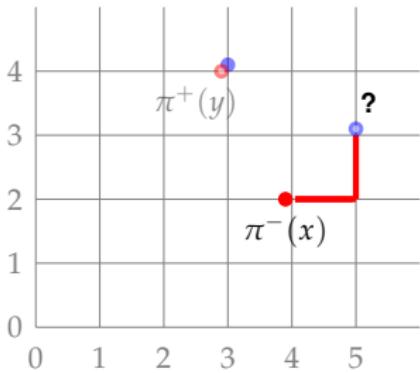
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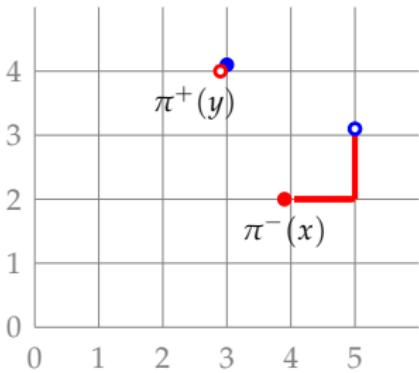
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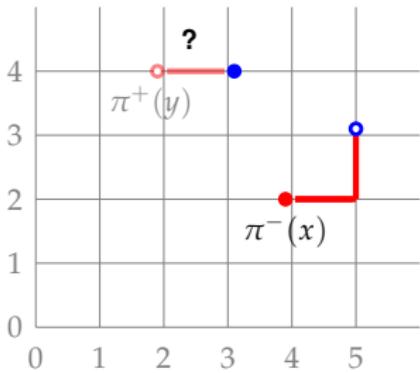
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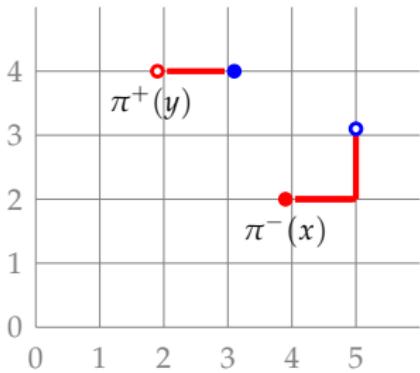
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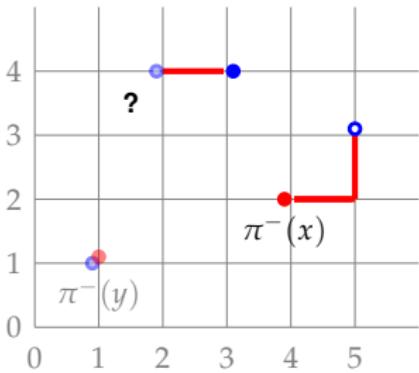
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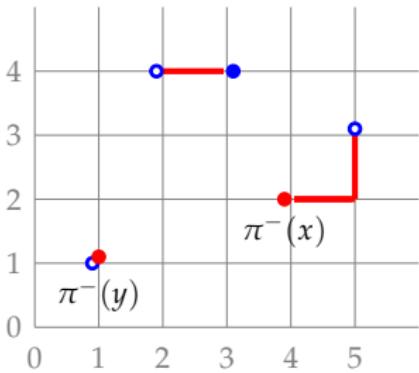
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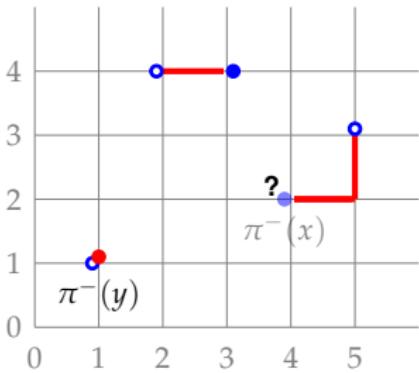
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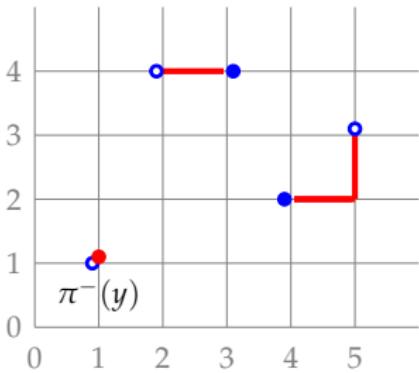
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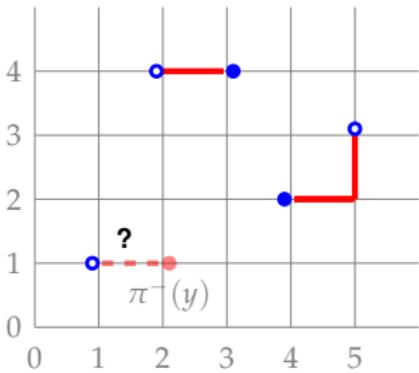
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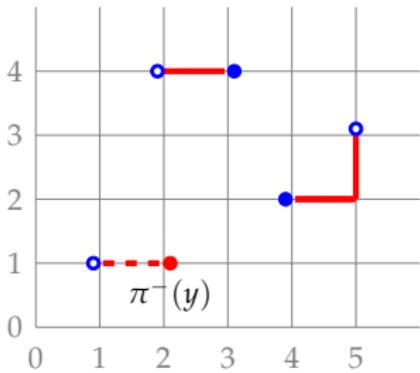
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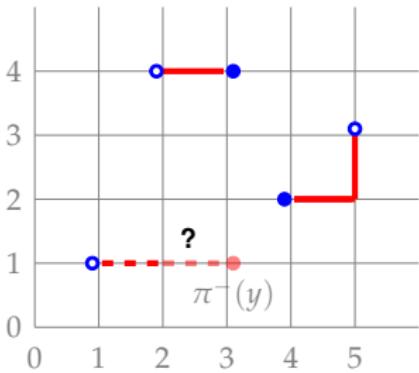
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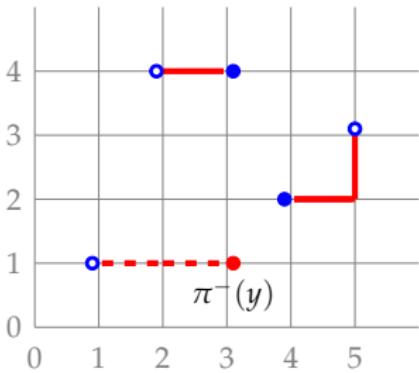
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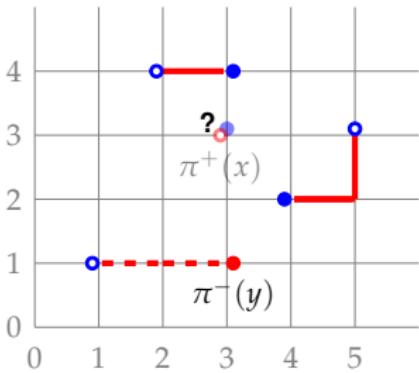
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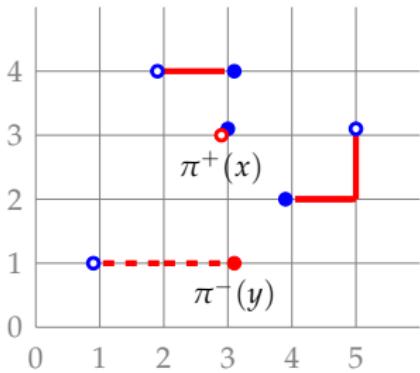
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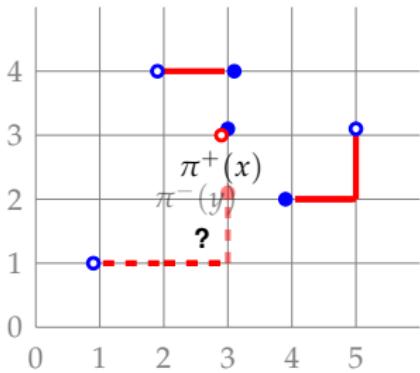
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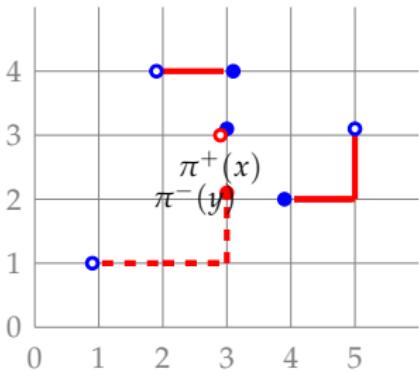
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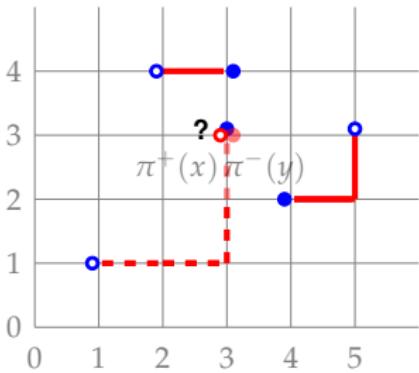
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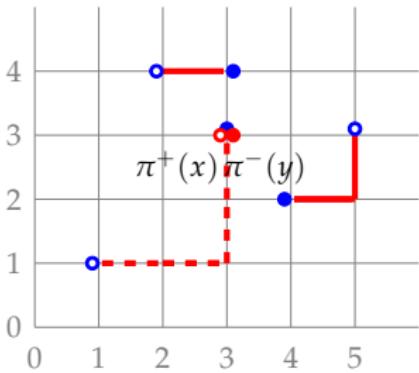
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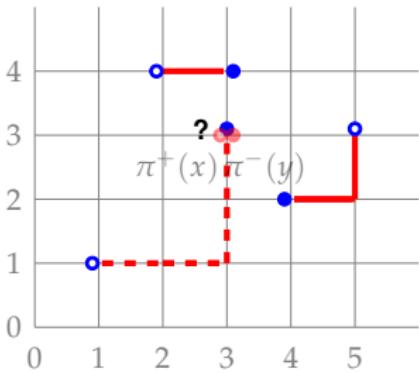
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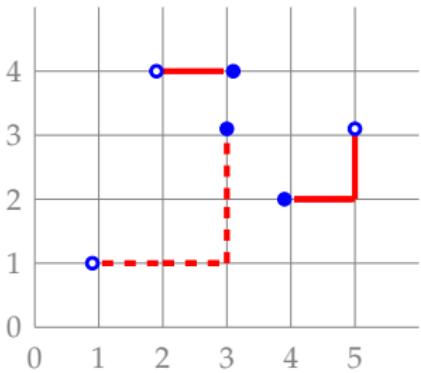
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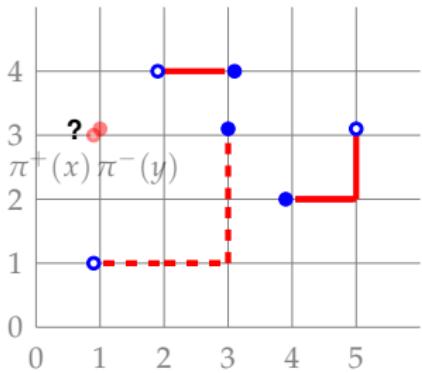
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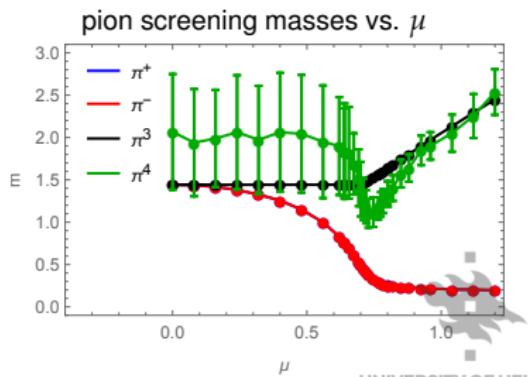
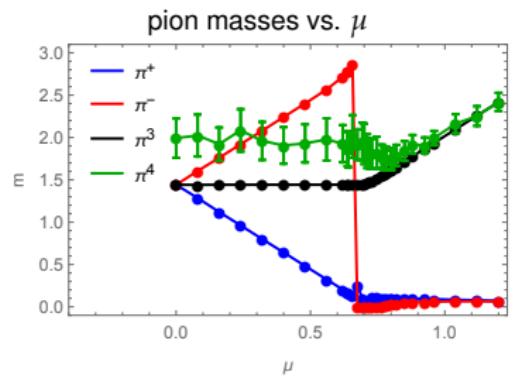
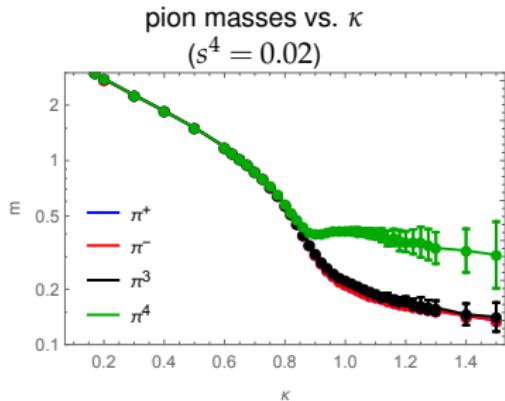
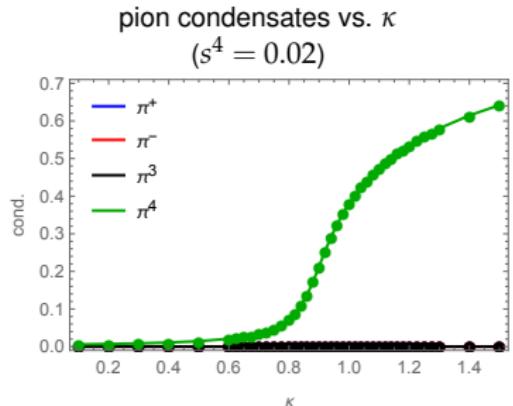
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3. Lattice SU(2) principal chiral model at finite density

→ Example results:



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4. Conclusions

- First principles method for QCD at finite baryon density needed to verify conjectured phase diagram. Intermediate density region inaccessible in lattice QCD due to sign problem.
- So far no reliable method to overcome sign problem advanced enough to be applicable to QCD at finite density.
- No sign problem in isospin QCD but lattice saturation and symmetry breaking can also cause problems.
- Introduction of chemical potential also leads to sign problem in bosonic models. But this can often be overcome by changing to flux variable representation.

Thank you!



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