

# Phase transitions, gravitational waves and 2-Higgs doublet model

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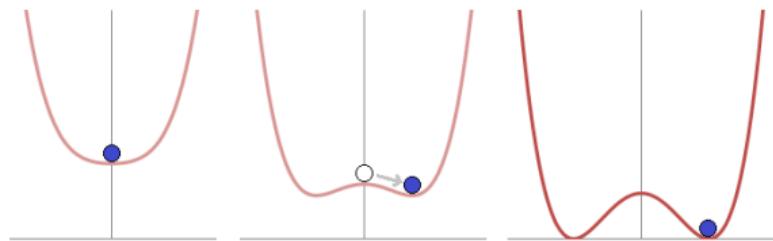
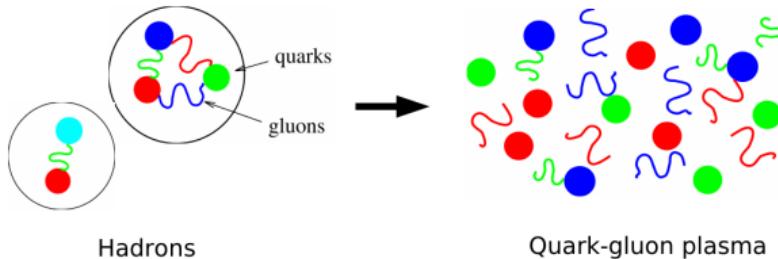


# Electroweak symmetry breaking

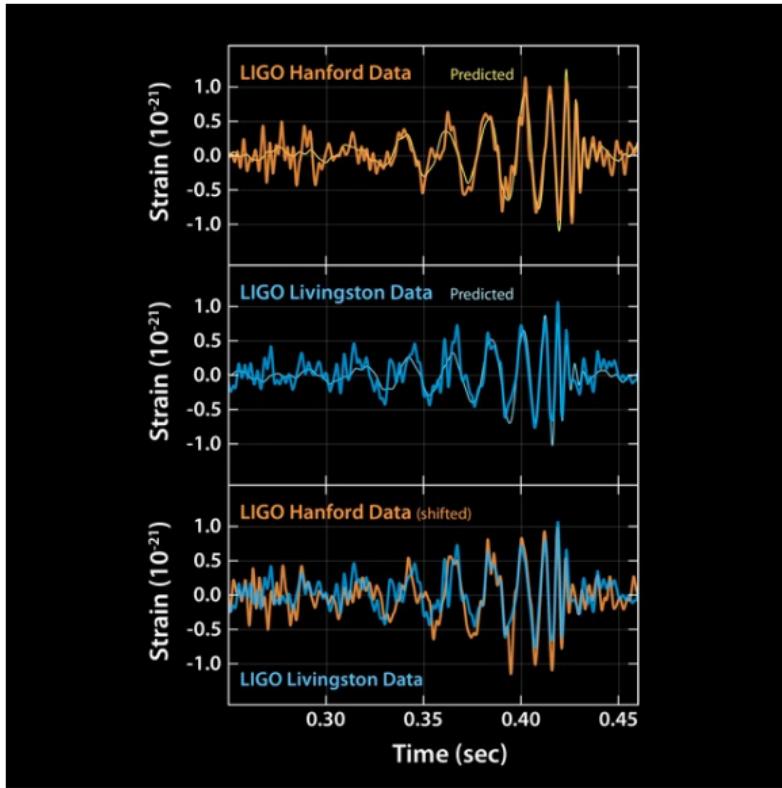
- Electroweak symmetry breaking at  $T \gtrsim 100 \text{ GeV}$  (in the Standard Model  $T_c \approx 160 \text{ GeV}$ ).
- What is the order of the transition?
  - ▶ In the SM smooth cross-over
  - ▶ In some extensions of the SM a 1st order transition is possible
- Why 1st order phase transition is interesting?
  - ▶ Electroweak baryogenesis (EWBG)
  - ▶ **Gravitational waves**
    - ★ Possibly observable with future GW detectors
    - ★ ESA/NASA LISA (Laser Interferometer Space Antenna) mission, launch 2034
    - ★ **A new probe for BSM physics and cosmology**
- In this talk:
  - ▶ How phase transitions can produce gravitational waves
  - ▶ How to use 3-d effective theory simulations to study phase transitions
  - ▶ Show results from 2-Higgs doublet study

# No genuine phase transitions in the Standard Model:

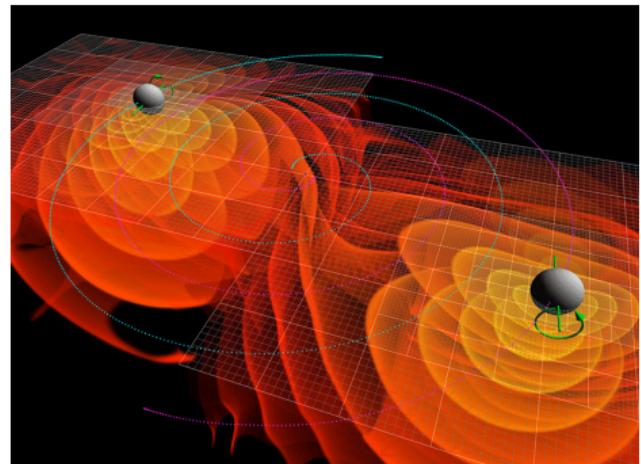
- QCD phase transition at  $T \sim 170$  MeV
  - ▶ Age of the universe  $t \sim 10\mu\text{s}$
  - ▶ Quark-gluon plasma  $\leftrightarrow$  hadrons
  - ▶ Smooth cross-over  $\rightarrow$  no GWs produced
  - ▶ Lattice QCD simulations
- Electroweak phase transition at  $T = T_c \approx 160$  GeV
  - ▶  $t \sim 10^{-11}\text{s}$
  - ▶ Higgs expectation value  $v$  becomes non-zero
  - ▶ Smooth cross-over  $\rightarrow$  no GWs
  - ▶ At  $T > T_c$ , baryon number is not conserved!
  - ▶ Lattice effective theory simulations



# LIGO/Virgo 2016: Gravitational waves observed!



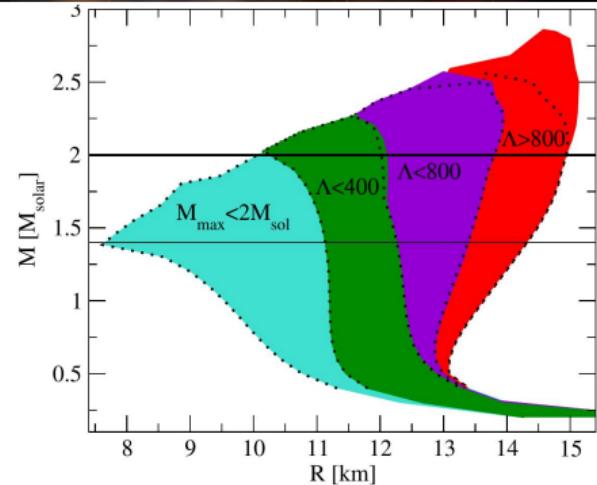
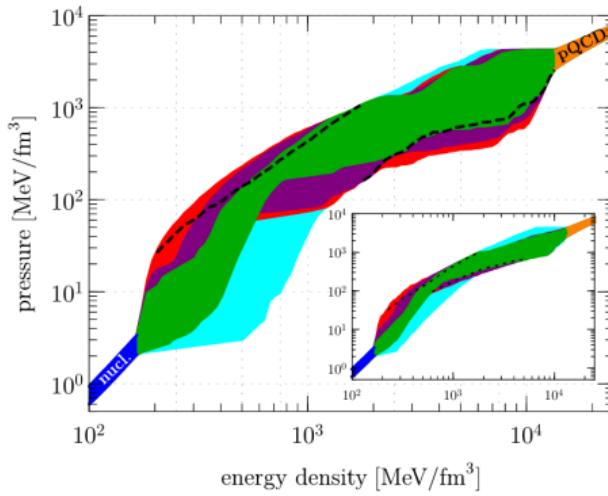
- 14.9.2015 at 11:50:45 German time: the first observation of gravitational waves.
- A collision of 2 black holes, with 36 and 29 solar masses.
- **A new window to the universe!**
- Now about 50 events reported.



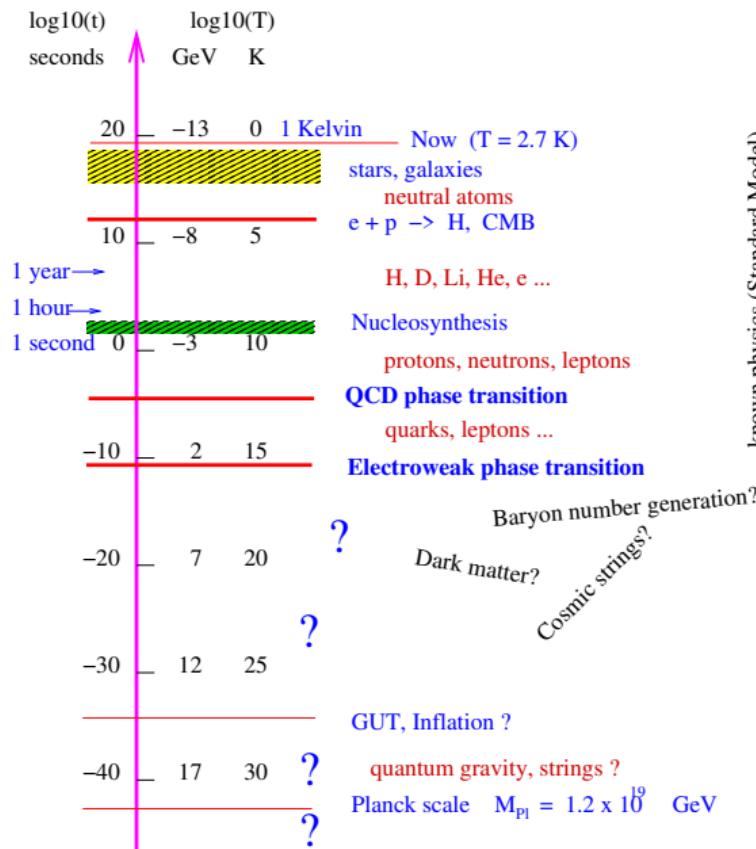
# Gravitational waves and dense nuclear matter

- The single LIGO binary neutron star merger event already restricts neutron start mass - R -relation (red region)
- Dense QCD matter equation of state, notoriously hard to study theoretically

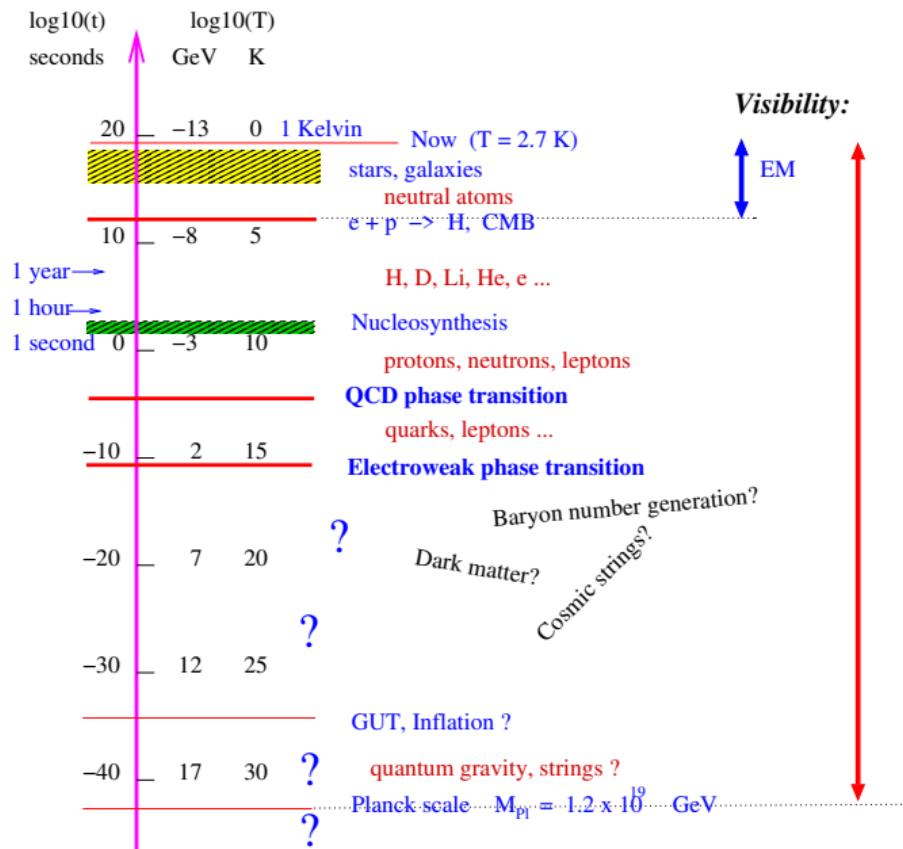
[Annala et al., 2017]



# Peek into the early Universe with gravitational waves

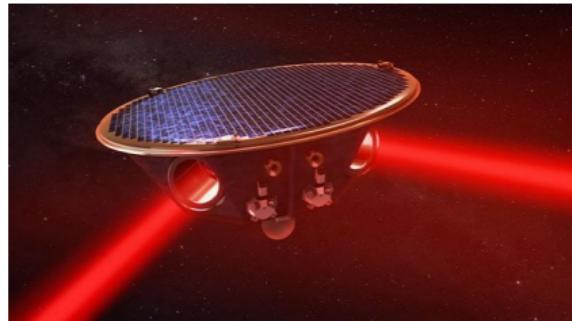
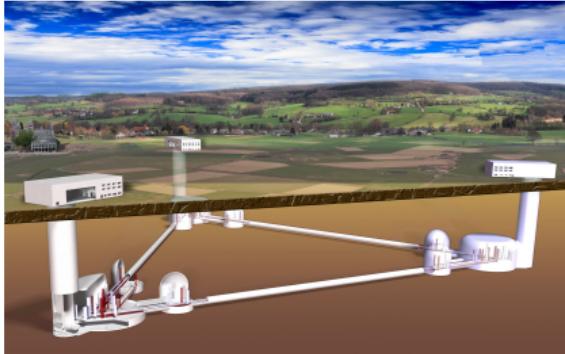


# Peek into the early Universe with gravitational waves



# Future gravitational wave detectors

Laser interferometers on Earth: *Einstein Telescope*, 10km triangle (Europe); *Cosmic Explorer*, 40km L-shape (USA)



Laser interferometers in space:  
*LISA* (2034, ESA/NASA)  
*TianQuin* & *Taiji* (China);  
*DECIGO* (Japan)

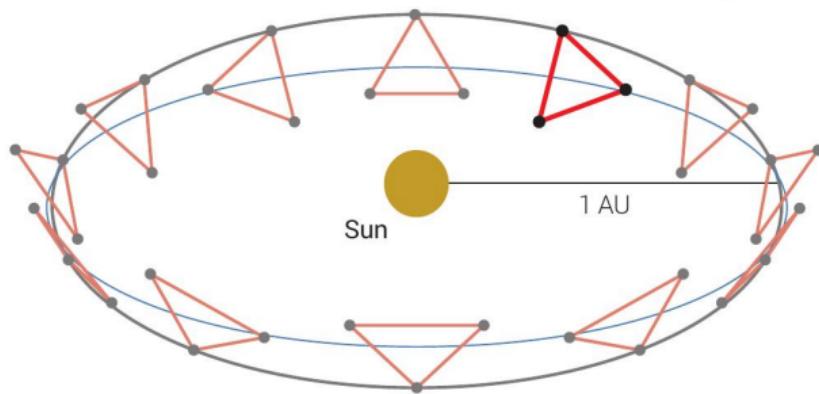
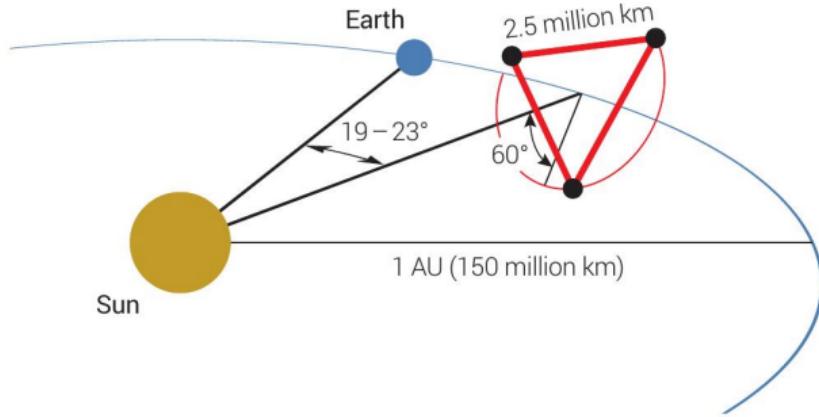
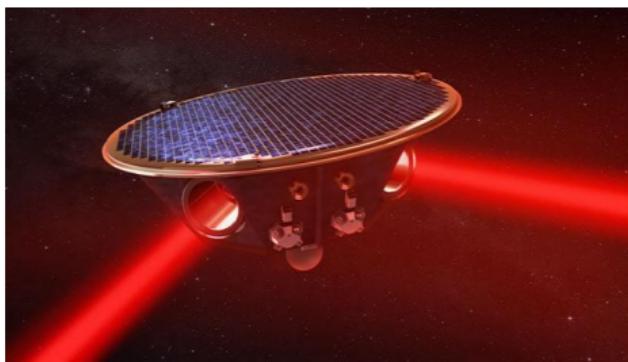
Pulsar timing arrays:  
*EPTA*, *NANOGrav*, *IPTA* (now)  
*Square Kilometer Array (SKA)*



# LISA gravitational wave mission

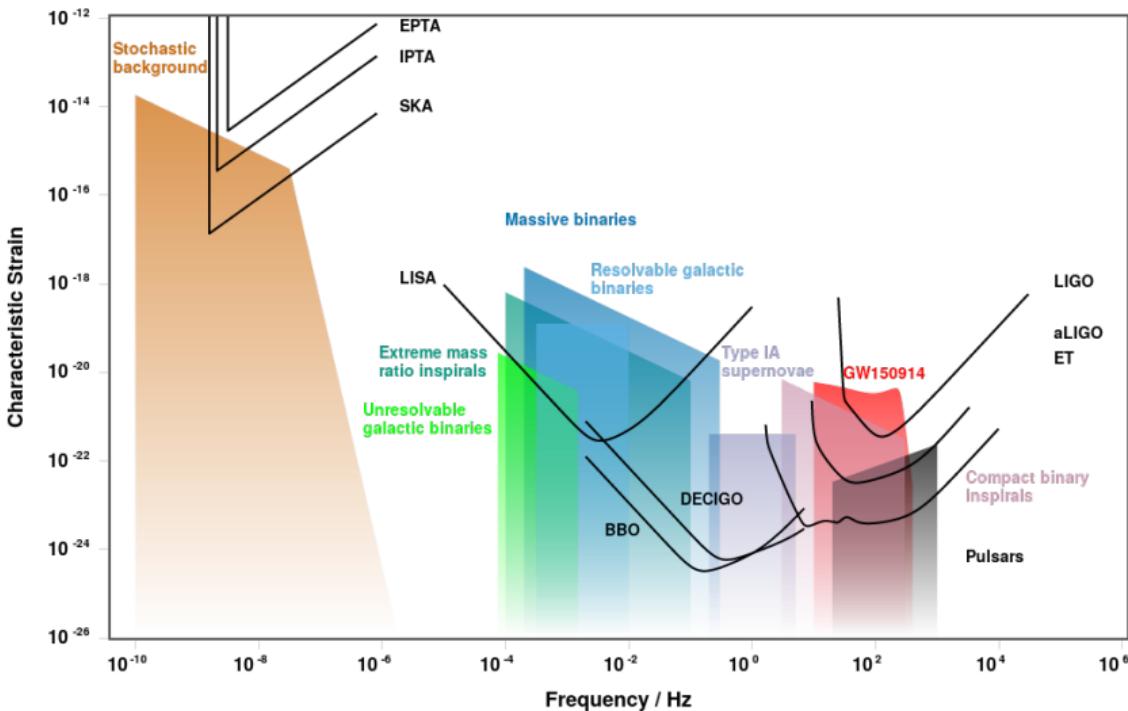


- “Free-flying” laser interferometer
- Strain sensitivity  
 $\sim 10^{-21}$  @ 0.01 Hz  
( $\sim 1\text{pm}$  over 2.5 million km!)
- Launch 2034



# LISA

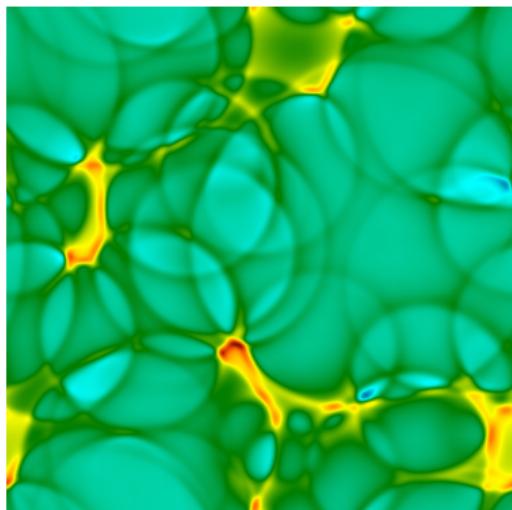
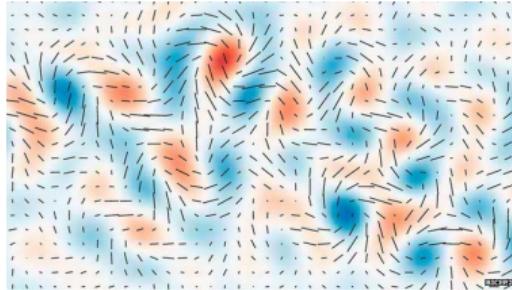
- Frequency window of LISA is right for gravitational waves from the electroweak and above -eras.
- LISA Cosmology Working Group – science case for cosmology
- LISA Pathfinder: technology demonstrator, launched Dec. 2015



[Moore, Cole, Berry,  
gwplotter.com]

# Sources of gravitational waves in the early universe

- Inflation (Bicep...)
- Cosmic strings
- **1st order phase transitions**
  - ▶ Do not exist in the Standard Model (QCD or EW)
  - ▶ Strong phase transition is possible in many extensions of the SM: many Higgses, SUSY, compositeness, dark sector ...
- Cosmological GWs give a direct snapshot of the universe at the time they were generated!
- Stochastic signal, expected frequency  $\sim$ mHz

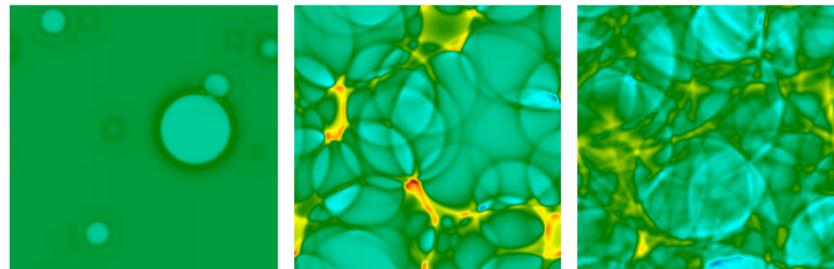


# 1st order phase transitions

A first order phase transition proceeds through

- a) *supercooling*
- b) *critical bubble nucleation*
- c) *bubble growth and collision* → gravitational waves
- d) *sound waves, shocks, turbulence* → gravitational waves

If the latent heat of the transition and supercooling are large, the process is violent (cf. superheated water)



[Hindmarsh et al.]

Goal: take a set of Beyond-the-Standard-Model candidates (MSSM, 2HDM, ...) and calculate the gravitational wave spectrum observed @ LISA

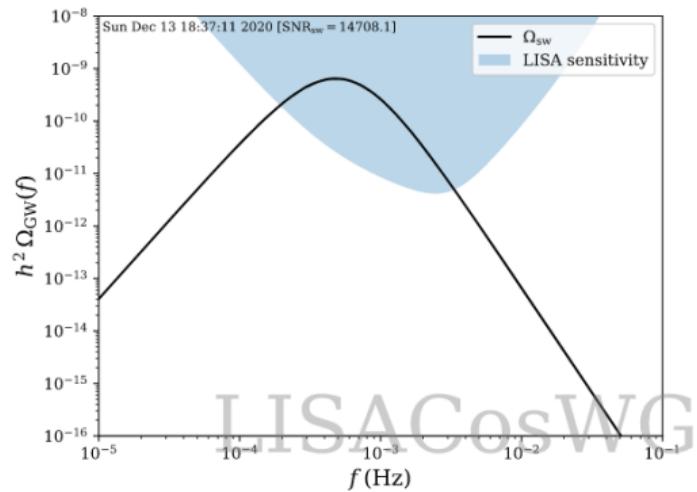
Conversely: how to use LISA to constrain BSM models?

# Calculating the gravitational wave production

We need to know, for a theory candidate:

- i) Thermodynamics ( $\checkmark$ )
    - ▶ equation of state, **latent heat, speed of sound**
  - ii) Critical bubble **nucleation rate** ( $\checkmark$ )
    - ▶ Determines degree of supercooling, *characteristic length scale* —> **frequency of the gravitational radiation**
  - iii) Bubble wall - fluid interaction (?)
    - ▶ **bubble wall velocity**
  - iv) **Growth & collision of the bubbles, sound, shocks, turbulence** ( $\checkmark ?$ )
    - ▶ Requires numerical simulations
    - ▶ Relativistic hydrodynamics + scalar field, effective order parameter
      - ★ Scalar: Higgs in SM-like models,  $\chi$ -condensate in strong dynamics ...
    - ▶ Large dynamical range, large volumes
    - ▶ Only a few relevant parameters:  $T_c$ , strength of the transition  $\alpha$ , duration of the transition  $\beta$ , bubble wall velocity  $v_w$ , # of dof's  $g$
- ✓ Coupling to gravity: transverse-traceless part of  $T^{\mu\nu}$
- Microscopic QFT computation (analytical, numerical lattice)

## GW signal at LISA:



Peak location, height, and shape of the spectrum depend on the transition parameters [Hindmarsh et al.]. Plot done with the nifty PTPlot tool which includes our current best knowledge of GW spectra and SNR (David Weir) <http://www.ptplot.org/ptplot/>

# Effective theory simulations

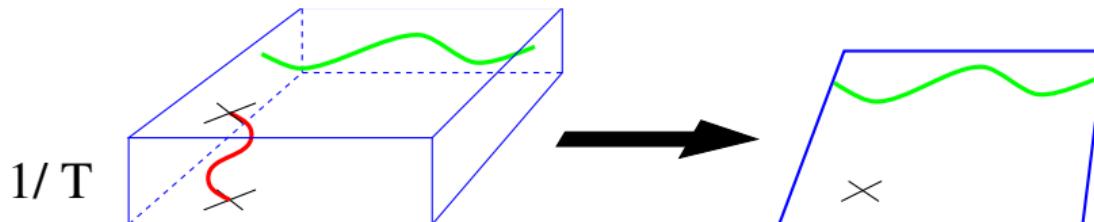
- 3d effective theory simulations can be used to calculate static thermodynamic quantities: *critical temperature, equation of state, latent heat, speed of sound ...*
- Real-time quantities – *phase transition wall propagation, critical bubble nucleation rate, sphaleron transition rate* – can be calculated in related effective theories/methods (not discussed here).

# 3d effective theory

- Phase transition in the SM and its extensions (MSSM, multiple Higgses) is at weak gauge coupling.
- However: at non-zero  $T$  infrared modes become non-perturbative for  $k \lesssim g^2 T$  → perturbation theory accuracy limited. [Linde 80]

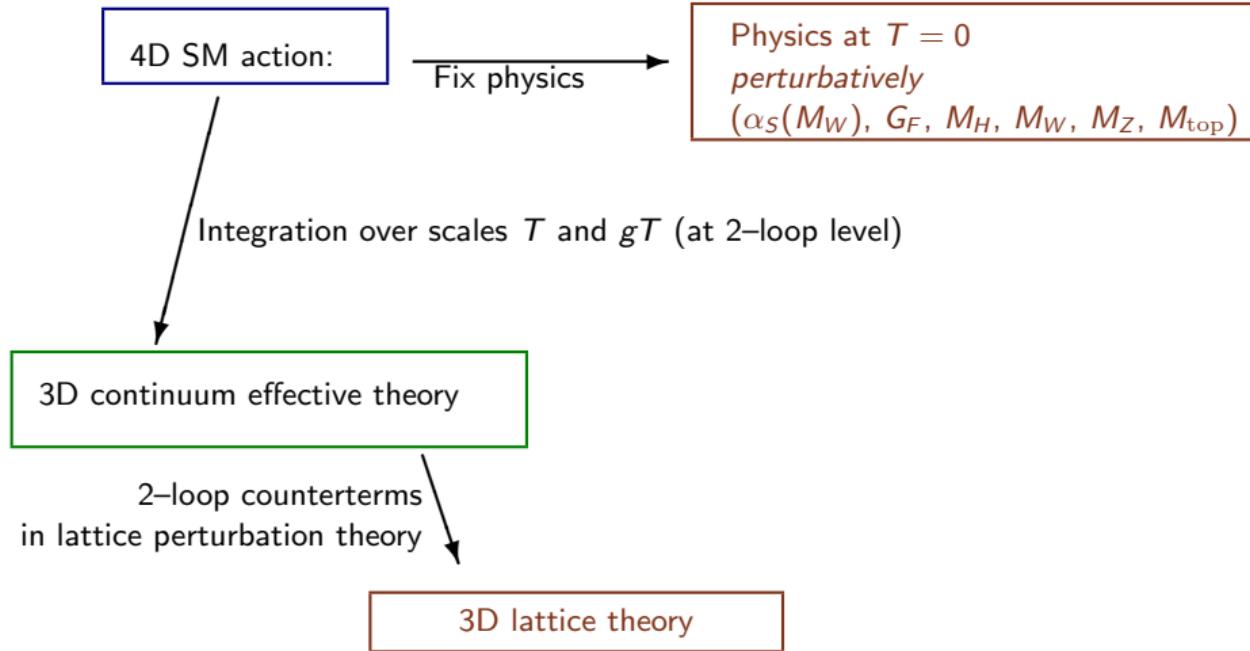
## → 3d effective theory

- Tool for perturbative and lattice computations
- Modes  $p > g^2 T$  are perturbative (at weak coupling): can be integrated out in stages:
  1.  $p \gtrsim T$ : fermions, non-zero Matsubara frequencies  
→ 3d theory (dimensional reduction)



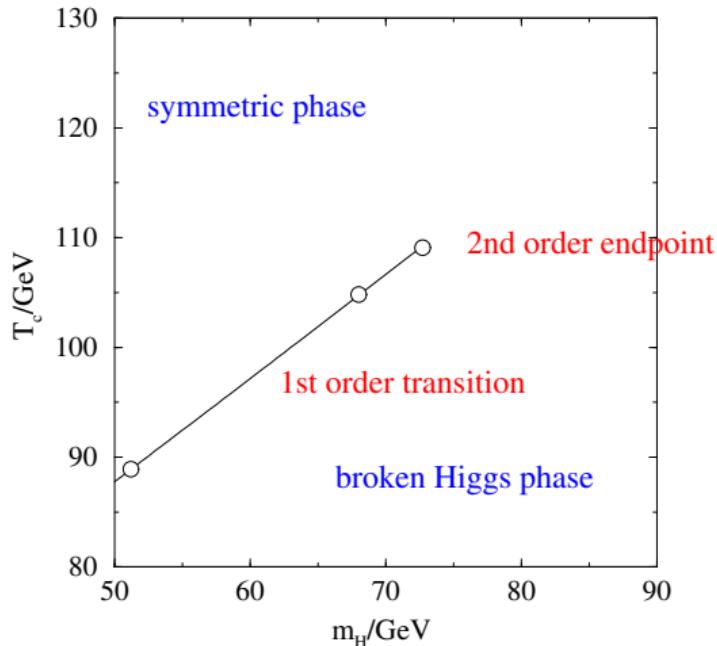
2. Electric modes  $p \sim gT$
- Obtain a “magnetic theory” for modes  $p \lesssim g^2 T$ . Contains fully the non-perturbative thermal physics.

# 3d effective theory



# Phase diagram of the Standard Model

- Effective theory was used very successfully for the SM 20+ years ago  
→ No phase transition at all, smooth “cross-over” for  $m_{\text{Higgs}} \gtrsim 72 \text{ GeV}$



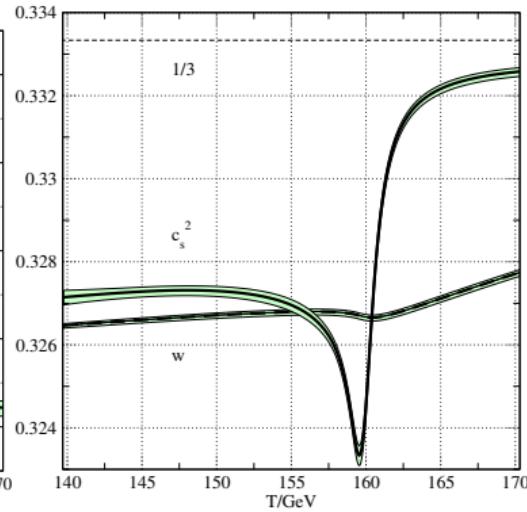
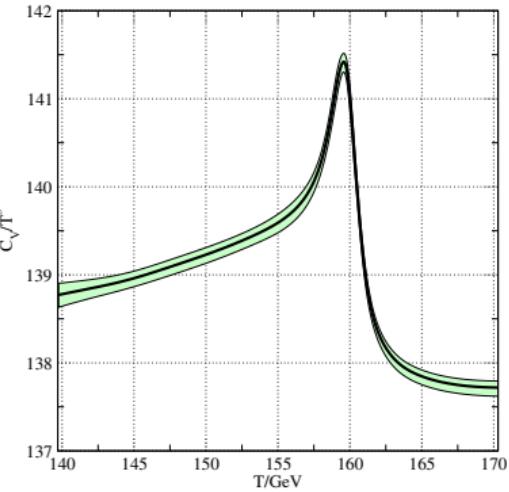
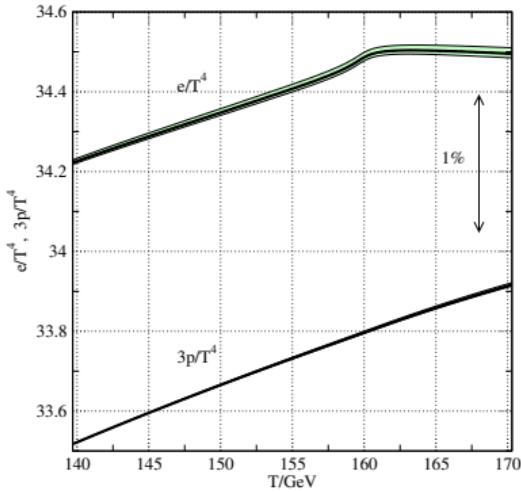
[Kajantie,Laine,K.R.,Shaposhnikov,Tsyplkin 95–98]

see also

[Csikor,Fodor, Heitger]

[Gürtler,Illgenfritz,Schiller,Strecha]

# Precision thermodynamics for the SM



[D'Onofrio, K.R 2015]

- Pseudocritical temperature  $T_c = 159.6 \pm 0.1 \pm 1.5 \text{ GeV}$
- Heat capacity  $C_V = e'(T)$
- Speed of sound:  $c_s^2 = p'/e'$
- EOS parameter  $w = p/e$

Cross-over well defined, but very soft! Width of the transition region  $\sim 3 \text{ GeV}$ .

# Beyond the Standard Model

First order phase transition *has been found in MSSM* [Laine,Nardini,K.R. 2013]. However, this is now excluded.  
Turn instead to 2-Higgs doublet model:

## Lagrangian

$$\begin{aligned}\mathcal{L} = & \frac{1}{2} \text{Tr } F_{\mu\nu} F^{\mu\nu} + \bar{\Psi} \not{D} \Psi + |D_\mu \phi_1|^2 + |D_\mu \phi_2|^2 + (Y \bar{\Psi}_R \phi_2 \Psi_L + \text{h.c.}) \\ & + \mu_{11}^2 \phi_1^\dagger \phi_1 + \mu_{22}^2 \phi_2^\dagger \phi_2 + \left[ \mu_{12}^2 (\phi_1^\dagger \phi_2) + \text{h.c.} \right] \\ & + \lambda_1 (\phi_1^\dagger \phi_1)^2 + \lambda_2 (\phi_2^\dagger \phi_2)^2 + \lambda_3 (\phi_1^\dagger \phi_1)(\phi_2^\dagger \phi_2) + \lambda_4 (\phi_1^\dagger \phi_2)(\phi_2^\dagger \phi_1) + \left[ \frac{\lambda_5}{2} (\phi_1^\dagger \phi_2)^2 + \text{h.c.} \right]\end{aligned}$$

- $F_{\mu\nu}$ : U(1), SU(2) and SU(3) gauge;  $\Psi$ : SM fermions
- $\phi_i$ : two SU(2) scalars with hypercharge +1
- “Type-I” model: Yukawas couple only to  $\phi_2$  (less constrained than type-II)
- Terms of type  $(\phi_1^\dagger \phi_2)(\phi_2^\dagger \phi_2)$  not included: lead to large FCNCs
- Note:  $\mu_{12}^2, \lambda_5 \in C$ : explicit CP violation. Strongly limited by neutron EDM measurements (ACME)  
→ not sufficient for EW baryogenesis.

After 2-loop matching, we obtain 3d

3d effective Lagrangian:

$$\begin{aligned}\mathcal{L} = & \frac{1}{2} \text{Tr}(F_{ij})^2 + D_i \phi^\dagger D_i \phi + \bar{\mu}_{11}^2 \phi_1^\dagger \phi_1 + \bar{\mu}_{22}^2 \phi_2^\dagger \phi_2 + \bar{\mu}_{12}^2 (\phi_1^\dagger \phi_2 + \phi_2^\dagger \phi_1) \\ & + \bar{\lambda}_1 (\phi_1^\dagger \phi_1)^2 + \bar{\lambda}_2 (\phi_2^\dagger \phi_2)^2 + \bar{\lambda}_3 (\phi_1^\dagger \phi_1)(\phi_2^\dagger \phi_2) + \bar{\lambda}_4 (\phi_1^\dagger \phi_2)(\phi_2^\dagger \phi_1) \\ & + \frac{\bar{\lambda}_5}{2} ((\phi_1^\dagger \phi_2)^2 + (\phi_2^\dagger \phi_1)^2),\end{aligned}$$

- $F_{ij}$ : SU(2) gauge (U(1) has small effect and can be neglected)
- 3d mass parameters  $\bar{\mu}^2 \propto \text{GeV}^2$ , couplings  $g_3^2$ ,  $\bar{\lambda} \propto \text{GeV}$  are dimensionful  $\rightarrow$  theory is **superrenormalizable**
- Parameters depend on 4d Lagrangian parameters and the temperature  $T$ .
- Starting point for both perturbative and lattice studies.
- Straightforward to put on the lattice. Superrenormalizability  $\Rightarrow$  lattice counterterms (1- and 2-loop) known, rigorous curves of constant physics (up to  $O(a)$ ). No tuning needed!
- Robust continuum limit (leading errors  $O(a)$ ). Lattice gauge coupling

$$\beta_G \equiv \frac{4}{g_3^2 a} \approx \frac{4}{g_W^2 a}.$$

# Symmetry breaking

In the original 4d theory, the symmetry breaking pattern is

$$\phi_1 = \begin{pmatrix} \phi_1^+ \\ \frac{1}{\sqrt{2}}(v_1 + \rho_1 + i\eta_1) \end{pmatrix}, \quad \phi_2 = \begin{pmatrix} \phi_2^+ \\ \frac{1}{\sqrt{2}}(v_2 + \rho_2 + i\eta_2) \end{pmatrix},$$

Scalar excitations: neutral Higgses  $h$ ,  $H$ , CP-odd  $A$ , charged  $H^\pm$

$$\begin{aligned} h &= -\sin \alpha \rho_1 + \cos \alpha \rho_2, & H &= -\cos \alpha \rho_1 - \sin \alpha \rho_2, \\ H^\pm &= -\sin \beta \phi_1^\pm + \cos \beta \phi_2^\pm, & A &= -\sin \beta \eta_1 + \cos \beta \eta_2. \end{aligned}$$

2 mixing angles  $\tan \beta = v_2/v_1$ ,  $\alpha$ .

Input parameters – fix the (4d) pole masses:

- $G_F$ ,  $M_W$ ,  $M_Z$ ,  $M_h = M_{\text{Higgs}}$ ,  $M_{\text{top}}$  (same as in SM)
- $M_H$ ,  $M_A$ ,  $M_{H^\pm}$ ,  $\tan \beta$ ,  $\cos(\beta - \alpha)$ ,  $\mu_{12}^2$  (New)

In the “alignment limit”  $\cos(\beta - \alpha) = 0$  the coupling of  $h$  to the SM particles is exactly as the SM Higgs.

## Benchmark points

2HDM is less constrained than MSSM by collider phenomenology (but still pretty limited).

Strong phase transition  $\Rightarrow$  large scalar couplings  $\lambda_i$

- problems in perturbation theory; accuracy of eff. 3d description?
- Landau pole is close

	$M_H$	$M_A$	$M_{H^\pm}$	$\mu_{12}$	$(\lambda_3 + \lambda_4 + \lambda_5)/2$	$\lambda_1$	$\Lambda_0$
BM1	66 GeV	300 GeV	300 GeV	0 GeV	$1.07 \times 10^{-2}$	0.01	91 GeV
BM2	150 GeV	350 GeV	350 GeV	80 GeV	$\cos(\beta - \alpha)$	$\tan \beta$	$\Lambda_0$

- BM1: “Inert doublet model”, studied perturbatively by [Laine, Meyer, Nardini 2017].
  - ▶ Here  $v_1 = 0$  (only  $\phi_2$  breaks)
  - ▶  $H$  is a dark matter candidate (long-lived)
- BM2: Approaches model studied by [Dorsch, Huber, Konstandin, No 2017] but with more restricted  $\lambda_i$ . Features a strong transition, possibly producing observable gravitational waves.

# Simulation volumes

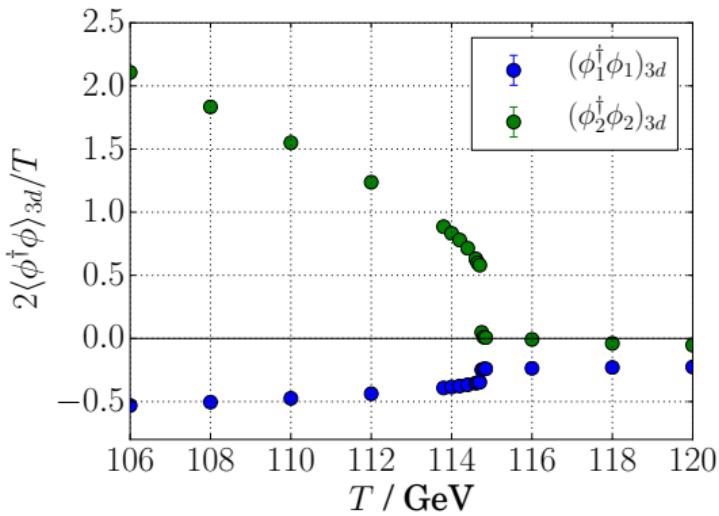
BM1			
$\beta_G$	Volumes, $L_x \times L_y \times L_z$		
10	$18^2 \times 72$	$20^2 \times 80$	$24^2 \times 96$
12	$20^2 \times 96$	$24^2 \times 96$	$28^2 \times 120$
14	$28^2 \times 84$	$28^2 \times 140$	
16	$24^2 \times 96$	$32^2 \times 120$	$32^2 \times 162$
	$38^2 \times 162$		
20	$24^2 \times 112$	$32^2 \times 132$	$38^2 \times 156$
24	$34^2 \times 156$	$42^2 \times 172$	$42^2 \times 200$
32	$42^2 \times 200$	$48^2 \times 192$	$54^2 \times 216$

BM2			
$\beta_G$	Volumes, $L_x \times L_y \times L_z$		
20	$32^2 \times 132$	$38^2 \times 156$	$42^2 \times 168$
24	$34^2 \times 156$	$42^2 \times 172$	$48^2 \times 182$
28	$42^2 \times 168$	$48^2 \times 192$	$54^2 \times 200$
32	$48^2 \times 192$	$54^2 \times 216$	$58^2 \times 240$

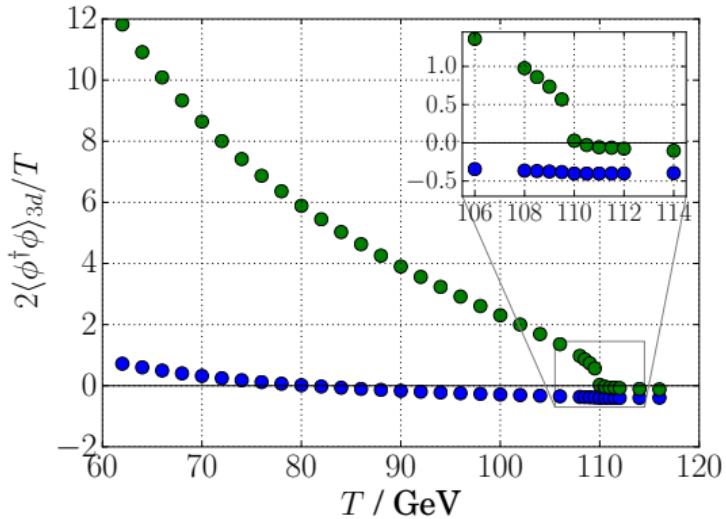
Large  $\beta_G \leftrightarrow$  small lattice spacing  $a$ . For BM2, some modes are so heavy that large  $\beta_G$  is necessary.  
*Multicanonical* simulations and cylindrical geometry are used to obtain surface tension  $\sigma$ .

# Condensates

BM1



BM2

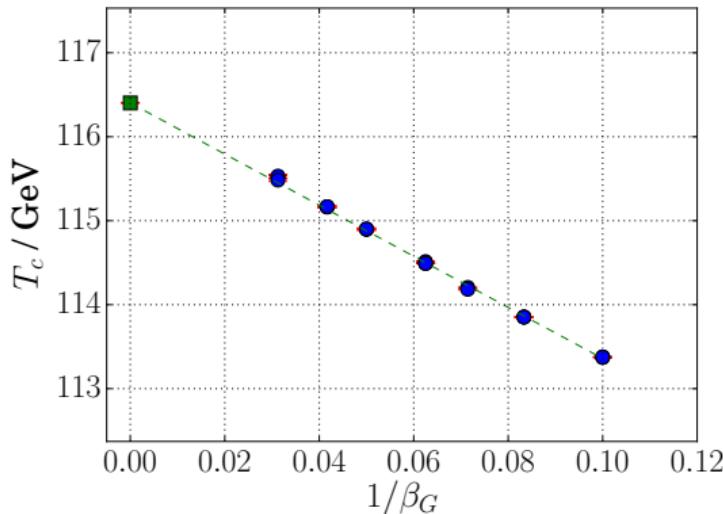


Both have a discontinuity in  $\langle\phi_2^\dagger\phi_2\rangle$ .

At  $T = 0$   $\langle\phi_2^\dagger\phi_2\rangle/\langle\phi_1^\dagger\phi_1\rangle = \tan^2 \beta \approx 7.5$ : slow evolution towards  $T = 0$  value.

# Critical temperature

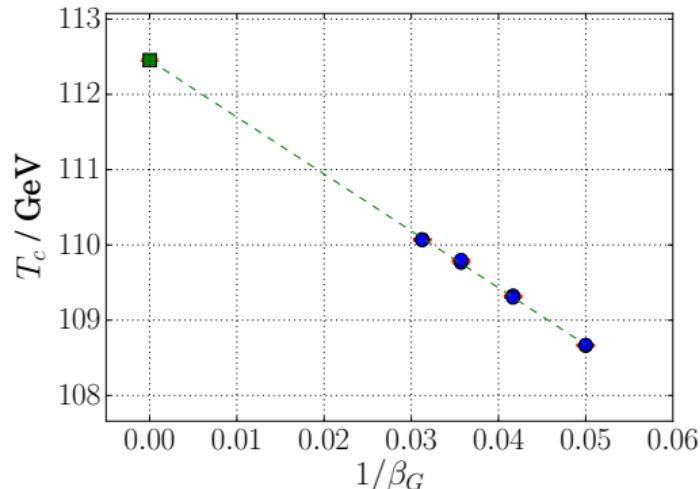
BM1



$$\text{BM1: } T_c = 116.402 \pm 0.005 \text{ GeV}$$

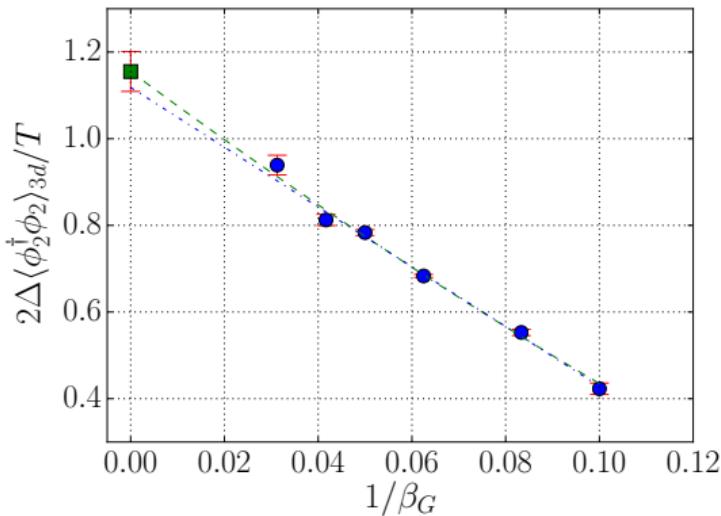
$$\text{BM2: } T_c = 112.454 \pm 0.015 \text{ GeV}$$

BM2

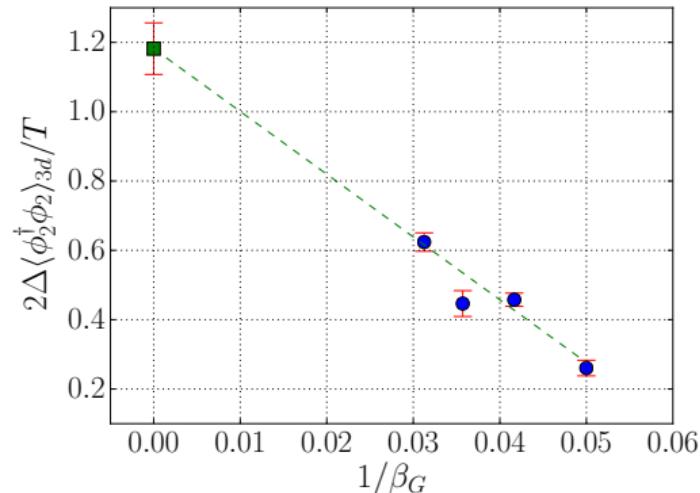


# Order parameter discontinuity

BM1



BM2



$$\text{BM1: } \Delta\phi/T = 1.07 \pm 0.02$$

$$\text{BM2: } \Delta\phi/T = 1.09 \pm 0.03$$

# Order parameter discontinuity

We can also obtain the *latent heat*  $L$  and the *surface tension*  $\sigma$ :

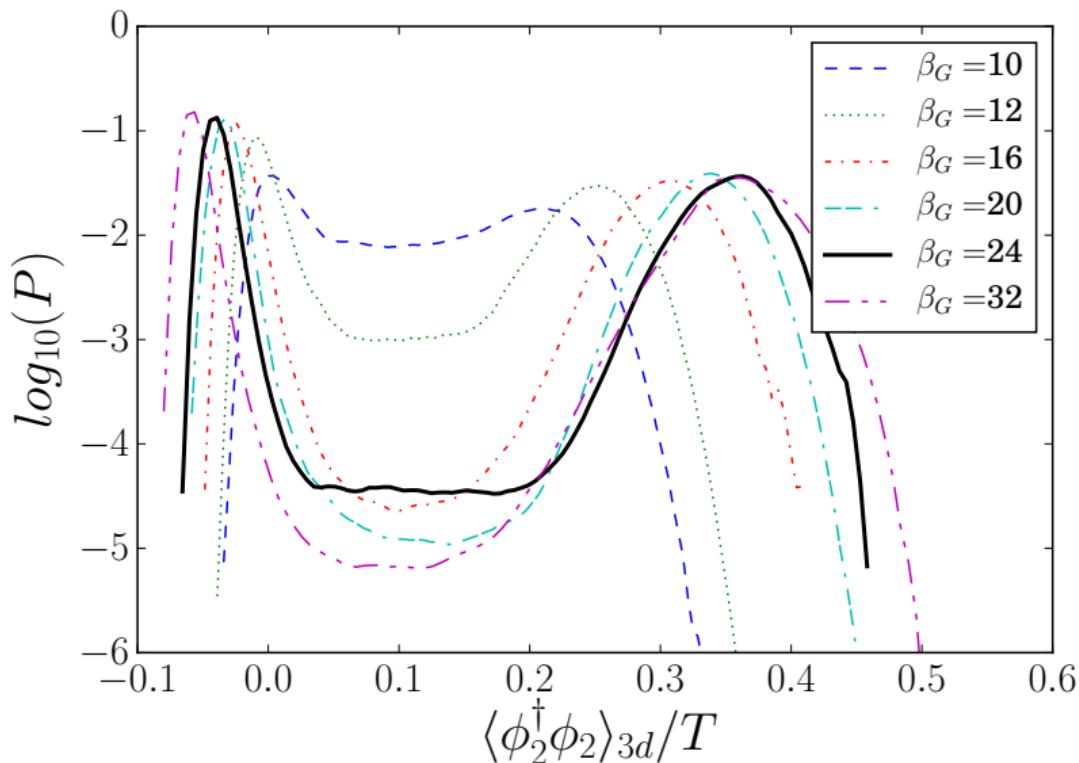
$$\begin{aligned}\frac{L}{T^4} &= \frac{T^2}{V} \Delta \left( \frac{\partial}{\partial T} \ln Z \right), \\ &= -\frac{1}{VT^2} a^3 \Delta \left\langle \Phi_1^\dagger \Phi_1 \frac{dm_{11}^2}{dT} + \Phi_2^\dagger \Phi_2 \frac{dm_{22}^2}{dT} + \Phi_1^\dagger \Phi_2 \frac{dm_{12}^2}{dT} + \text{h.c.} + (\Phi_1^\dagger \Phi_1)^2 \frac{d\bar{\lambda}_1}{dT} + \dots \right\rangle,\end{aligned}$$

$$\frac{\sigma}{T} = \frac{1}{2A} \ln \frac{P_{\max}}{P_{\min}} + \text{finite volume corrections},$$

where  $P_{\max}$  is the maximum of probability distribution and  $A$  area.

	$L/T_c^4$	$\sigma/T_c^3$
BM1	$0.603 \pm 0.023$	$0.0270 \pm 0.0013$
BM2	$0.807 \pm 0.051$	$0.0204 \pm 0.0045$

# Probablity distributions



## Comparison with perturbative calculations

	Method	$T_c/\text{GeV}$	$L/T_c^4$	$\phi_c/T_c$	$L/\text{GeV}^4$
BM1	1-loop Parwani resum.	$134.0 \pm 8.75$	$0.396 \pm 0.002$	$1.01 \pm 0.06$	$1.27 \times 10^8$
	1-loop A-E resum.	$142.4 \pm 6.88$	$0.33 \pm 0.02$	$1.00 \pm 0.07$	$1.37 \times 10^8$
	2-loop $V_{\text{eff}}$ in 3d	$111.6 \pm 2.30$	$0.57 \pm 0.10$	$0.98 \pm 0.09$	$0.89 \times 10^8$
	3d lattice	$116.40 \pm 0.005$	$0.60 \pm 0.02$	$1.08 \pm 0.02$	$1.11 \times 10^8$
BM2	1-loop Parwani resum.	$142.6 \pm 18.0$	$0.29 \pm 0.04$	$0.91 \pm 0.06$	$1.19 \times 10^8$
	1-loop A-E resum.	$162.5 \pm 21.0$	$0.20 \pm 0.03$	$0.88 \pm 0.05$	$1.36 \times 10^8$
	2-loop $V_{\text{eff}}$ in 3d	$104.9 \pm 2.30$	$0.61 \pm 0.10$	$0.97 \pm 0.06$	$0.74 \times 10^8$
	3d lattice	$112.5 \pm 0.01$	$0.81 \pm 0.05$	$1.09 \pm 0.03$	$1.29 \times 10^8$

- $V_{\text{eff}}$  in 3d relies on the same 3d effective theory than 3d lattice
- Lattice does not suffer from IR problems: much smaller errors.
  - ▶ Note: errors do not include theoretical errors due to truncation or parameter uncertainty
- Lattice is needed to reduce the uncertainty in perturbative analysis

## Conclusions:

- Gravitational waves provide a new way to probe the early Universe and BSM physics.
- Phase transitions are a powerful source of gravitational waves.
- 3d effective theory simulations can be used to study thermodynamics of the transition
- Part of the mapping out the theory space of suitable BSM theory candidates.
- Standard Model: no transition
- Strong transitions seen in MSSM (excluded by now), 2HDM
- Effective theory method applicable to many "Higgs-like" models