

# Phase transitions and the lattice

Kari Rummukainen

University of Helsinki and Helsinki Institute of Physics

Farakos, D'Onofrio, Kainulainen, Kajantie, Keus, Laine, Nardini, Niemi, Sannino, Shaposhnikov, Tenkanen, Tranberg, Tsybin, Tuominen, Vaskonen



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# Phase transitions at Electroweak scale

Interesting physics:

- 1st order transition?
  - Gravitational waves?
  - Baryon number generation?
  - Magnetic fields?
- Baryon number violation stops
- No phase transitions in the Standard Model (at  $\mu = 0$ )
  - ▶ QCD and EW “phase transitions” are cross-overs
- Many BSM models may have a first order EW phase transition  $\mapsto$ 
  - ▶ MSSM
  - ▶ 2HDM
  - ▶ Composite Higgs, Technicolor . . .

# In this talk:

- Why non-perturbative?
- 3d effective theory
- Precision results of the Standard Model:
  - ▶ Equation of state - “softness”, width of the cross-over
  - ▶ Sphaleron rate
  - ▶ Why study SM? Background e.g. to leptogenesis, precision physics
- MSSM
- 2HDM

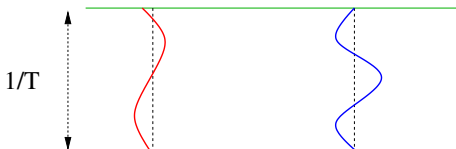
## Loops at $T \neq 0$ :

Finite  $T$  ensemble: euclidean with imaginary time extent  $1/T$ , with (anti)periodic b.c for bosons (fermions)  $\Rightarrow$

$$\frac{1}{p^2} \longrightarrow \frac{1}{\vec{p}^2 + \omega_n^2}, \quad \omega_n = \begin{cases} 2n\pi T & n \in \mathbb{Z} \text{ Bosons} \\ (2n+1)\pi T & n \in \mathbb{Z} \text{ Fermions} \end{cases}$$

$$\int \frac{d^4 p}{(2\pi)^4} \longrightarrow T \sum_n \int \frac{d^3 \vec{p}}{(2\pi)^3}$$

Thus, all  $n \neq 0$  Bosonic modes and all Fermionic modes acquire a “mass”  $\sim \pi T$ .  
Clearly, only bosonic  $n = 0$  modes are infrared sensitive.



## Momenta $k \sim g^2 T$ non-perturbative:

Let us consider vacuum diagram (pressure) at finite  $T$ , where we add a (fictitious) mass term  $m$  to keep track of the scale: [Linde 80]



$$N \text{ loops} \rightarrow \begin{cases} (N-1) & \text{4-vertices} \\ (2N-2) & \text{propagators} \end{cases}$$

$$[T \int d^3 p]^N (g^2)^{N-1} \left[ \frac{1}{q^2 + m^2} \right]^{2N-2} \propto g^6 T^4 \left[ \frac{g^2 T}{m} \right]^{N-4}$$

If  $m = g^2 T$  ("magnetic" scale), all loops contribute to pressure at  $g^6$ !  
Perturbatively  $m = 0$  for magnetic gauge modes  $\Rightarrow$

Loop expansion fails when  $k \lesssim g^2 T$  (3d confinement).

$\Rightarrow$  Any quantity is perturbatively computable only up to some fixed order!

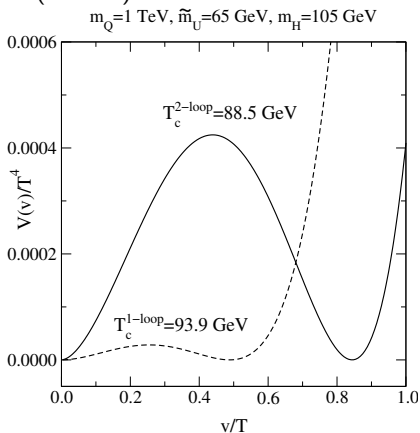
Note: Loop expansion OK when

$k \sim \pi T$  (hard scales,  $n \neq 0$ )

$k \sim gT$  (Debye, electric scales)

# Slow convergence

- Perturbative effective potential converges slowly: big differences between 1 and 2 loops: (MSSM)

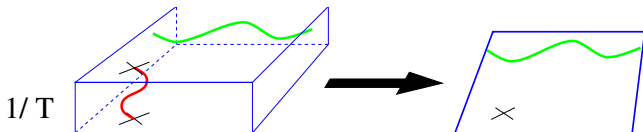


[Laine, K.R. 2002]

- Perturbatively weak 1st order transitions may vanish altogether (this happens in the Standard Model)

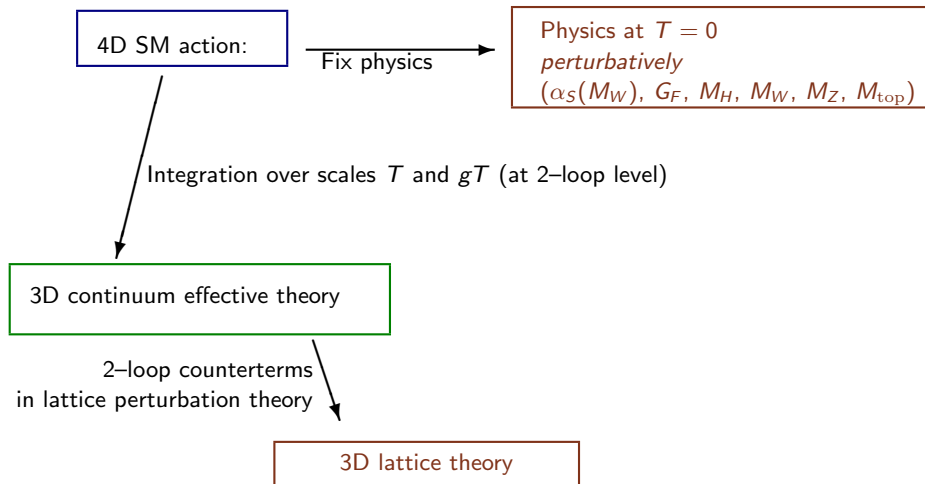
# Method: 3d effective theory

- Tool for perturbative and lattice computations
- Modes  $p > g^2 T$  are perturbative (at weak coupling): can be integrated out in stages:
  1.  $p \gtrsim T$ : fermions, non-zero Matsubara frequencies  
→ 3d theory (dimensional reduction)



2. Electric modes  $p \sim gT$
- Obtain a “magnetic theory” for modes  $p \lesssim g^2 T$ . Contains fully the non-perturbative thermal physics.

# 3d effective theory

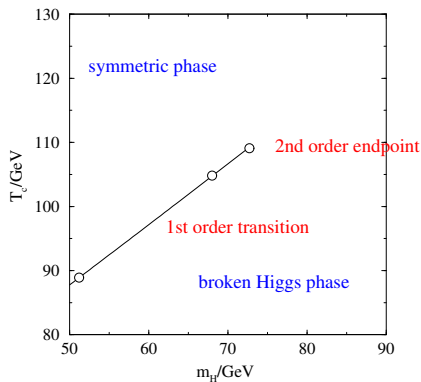




# Standard Model

# Phase diagram of the SM

- After lots of activity on and off the lattice:  
→ No phase transition at all, smooth “cross-over” for  $m_{\text{Higgs}} \gtrsim 72 \text{ GeV}$



[Kajantie, Laine, K.R., Shaposhnikov, Tsypin  
95–98]

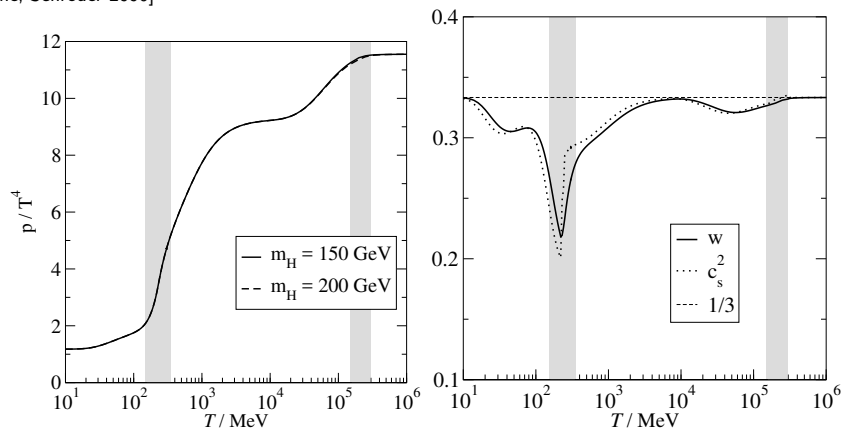
see also

[Csikor, Fodor, Heitger]

[Gürtler, Ilgenfritz, Schiller, Strecha]

# Overall EOS

[Laine, Schröder 2006]



- Perturbation theory + Lattice QCD + Hadron RG
- Here EW transition “featureless” – can do better!

### 3d effective Lagrangian:

$$L = \frac{1}{4} F_{ij}^a F_{ij}^a + \frac{1}{4} B_{ij} B_{ij} + (D_i \phi)^\dagger D_i \phi + m_3^2 \phi^\dagger \phi + \lambda_3 (\phi^\dagger \phi)^2$$

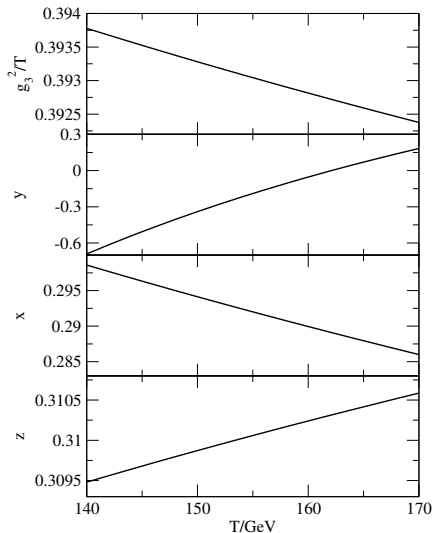
- SU(2) + U(1) gauge + Higgs
- Parameters:

$$g_3^2 \sim g_W^2 T$$

$$x \equiv \lambda_3 / g_3^2$$

$$y \equiv m_3^2 / g_3^4$$

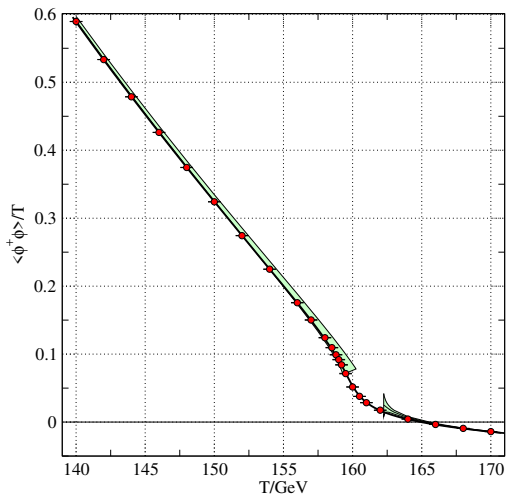
$$z \equiv g_3'^2 / g_3^2$$



Precise mapping between  $T$  and 3d parameters

# Higgs field expectation value

- Red dots: 3D lattice (continuum limit)
- Green bands:
  - ▶ Broken phase: 2-loop Coleman-Weinberg [Kajantie et al 95]
  - ▶ Symmetric phase: 3-loop [Laine and Meyer 2015]
- Agreement remarkably good away from the cross-over
- P.T. does not converge near the cross-over



# Higgs susceptibility & pseudocritical temperature

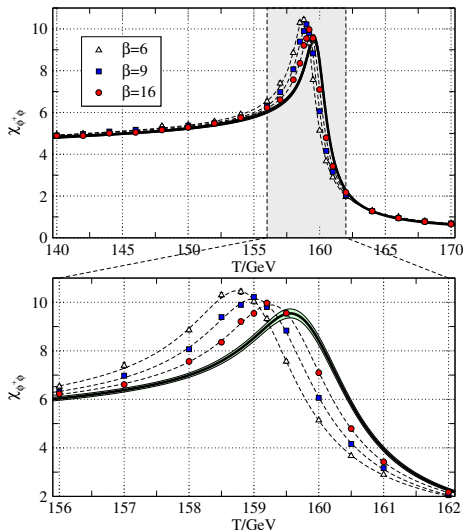
- Define pseudocritical  $T$ : maximum location of the  $\langle \phi^\dagger \phi \rangle$  susceptibility

$\chi_{\phi^\dagger \phi}$ :

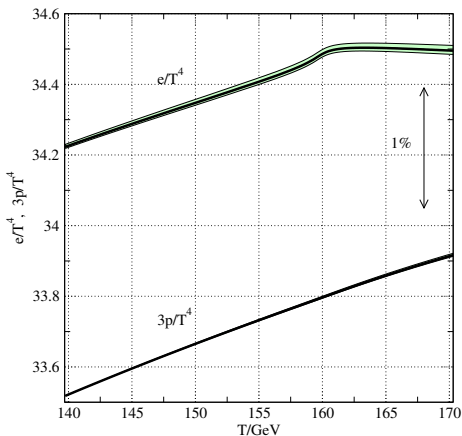
$$T_c = 159.6 \pm 0.1 \pm 1.5 \text{ GeV}$$

- 1st error: lattice errors
- 2nd error: estimate of the systematic uncertainty in 3d action

*Right: continuum extrapolation of  $\chi$*



# Pressure and energy density

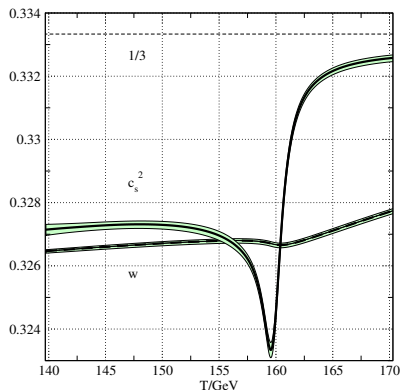
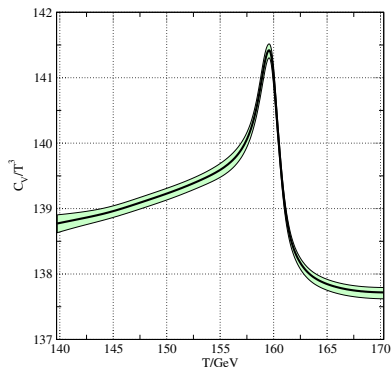


- Pressure:

$$\frac{p(T)}{T^4} - \frac{p(T_0)}{T_0^4} = \int_{T_0}^T dT' \frac{\Delta(T')}{T'}$$

- Use reference temperature  $T_0 = 140$  GeV,
- pert. pressure  $p(T_0)/T_0^4 = 11.173$  [Laine, Schröder 2006]
- Energy density  $e = \Delta + 3p$
- $p(T_0)$  has  $\sim 1\%$  uncertainty  $\rightarrow$  uncertainty for  $e$  and  $p$

# More thermodynamics



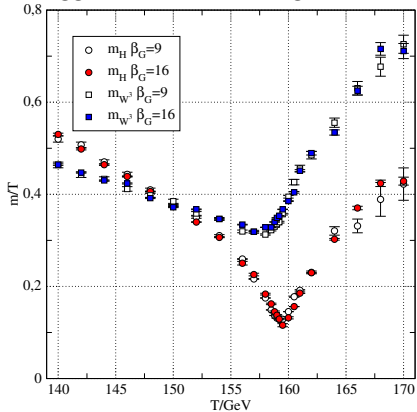
- Heat capacity  $C_V = e'(T)$
- Speed of sound:  $c_s^2 = p'/e'$
- EOS parameter  $w = p/e$

Cross-over well defined, but very soft!

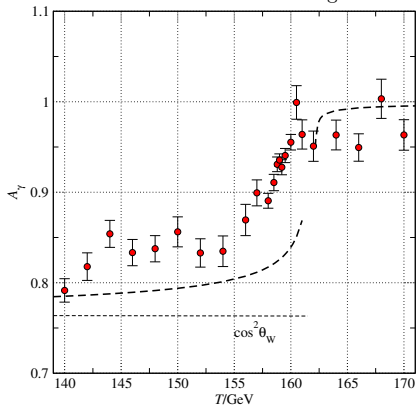


# Masses

## Higgs and $W^3$ screening masses



## Effective $\cos^2 \theta_{\text{Weinberg}}$



# Baryon number violation: sphaleron rate

- Anomaly: baryon number  $B$  and gauge topology are connected:

$$\Delta B = \Delta L = 3\Delta N_{\text{CS}} = \frac{3}{32\pi^2} \int_0^t dt \int dV F^{\mu\nu} \tilde{F}_{\mu\nu}$$

- Baryogenesis
- Rate in thermal equilibrium:

$$\Gamma = \lim_{V, t \rightarrow \infty} \frac{\langle (\Delta N_{\text{CS}}(t))^2 \rangle}{Vt}$$

- In the symmetric phase,  $\Gamma \propto \alpha_W^5 T^4$  [Arnold, Son, Yaffe 97]  
or rather  $\Gamma \propto \alpha_W^5 \log(1/\alpha_W) T^4$  [Bödeker 98]
- In the broken phase the rate is exponentially suppressed
- Turning off of the rate is important for some baryogenesis scenarios

# Calculation of the sphaleron rate

Non-perturbative  $\Rightarrow$  real-time lattice simulations

Several methods:

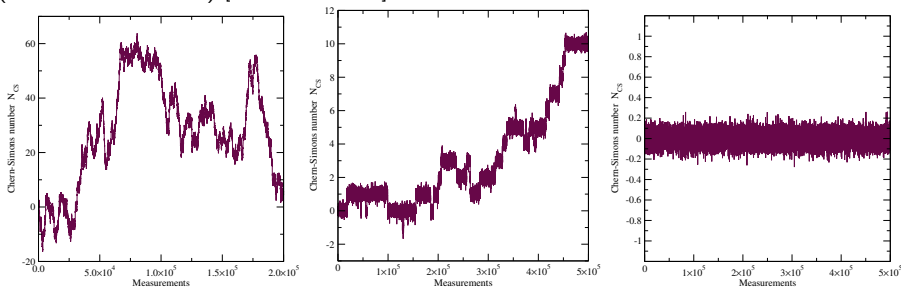
- **Classical EOM** [Ambjorn, Krasnitz 95; + many]
  - ▶ UV modes (HTL) make result lattice spacing dependent [Arnold 97]
- **Classical + HTL effective theories** [Moore, Hu, Muller 97; Bodeker, Moore, K.R 99]
  - ▶ More control over UV modes, no continuum limit
  - ▶ Used also in studies of plasma instabilities in HIC
- **Bödeker method:** [Bödeker 98], **heat bath version** [Moore 98]
  - ▶ Fully dissipative evolution of soft ( $g^2 T$ ) modes
  - ✓ Exact to leading log order  $\log(1/g^2)$ ,  $\exists$  continuum limit, very simple to use
  - ▶ Same “action” and cont. limit as in 3D thermo simulation

$\exists$  lot of lattice results in pure gauge, few in broken EW phase.

Here: physical Higgs mass

# Evolution of $N_{CS}$

Symmetric  $T = 152\text{GeV}$ , broken  $T = 145\text{GeV}$ , deeply broken  $T = 140\text{GeV}$   
(with  $m_H = 113\text{GeV}$ ) [D'Onofrio et al 12]



Rate strongly suppressed in the broken phase. Use special “multicanonical” methods to overcome this.

# Result: sphaleron rate

Symmetric phase:

$$\frac{\Gamma}{T^4} = (8.0 \pm 1.3) \times 10^{-7} \approx (18 \pm 3) \alpha_W^5$$

Broken phase: parametrized as

$$\log \frac{\Gamma}{T^4} = (0.83 \pm 0.01) \frac{T}{\text{GeV}} - (147.7 \pm 1.9)$$

Errors dominated by systematics

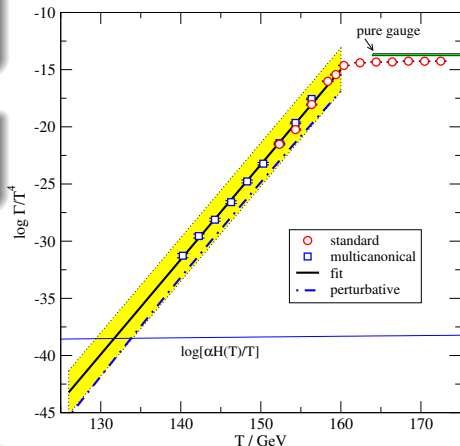
**Cosmology:** Hubble cooling  $\dot{T} = -HT$

Freeze-out temperature  $T_*$  from

$$\frac{\Gamma(T_*)}{T_*^3} = \alpha H(T_*) \text{ with } \alpha \approx 0.1015 \text{ [Burnier et al 05]}$$

Baryon number freeze-out

$$T_* = 131.7 \pm 2.3 \text{ GeV}$$



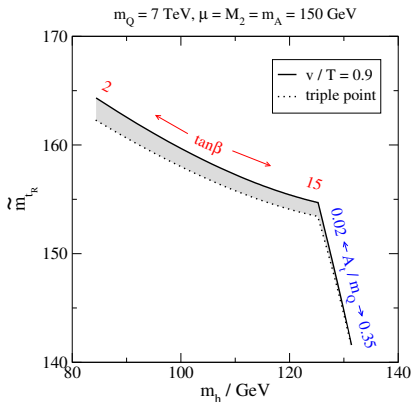
# Overview of the Standard Model

- Standard Model equation of state solved to 1% level
- Pseudocritical temperature  $T_c = 159.6 \pm 0.1 \pm 1.5$  GeV
- Cross-over weak but well defined
- Width of the cross-over region 2-3 GeV
- Baryon number freeze-out  $T_* = 131.7 \pm 2.3$  GeV
- Symmetric phase sphaleron rate  $\Gamma/T^4 = (18 \pm 3)\alpha_W^5$
- Broken phase rate can be parametrized as  
 $\log \Gamma/T^4 = (0.83 \pm 0.01)T/\text{GeV} - (147.7 \pm 1.9)$
- ... can be fed in to e.g. some leptogenesis scenarios

# MSSM

[Laine, Nardini, K.R.]

# Parameters:



Strong transition when right-handed stop  $U$  is light:  $m_{\tilde{t}_R} < m_{\text{top}}$  ( $U$ : Higgs for  $SU(3)$  color!)

Choose MSSM parameters

$$\tilde{m}_U = 70.4 \text{ GeV}$$

$$m_Q = 7 \text{ TeV}$$

$$\mu = M_2 = m_A = 150 \text{ GeV}$$

$$\tan\beta = 15$$

$$A_t/m_Q = 0.02$$

These correspond to Higgs mass  $m_h = 126 \text{ GeV}$  and the right-handed stop mass  $m_{\tilde{t}_R} \approx 155 \text{ GeV}$

[Laine, Nardini, K.R.]



### 3d effective Lagrangian:

$$\begin{aligned}\mathcal{L}_{3d} = & \frac{1}{2} \text{Tr} G_{ij}^2 + (D_i^s U)^\dagger (D_i^s U) + m_U^2(T) U^\dagger U + \lambda_U (U^\dagger U)^2 \\ & + \gamma_1 U^\dagger U H_1^\dagger H_1 + \gamma_2 U^\dagger U H_2^\dagger H_2 + \left[ \gamma_{12} U^\dagger U H_1^\dagger H_2 + \text{H.c.} \right] \\ & + \frac{1}{2} \text{Tr} F_{ij}^2 + (D_i^w H_1)^\dagger (D_i^w H_1) + (D_i^w H_2)^\dagger (D_i^w H_2) \\ & + m_1^2(T) H_1^\dagger H_1 + m_2^2(T) H_2^\dagger H_2 + \left[ m_{12}^2(T) H_1^\dagger H_2 + \text{H.c.} \right] \\ & + \lambda_1 (H_1^\dagger H_1)^2 + \lambda_2 (H_2^\dagger H_2)^2 + \lambda_3 H_1^\dagger H_1 H_2^\dagger H_2 + \lambda_4 H_1^\dagger H_2 H_2^\dagger H_1 \\ & + \left[ \lambda_5 (H_1^\dagger H_2)^2 + \lambda_6 H_1^\dagger H_1 H_1^\dagger H_2 + \lambda_7 H_2^\dagger H_2 H_1^\dagger H_2 + \text{H.c.} \right].\end{aligned}$$

$G_{ij}$ : SU(3) gauge

$U$ : right-handed stop

$F_{ij}$ : SU(2) gauge

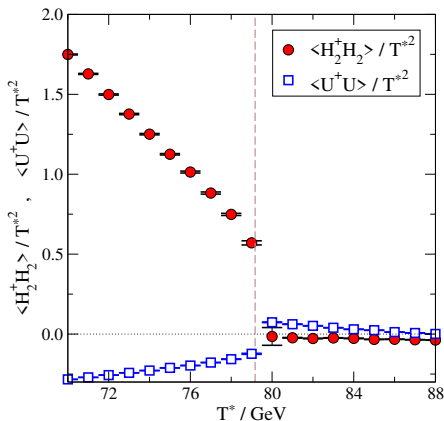
$H_1, H_2$ : two SU(2) scalars (Higgses)

Parameters  $g_W^2; g_S^2; m_i^2; m_{12}^2; \lambda_j; \gamma_i; \gamma_{12}$  depend on the 4d physical parameters (incl. temperature  $T$ ).

# Simulation volumes

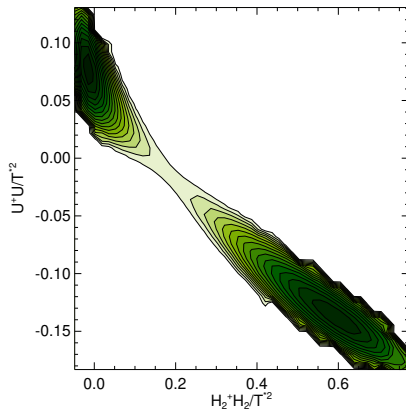
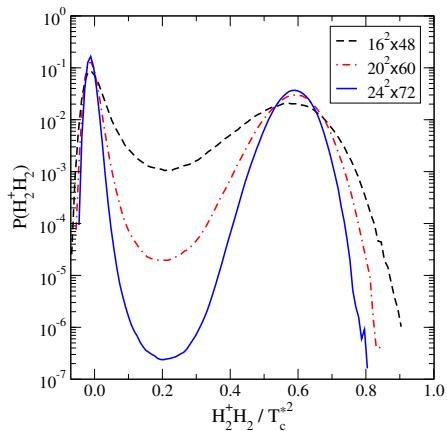
$\beta_w = 4/(g_W^2 T a)$	volumes
8	$12^3, 16^3$
10	$16^3$
12	$16^3, 20^3, 32^3, 12^2 \times 36, 20^2 \times 40$
14	$24^3, 14^2 \times 42, 24^2 \times 48$
16	$24^3, 16^2 \times 48, 20^2 \times 60, 24^2 \times 72$
20	$32^3, 20^2 \times 60, 26^2 \times 72, 32^2 \times 64$
24	$24^3, 32^3, 48^3, 24^2 \times 78, 30^2 \times 72$
30	$48^3$

# Results: Higgs field expectation value $\langle H_2^\dagger H_2 \rangle$

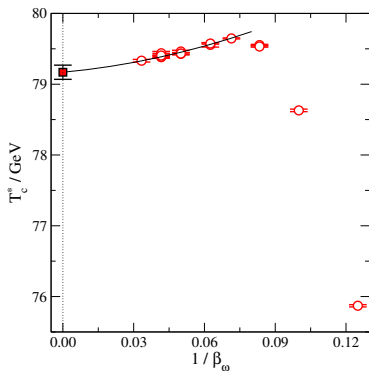


- Results extrapolated to continuum
- Strong transition
- Stop field  $U$  participates

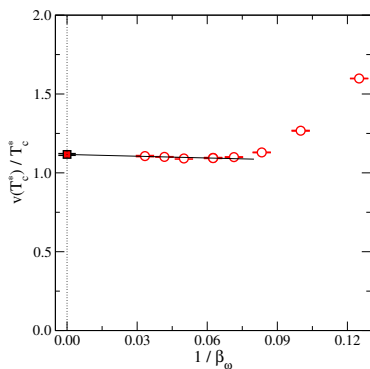
# Histograms



# Results: continuum limits



$T_c = 79.17 \pm 0.10$  GeV  
2-loop pert. theory  $\sim 84.4$  GeV

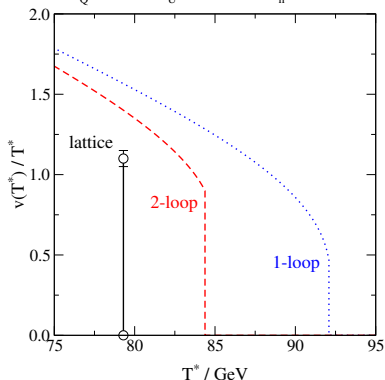


$v/T_c = 1.117 \pm 0.005$   
pert. theory 0.9

# Results compared with 2-loop perturbation theory

		lattice	perturbative (Landau gauge)
Transition temperature	$T_c/\text{GeV}$	79.17(10)	84.4
Higgs discontinuity	$v/T_c$	1.117(5)	0.9
Latent heat	$L/T_c^4$	0.443(4)	0.26
Surface tension	$\sigma/T_c^3$	0.035(5)	0.025

$$m_Q^* = 7 \text{ TeV}, \tilde{m}_U^* = 70.5 \text{ GeV}, m_h^* \approx 126 \text{ GeV}$$



The transition is clearly stronger on the lattice.

# 2HDM

[Kainulainen, Keus, Niemi, K.R., Tenkanen, Vaskonen]

# Benchmark points

2HDM is not so strongly constrained than MSSM by collider phenomenology.  
Strong phase transition  $\rightarrow$  large scalar couplings  $\lambda_i$

$\rightarrow$  problems in perturbation theory; accuracy of eff. 3d description?

$\rightarrow$  Landau pole is close

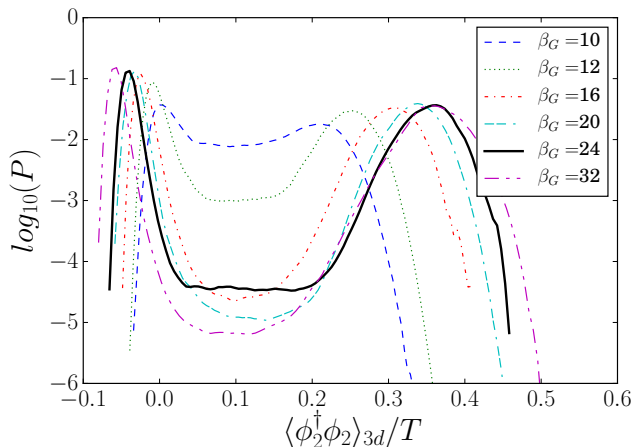
BM1	$M_H$ 66 GeV	$M_A$ 300 GeV	$M_{H^\pm}$ 300 GeV	$\mu$ 0 GeV	$\lambda_{345}/2$ $1.07 \times 10^{-2}$	$\lambda_1$ 0.01	$\Lambda_0$ 91 GeV
BM2	$M_H$ 150 GeV	$M_A$ 350 GeV	$M_{H^\pm}$ 350 GeV	$\mu$ 80 GeV	$\cos(\beta - \alpha)$ -0.02	$\tan \beta$ 2.75	$\Lambda_0$ 265.018 GeV

BM1: “Inert doublet model”, studied perturbatively by [Laine, Meyer, Nardini 2017]

BM2: Approaches [Dorsch et al.] point but more restricted  $\lambda_i$



# Strong transition



Heavy modes at  $T_c \Rightarrow$  need to go to very small lattice spacing (large  $\beta_G$ )

# Results

	Method	$T_c/\text{GeV}$	$L/T_c^4$	$\phi_c/T_c$	$L/\text{GeV}^4$
BM1	1-loop Parwani resum.	$134.0 \pm 8.75$	$0.396 \pm 0.002$	$1.01 \pm 0.06$	$1.27 \times 10^8$
	1-loop A-E resum.	$142.4 \pm 6.88$	$0.33 \pm 0.02$	$1.00 \pm 0.07$	$1.37 \times 10^8$
	2-loop $V_{\text{eff}}$ in 3d	$111.6 \pm 2.30$	$0.57 \pm 0.10$	$0.98 \pm 0.09$	$0.89 \times 10^8$
	3d lattice	$116.40 \pm 0.005$	$0.60 \pm 0.02$	$1.08 \pm 0.02$	$1.11 \times 10^8$
BM2	1-loop Parwani resum.	$142.6 \pm 18.0$	$0.29 \pm 0.04$	$0.91 \pm 0.06$	$1.19 \times 10^8$
	1-loop A-E resum.	$162.5 \pm 21.0$	$0.20 \pm 0.03$	$0.88 \pm 0.05$	$1.36 \times 10^8$
	2-loop $V_{\text{eff}}$ in 3d	$104.9 \pm 2.30$	$0.61 \pm 0.10$	$0.97 \pm 0.06$	$0.74 \times 10^8$
	3d lattice	$112.5 \pm 0.01$	$0.81 \pm 0.05$	$1.09 \pm 0.03$	$1.29 \times 10^8$

Largish variation in results

Note:  $V_{\text{eff}}$  in 3d relies on the same 3d effective theory than 3d lattice

Transition stronger on the lattice

## Conclusions:

- the Standard Model cross-over is resolved
- If couplings are small, 3d effective theory simulations give very accurate results
- Strong transitions seen in MSSM, 2HDM
- Effective theory method applicable to many "Higgs-like" models
- Bubble nucleation rate can be measured